

Workshop on the generation of single-cycle pulses with  
Free-Electron Lasers,  
16–17 May 2016, Minsk

STATISTICAL FLUCTUATIONS OF ELECTROMAGNETIC  
RADIATION  
IN SHORT-PULSE FREE-ELECTRON DEVICES

Anishchenko S.V., Baryshevsky V.G.



*Research Institute for Nuclear Problems  
Bobruiskaya 11 str., Minsk 220030, Belarus*

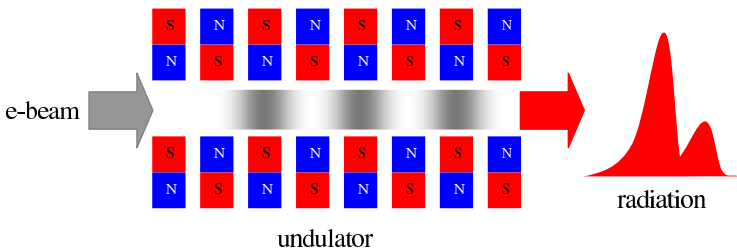
# Statistical fluctuations in SASE FEL<sup>1</sup>

- Stochastic behavior of SASE
- Photon statistics
- Coherence properties
- Fluctuations of saturation length
- Statistics of the instantaneous radiation power, statistics of the finite-time integrals of the instantaneous power

---

<sup>1</sup>R. Bonifacio, et. al. Phys. Rev. Lett. 1994. Vol. 73. P. 70; E.L. Saldin, Opt. Commun. 1998. Vol. 148. P. 383–403; J. Andruszkov, et. al., Phys. Rev. Lett. 2000. Vol. 85. No. 18. P. 3825–3829; M.V. Yurkov, Nucl. Instrum. Methods A483 (2002) 51–56; V.A. Atvazyan, Nucl. Instrum. Methods (2003) 368–372; R. Bonifacio, F. Casagrande, Nucl. Instrum. Methods A 237 (1985) 168; Opt. Commun. 50 (1984) 251; E.L. Saldin, E.A. Schneidmiller, M.V. Yurkov, Opt. Commun. 281 (2008) 1179–1188.

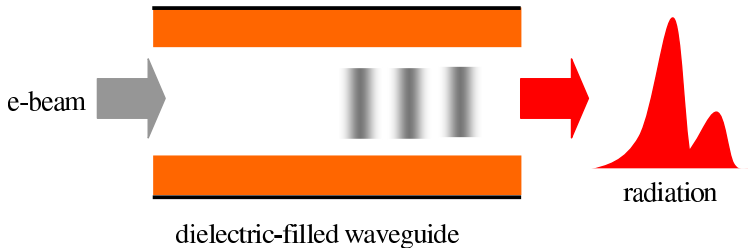
# SASE FEL<sup>2</sup>



The increment of electron beam instability is proportional to  $\sqrt[3]{\rho}$ . Here,  $\rho$  is the electron beam density.

<sup>2</sup>A.M. Kondratenko, E.L. Saldin, Part. Accel. 1980. V. 10. P. 207–216; R. Bonifacio, C. Pellegrini, L. Narducci, Opt. Commun. 1984. V. 50. P. 373–378.

## Cherenkov generators<sup>3</sup>

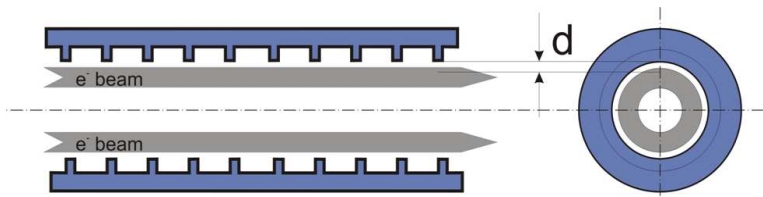


In the Cherenkov generators, the increment of electron beam instability is proportional to  $\sqrt[3]{\rho}$ .

---

<sup>3</sup>B.W.J. McNeil, G.R.M. Robb, and D.A. Jaroszynsky, Opt. Commun. 1999. V. 163. P. 203–207; S.M. Wiggins et al., Phys. Rev. Lett. 2000. V. 84. N 1. P. 2393–2396.

## Cherenkov generators with periodic structures<sup>4</sup>

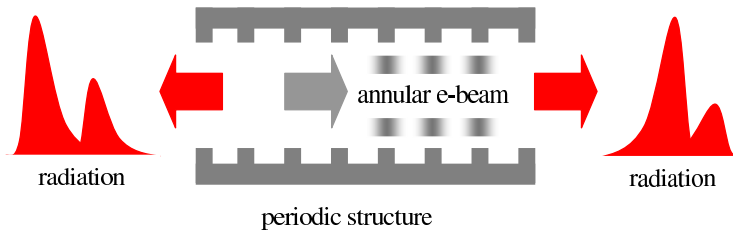


When an electron passes near the surface of the diffraction grating at the distance less than  $d < \frac{\lambda\beta\gamma}{4\pi}$ , it effectively excites an electromagnetic wave.

In TWT and BWO regimes, the increment of electron beam instability is proportional to  $\sqrt[3]{\rho}$ .

<sup>4</sup>A.A. Elchaninov, et al., JETP Lett. 2003. V. 77. N 6. P. 266–269; S.D. Korovin et al., Phys. Rev. E. 2006. V. 74. P. 016501.

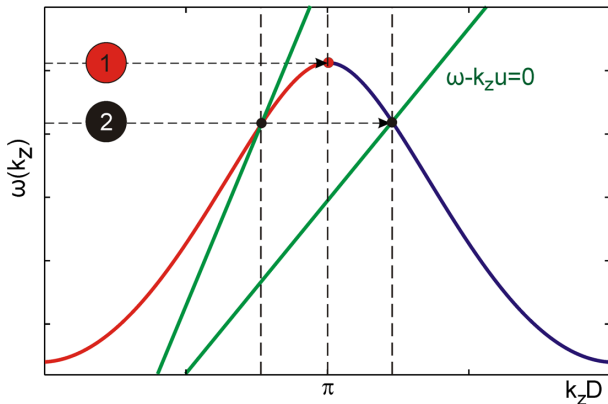
## Two waves



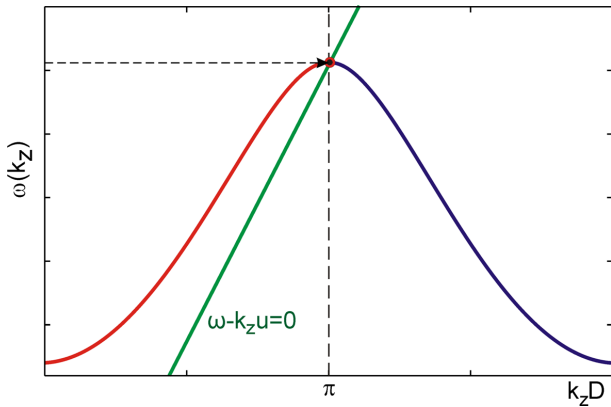
As a result of diffraction, two waves are excited in one dimensional periodic structure.

# Cherenkov synchronism

- 1 Point, where dispersion curve roots intercept
- 2 Cherenkov synchronism point



# Interception of roots





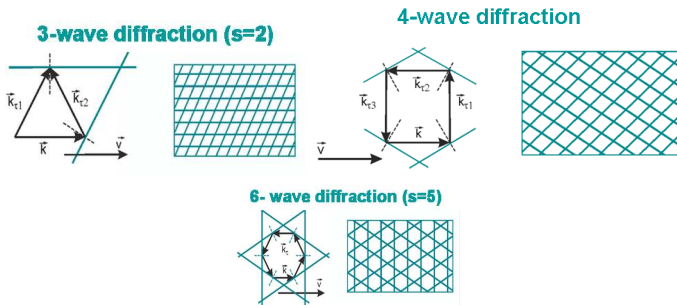
## New law of instability<sup>5</sup>

- The electron-beam instability increment is proportional to  $\sqrt[4]{\rho}$
- It is possible to create X-ray free electron laser at  $j = 10^8 \text{A/cm}^2$  instead of  $j = 10^{13} \text{A/cm}^2$  (G. Kurizki, M. Strauss, I. Oreg, N. Rostoker, Phys. Rev. A35 (1987) 3427)
- The concept of volume free electron laser operating in different spectral ranges (microwave, terahertz, optical, x-ray) emerged.

---

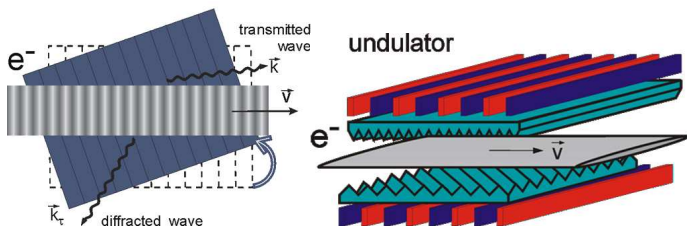
<sup>5</sup>V. Baryshevsky, I. Feranchuk, Phys. Lett. 102A. 1984. P. 141; V.G. Baryshevsky, Dokl. Akad. Nauk SSSR 299 (1988) 1363. > < < > > > >

# Multiwave diffraction



The increment of electron beam instability relates to the electron density  $\rho$  as  $^{3+s}\sqrt{\rho}$ , where  $s$  is the number of extra waves produced through diffraction. The interaction length could be much shorter!!!

# Frequency tuning<sup>6</sup>



Rotation of the diffraction grating allows VFEL lasing frequency to be tuned

<sup>6</sup>Gurinovich, I. Iliencko, A. Lobko, V. Moroz, P. Sofronov, and V. Stolyarsky, NIM A 483 (2002) 21

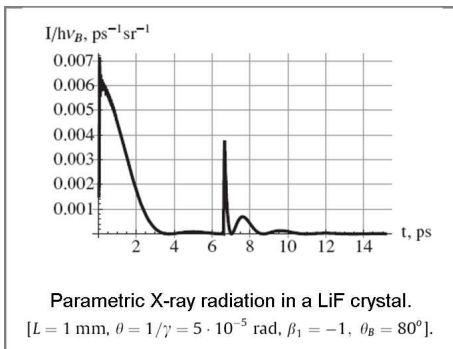
## VFEL experimental history<sup>7</sup>

- 2001  
First lasing of volume free electron laser in mm-wavelength range. Demonstration of validity of VFEL principles and possibility for frequency tuning at constant electron energy
- 2004—2015  
VFEL prototype with volume photonic crystal made from metallic threads and foils

---

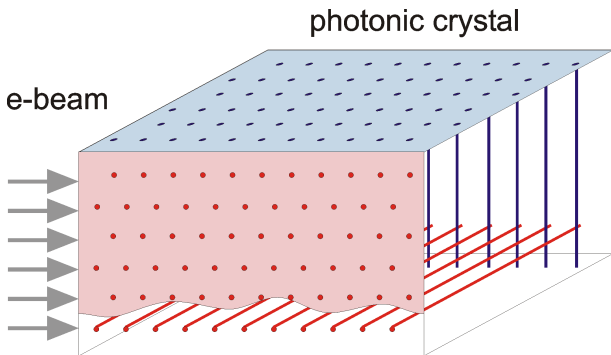
<sup>7</sup>V.G. Baryshevsky, K.G. Batrakov, A.A. Gurinovich, I. Iliencko, A. Lobko, V. Moroz, P. Sofronov, and V. Stolyarsky, NIM A 483 (2002) 21; V.G. Baryshevsky, A.A. Gurinovich, NIM 252B (2006) 91; Free electron laser conference, FEL2006, FEL2007, FEL2008, FEL2009, FEL2010; Sher Alam, M. O. Rahman, C. Bentley, and M. Ando, *Proceeding of the Second Asian Particle Accelerator Conference*, THP069 277–280, Beijing, China, 15 September 2001.

# Spontaneous quasi-Cherenkov (parametric) radiation<sup>8</sup>

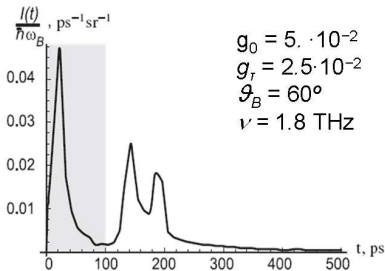


<sup>8</sup>V.G. Baryshevsky, A.A. Gurinovich, NIM 252B (2006) 91; S.V. Anishchenko, V.G. Baryshevsky, A.A. Gurinovich, Journal of Nanophotonics 6 (2012) 061714

# Diffraction grating



## Spontaneous emission. T-rays



Calculated dependency of the quasi-Cherenkov radiation intensity on time in case of two-beam diffraction in the photonic crystal with period  $d = 0.17$  mm, thickness  $L = 3$  cm, and electron beam Lorentz-factor  $\gamma = 2 \cdot 10^3$

## Coherent spontaneous emission<sup>9</sup>. T-rays

### Estimations for SwissFEL

- Frequency:  $\nu = 1$  THz
- Photon per particle (spontaneous emission):  $10^{-3}$
- Charge per bunch:  $N_e = 1.25 \cdot 10^9$  electrons
- Electron energy:  $E_e = 5.8$  GeV
- Bunch length:  $t_b = 30$  fs (rms)

### Output parameters

- Photon number:  $N_{ph} = 10^{-3} N_e^2 = 1.6 \cdot 10^{15}$
- Energy per pulse:  $N_{ph} h\nu = 1$  mJ
- Crystal thickness:  $L = 10$  cm!!!

---

<sup>9</sup>V.G. Baryshevsky, A.A. Gurinovich, Nucl. Instrum. Methods B. 355. P. 69–75.



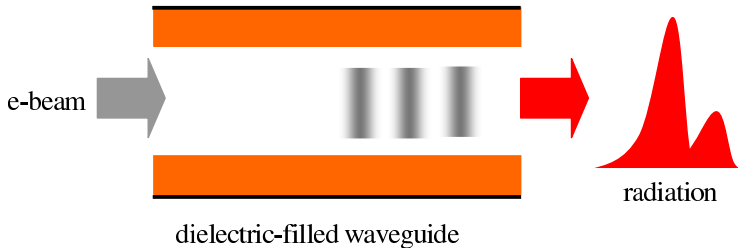
# Fluctuations of Cherenkov and quasi-Cherenkov Superradiance<sup>10</sup>

- Spontaneous emission
- Coherent spontaneous emission
- Induced radiation
- **Cooperative radiation (superradiance)**  
( $\lambda_{rad} \ll L_{front} \ll L_{bunch} \ll L_{crystal}$ )

---

<sup>10</sup>S.V. Anishchenko, V.G. Baryshevsky, arXiv: 1605.04331v1 (2016); S.V. Anishchenko, V.G. Baryshevsky, Nucl. Instrum. Methods B. 2015. Vol. 355. P. 76–80

# Cherenkov superradiance. Statement of the problem



The spread of peak power— ? Phasing time — ?

# Nonlinear theory of Cherenkov superradiance

## Input parameters

- Dimensionless bunch length  $\xi = C\beta L \frac{\sqrt[3]{v_0^2 v_{gr}}}{v_0 - v_{gr}}$ ,  
where  $C = \left(\frac{eIZ}{2mc^2\gamma_0^3}\right)^{1/3}$  — the Pierce parameter
- Nonlinear coefficient  $\nu = 2C\gamma_0^2 \sqrt[3]{\frac{v_{gr}}{v_0}}$
- Energy spread  $\sigma = \frac{C\Delta\gamma_\alpha}{\gamma_0^3} \sqrt[3]{\frac{v_0}{v_{gr}}}$
- Electron number  $N_e$

## Output parameters

- Conversion ratio  $\eta = \frac{v_{gr}}{v_0} P_0 = \frac{v_{gr}}{v_0} \frac{\nu |F_{peak}|^2}{8} \Big|_{z=0}$ ,  
where  $F = \frac{eE_0}{\gamma_0^3 mc^2 \beta C^2} \frac{\sqrt[3]{v_0^2 v_{gr}}}{v_{gr}}$  — dimensionless field strength
- Dimensionless phasing time  $T_0 = C\beta t_0 \sqrt[3]{v_0^2 v_{gr}}$

# Nonlinear theory of Cherenkov superradiance

- Particles' equations

$$\frac{d^2\theta_\alpha}{d\tau^2} = -\left(1 + \nu \frac{d\theta}{d\tau}\right)^{3/2} \operatorname{Re}(F e^{i\theta_\alpha}) \quad (1)$$

- Initial conditions

$$\frac{d\theta_\alpha}{d\tau} \Big|_{\tau=0} = 0 \quad (2)$$

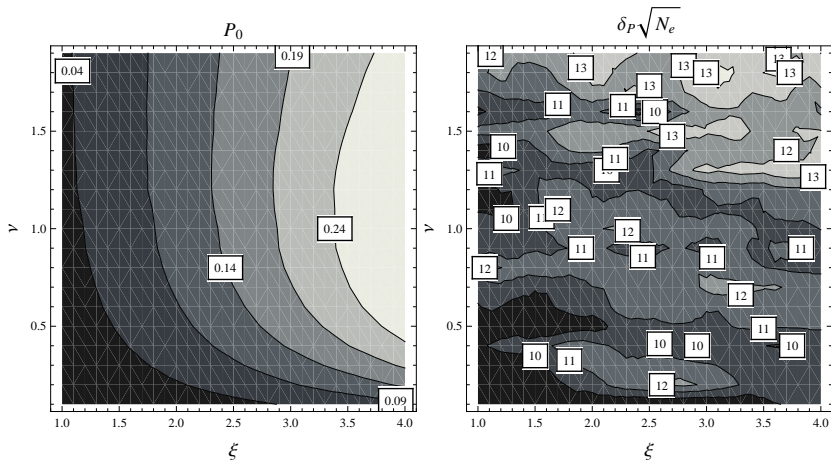
- Field equations

$$\frac{\partial F}{\partial \tau} - \frac{\partial F}{\partial z} = \frac{2}{N_l} \sum e^{-i\theta_\alpha} \quad (3)$$

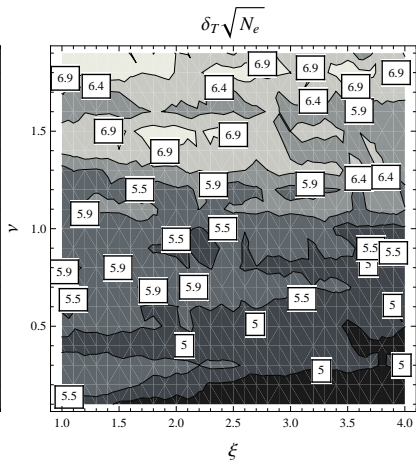
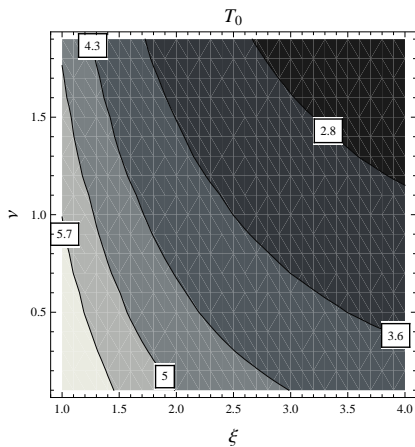
- Boundary conditions

$$F(\xi, \tau) = 0. \quad (4)$$

# Conversion coefficient: Shot noise



# Phasing time: Shot noise



## Estimations ( $\nu = 1.0$ )

for SwissFEL

- Electrons per bunch:

$$N_e = 1.25 \cdot 10^9$$

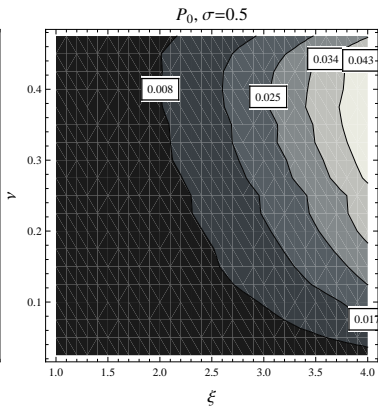
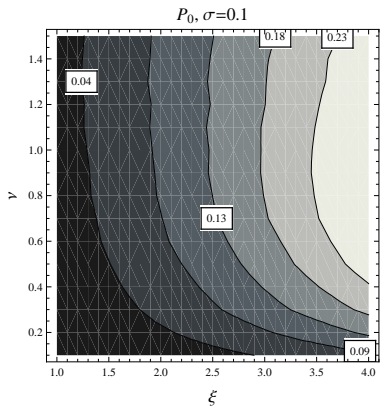
- Spread of peak power:

$$\delta_P \sim 11/\sqrt{N_e} = 3.1 \cdot 10^{-4}$$

- Spread of phasing time:

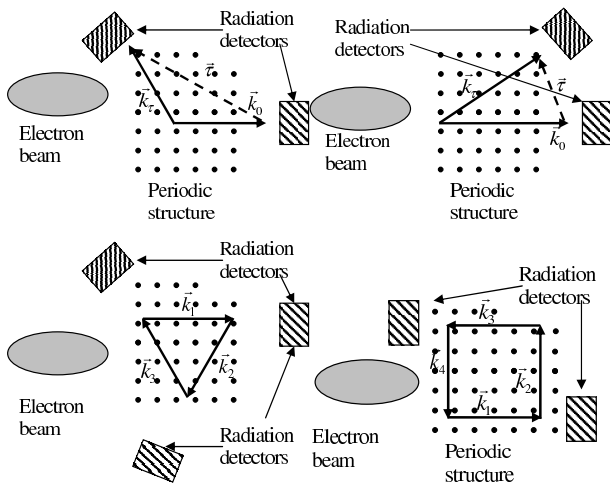
$$\delta_T \sim 6/\sqrt{N_e} = 1.7 \cdot 10^{-4}$$

# Conversion coefficient: Energy spread



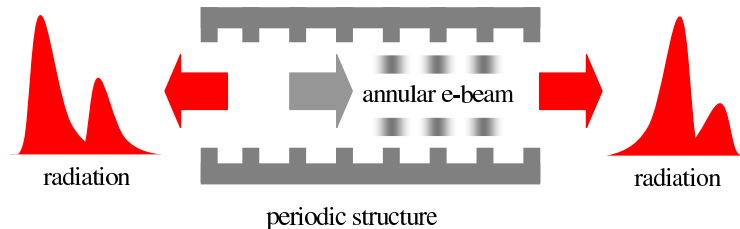


# Quasi-Cherenkov radiation<sup>11</sup>: Diffraction geometries



<sup>11</sup>Anishchenko S.V., Baryshevsky V.G. Nucl. Instrum. Methods B, doi: 10.1016/j.nimb.2015.03.054

# Quasi-Cherenkov superradiance. Statement of the problem



The spread of peak power— ?

# Nonlinear theory of quasi-Cherenkov superradiance

- Particles' equations

$$\frac{d^2\theta_\alpha}{d\tau^2} = -\left(1 + \nu \frac{d\theta_\alpha}{d\tau}\right)^{3/2} \operatorname{Re}(F_0 e^{i\theta_\alpha}). \quad (5)$$

- Initial conditions

$$\frac{d\theta_\alpha}{d\tau}\Big|_{\tau=0} = 0 \quad (6)$$

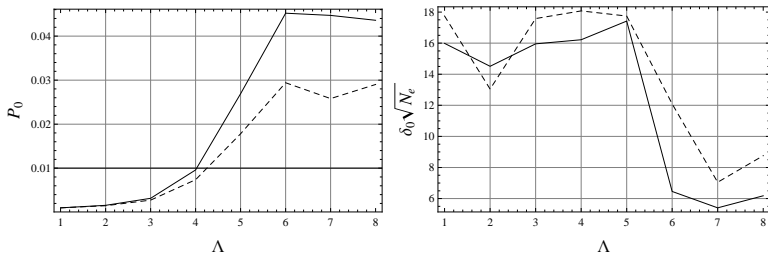
- Field equations

$$\frac{\partial F_0}{\partial \tau} + \frac{\partial F_0}{\partial z} + i\chi F_\tau = -\frac{2}{N_\lambda} \sum_\alpha e^{-i\theta_\alpha}, \quad (7)$$
$$\frac{\partial F_\tau}{\partial \tau} - \frac{\partial F_\tau}{\partial z} + i\chi F_0 = 0.$$

- Boundary conditions

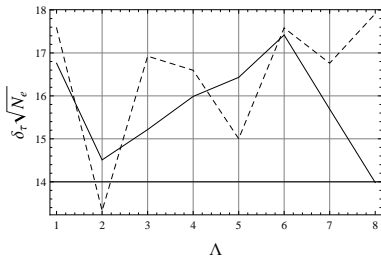
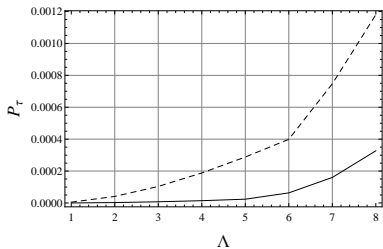
$$F_0(0, \tau) = 0, \quad (8)$$
$$F_\tau(\Lambda, \tau) = 0.$$

# Quasi-Cherenkov superradiance in forward direction



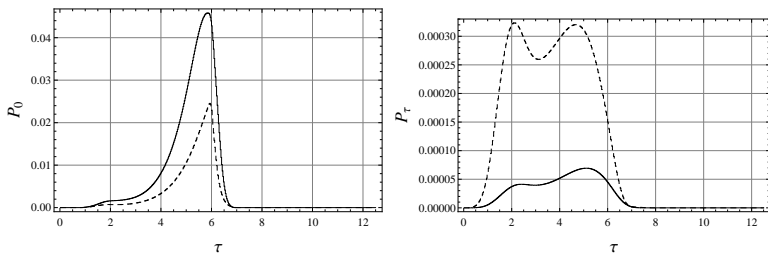
Solid curve —  $\chi = 0.1$ , dashed curve —  $\chi = 0.4$  [ $\nu = 1.0$  and  $\xi = 1.0$ ].

# Quasi-Cherenkov superradiance in backward direction



Solid curve —  $\chi = 0.1$ , dashed curve —  $\chi = 0.4$  [ $\nu = 1.0$  and  $\xi = 1.0$ ].

# Quasi-Cherenkov superradiance at saturation



Solid curve —  $\chi = 0.1$ , dashed curve —  $\chi = 0.4$  [ $\nu = 1.0$  and  $\xi = 1.0$ ].

# Estimations

## Input parameters

- Electrons per bunch:  $N_e = 10^9$
- Beam power:  $P_b = 20$  GW
- $\nu = 1.0$
- $\xi = 1$
- $\Lambda = 6$

## Output parameters

- Radiation power:  $P_{rad} = 1$  GW
- $\delta_P = 1.9 \cdot 10^{-4}$

## Conclusions

- A detailed numerical analysis is given for cooperative Cherenkov and quasi-Cherenkov radiation in the presence of shot noise and energy spread.
- Using femtosecond electron bunches it is possible to create quasi-Cherenkov THz radiation source with 1 mJ energy per pulse
- Typical relative rms deviation of radiated power and phasing time in superradiant Cherenkov generators with femtosecond electron bunches reach  
 $\delta_{T,P} \sim 6/\sqrt{N_e} \sim 2 \cdot 10^{-4}$  ( $N_e \sim 10^9$ ).



Workshop on the generation of single-cycle pulses with  
Free-Electron Lasers,  
16–17 May 2016, Minsk

Thank you for the attention!

## Quasi-cherenkov radiation: nonlinear theory

- Particles' equations

$$\frac{dp_{z\alpha}}{dt} = 2\theta \operatorname{Re} \left( E_0 e^{-i(t - k_{0z}z_\alpha + \phi_\alpha)} \right),$$

- Field equations

$$\frac{\partial E_0}{\partial t} + \gamma_0 \frac{\partial E_0}{\partial z} + \frac{i\chi_0}{2} E_0 + \frac{i\chi_\tau}{2} E_\tau = - \sum_j \frac{\theta \chi_{b\alpha}}{2} \frac{e^{i(t - k_{0z}z_\alpha + \phi_\alpha)}}{N_l},$$
$$\frac{\partial E_\tau}{\partial t} + \gamma_\tau \frac{\partial E_\tau}{\partial z} + \frac{i\chi_0}{2} E_\tau + \frac{i\chi_\tau}{2} E_0 = 0.$$

## Quasi-cherenkov radiation: parameters

- Crystal length  $L_c = 6$  cm
- Bunch length  $L_b = 0.6$  cm
- Relativistic factor  $\gamma = 3.0$
- Dielectric susceptibilities  $\chi_0 = 0.1$ ,  $\chi_\tau = 0.05$
- Current density  $j = 10.0$  kA/cm<sup>2</sup>
- Frequency  $\frac{\omega}{2\pi} = 0.1$  THz

## Current densities

- Limitations

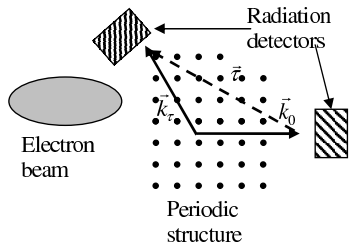
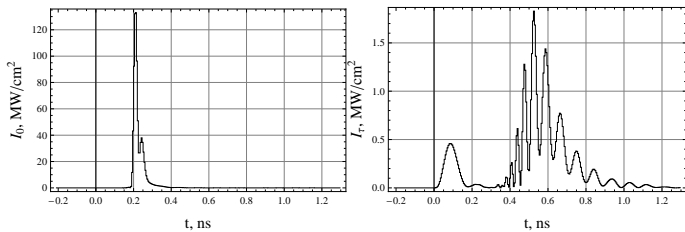
$$jt_{pulse} < 4.5 \cdot 10^{-5} \text{ C/cm}^2$$

- Estimations

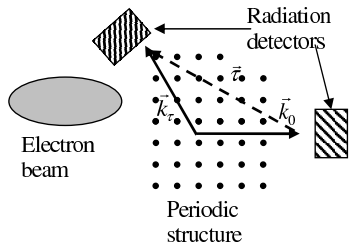
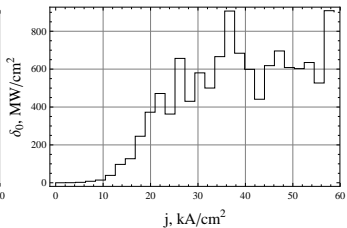
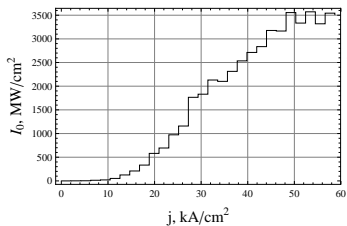
$$j = 30 \text{ kA/cm}^2, t_{pulse} = 1 \text{ ns.}$$

$$jt_{pulse} < 3.0 \cdot 10^{-5} \text{ C/cm}^2$$

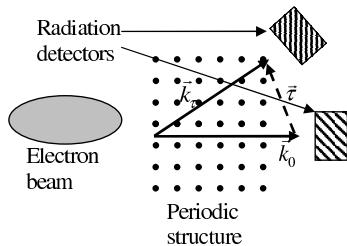
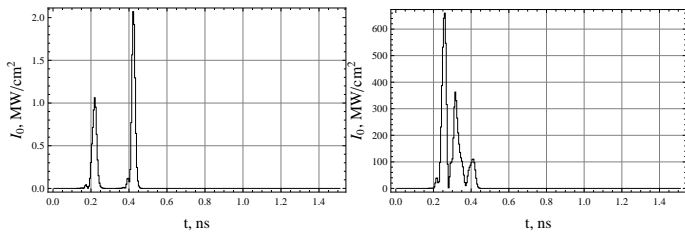
# The Bragg case



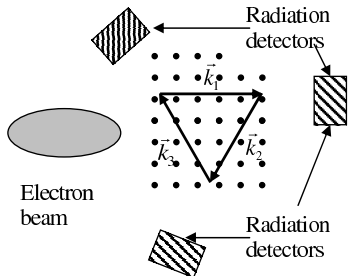
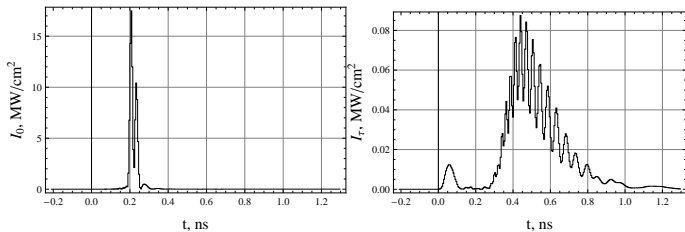
# Fluctuations (the Bragg case)



# Shot noise (the Laue case)

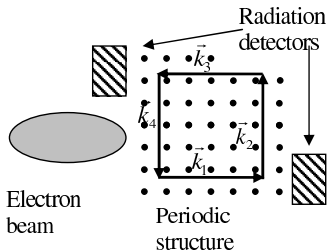
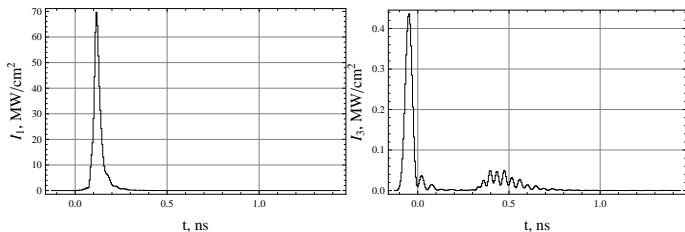


# Three-wave diffraction





# Four-wave diffraction



## Current densities

- Limitations

$$jt_{pulse} < 4.5 \cdot 10^{-5} \text{ C/cm}^2$$

- Estimations

$$j = 30 \text{ kA/cm}^2, t_{pulse} = 1 \text{ ns.}$$

$$jt_{pulse} < 3.0 \cdot 10^{-5} \text{ C/cm}^2$$