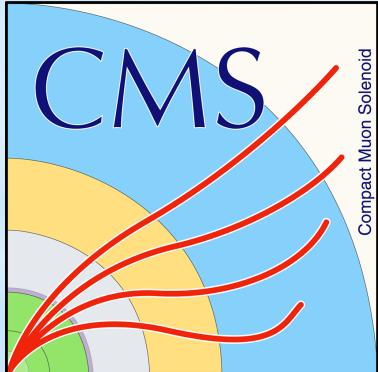




The 19th International Workshop on Neutrinos from Accelerators (NUFACT2017)

25-30 September 2017
Uppsala University Main Building
Europe/Stockholm timezone



Search for charged lepton flavour violation processes & heavy neutrinos at CMS

Barbara Clerbaux
Université Libre de Bruxelles (ULB)
For the CMS Collaboration



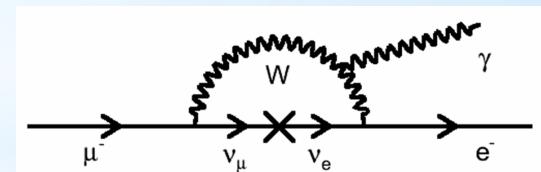
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Introduction

In SM : Lepton Number/Lepton Flavor are not related to a gauge symmetry

- In 1998 : Observation of flavor neutrino oscillations → prove neutral LFV
Massive neutrinos → go beyond the minimal SM (BSM physics)
however: neutrinos masses << other (charged) fermion masses
- Key questions :
 - What is the mechanism at the origin of the neutrino mass ?
 - What about charged LFV ?
 - Do heavy right-handed neutrinos exist ?



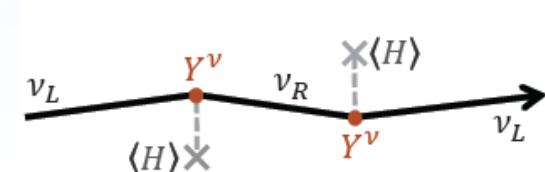
Neutrino-induced lepton flavor violation for charged leptons is expected to be very small [e.g., $\text{Br}(\mu \rightarrow e\gamma) \sim 10^{-50}$]

Many new physics models can enhance this decay
Example : RPV SUSY, Extra-dim, GUT, models with Majorana N etc
→ good probe for new physics

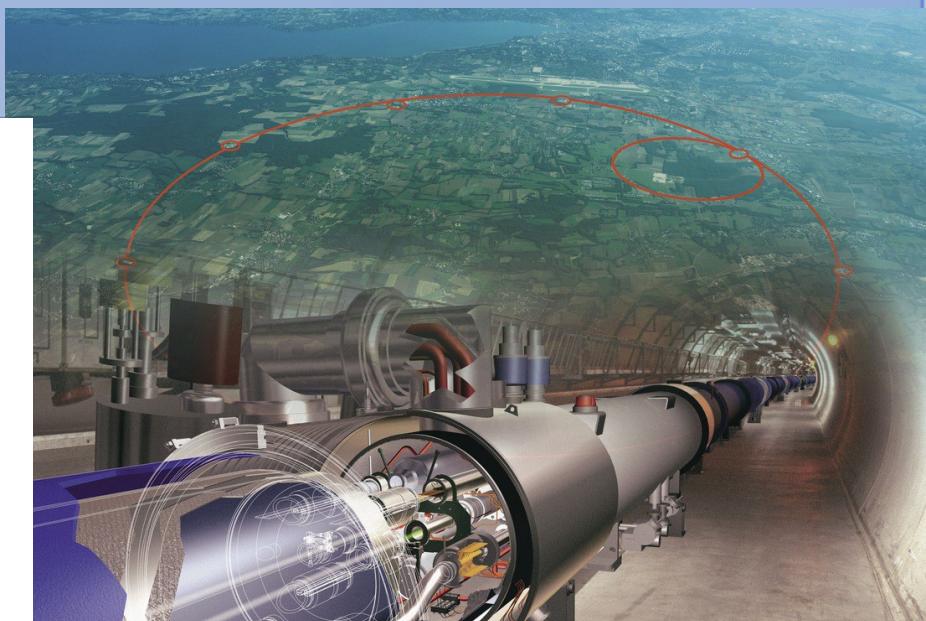
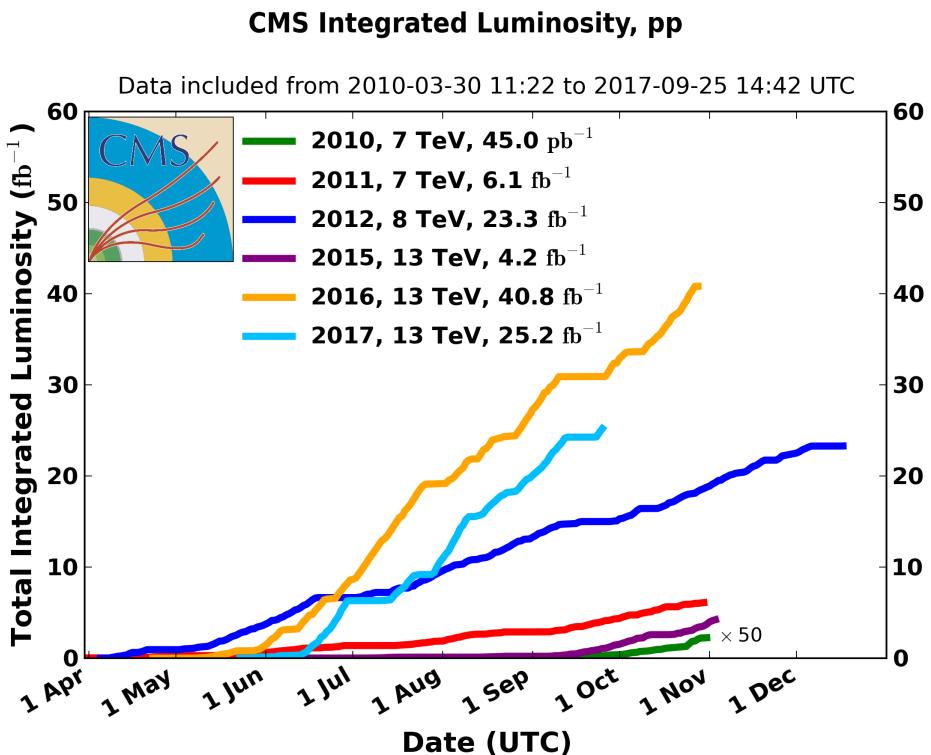
A natural way to generate LNV and neutrino mass :

- Seesaw Mechanism (type I, II, III)
- Left-Right Symmetry model offers the Seesaw scale and heavy neutrinos

$$SU(2)_L \otimes SU(2)_R \otimes U(1)_{B-L} \quad M_{W_R} \gg M_{W_L}$$

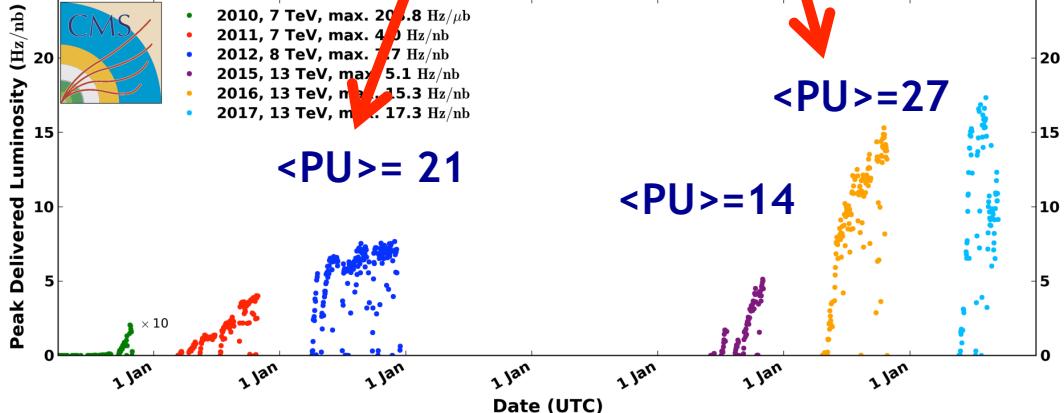


The LHC

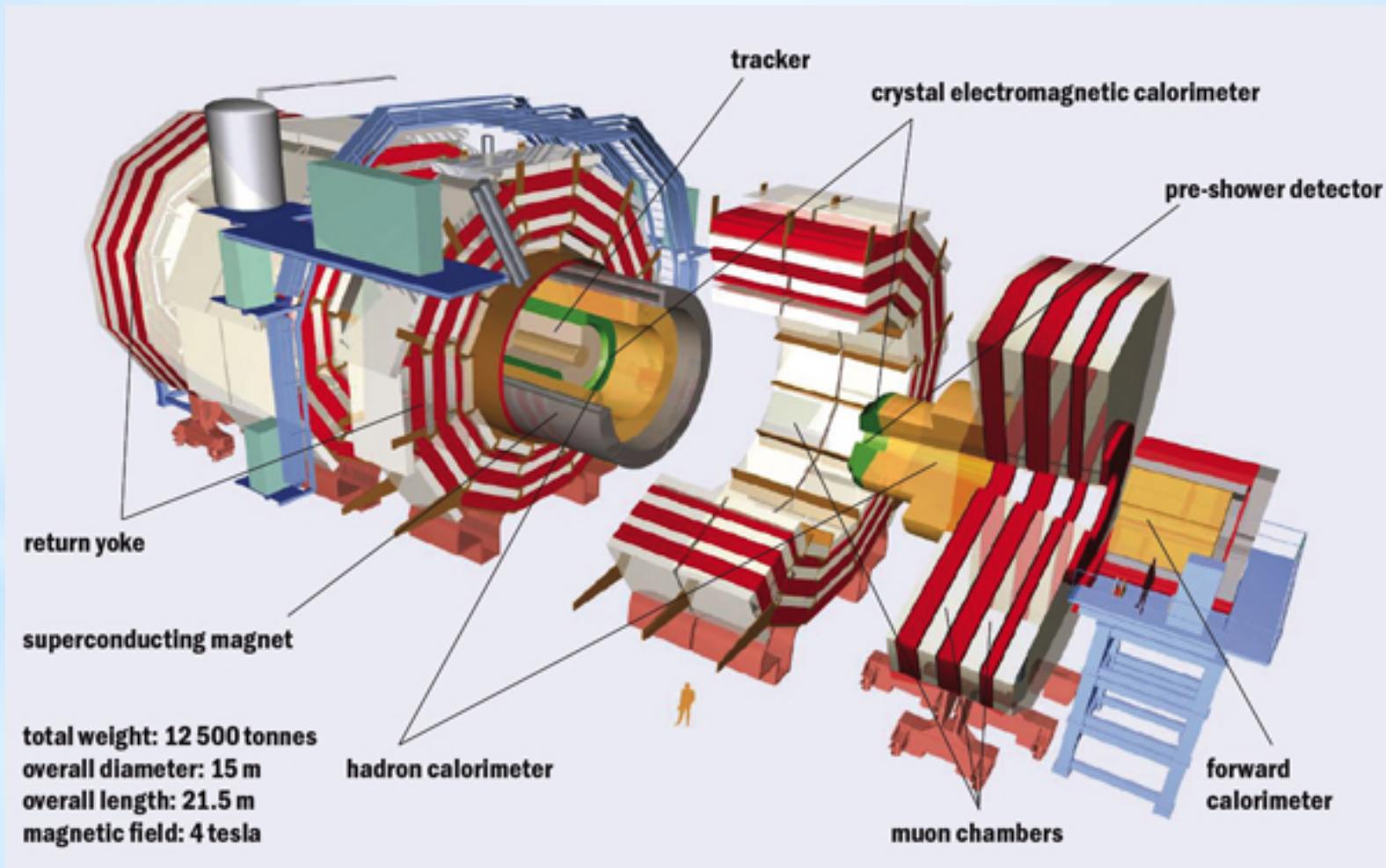


run1 & run2

Analyses presented
in this talk use :
2012 : 8 TeV, 23 fb^{-1}
2015 : 13 TeV, 4 fb^{-1}
2016 : 13 TeV, 40 fb^{-1}
(LHC delivered luminosity)



The CMS detector



High precision multipurpose detectors

Particle identification: electrons, muons, photons, charged hadrons, neutral hadrons

LFV searches @CMS : outline

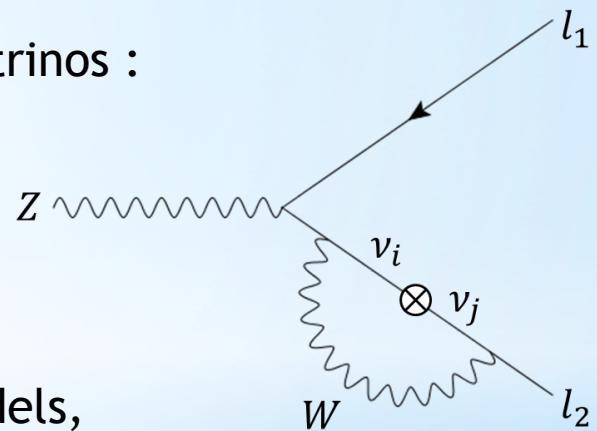
- Lepton flavour violation in Z decays
- Lepton flavour violation in H decays
- LFV of heavy state $X \rightarrow e\mu$
- Search for heavy neutrinos :
 - Type I seesaw and Left-Right SM
 - Type III seesaw

Low energy results (e.g., $\mu \rightarrow e\gamma$, $\mu \rightarrow eee$, $\tau \rightarrow \mu\gamma$, etc.) provide constraints (but often with assumptions)

LFV in Z decays

LFV in Z decays : $Z \rightarrow e\mu$

- From one-loop decay with flavor-oscillating neutrinos :
Predicts LFV in Z decay, $\text{Br}(Z \rightarrow e\mu) < 10^{-60}$
- In BSM scenarios :
 $\text{Br}(Z \rightarrow e\mu)$ can be enhanced to 10^{-8} or 10^{-9}
ex : Models with massive Dirac
or Majorana neutrinos, extended gauge models,
or RPV SUSY arXiv:hep-ph/0010193
- Indirect constraint from low energy experiment :
 $\mu \rightarrow 3e$: $\text{Br}(Z \rightarrow e\mu) < 5 \times 10^{-13}$
worth to check if this hold at high energy
- Limit from LEP : $\text{Br}(Z \rightarrow e\mu) < 1.7 \times 10^{-6}$ @95% CL



LFV in Z decays : $Z \rightarrow e\mu$

2012 data analysis (8 TeV, 19.7 fb^{-1})

Search for a bump around the Z mass in the invariant $e\mu$ mass spectrum

Main backgrounds: $Z \rightarrow \tau\tau$, $W+W^-$, top-quark pair production, tW , WZ , ZZ , $Z+\text{jets}$ and $W+\text{jets}$

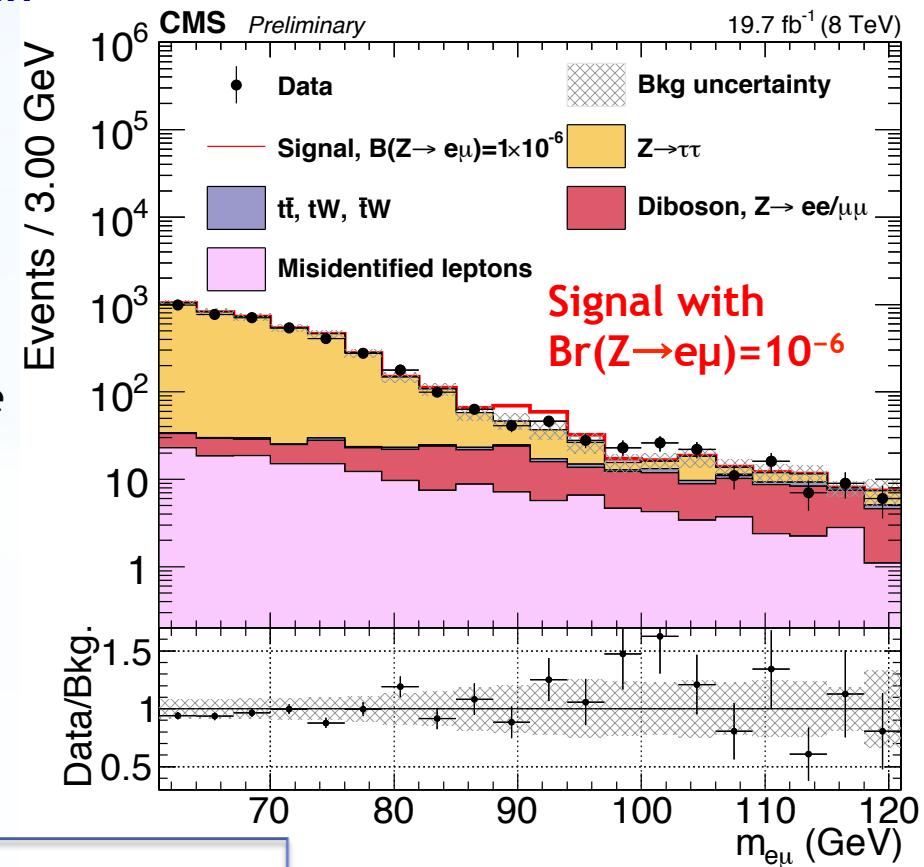
Strong event selection to reduce the backgrounds : isolated e and μ , opposite charge, third lepton veto, jet veto, transverse mass (muon) veto, $p_T(Z) < 10 \text{ GeV}$

Count events in window around the Z

- Background prediction of 83 ± 9
- Events found in data: 87
- Use CLS method to determine limit

Limits @95% CL : $\text{Br}(Z \rightarrow e\mu) < 7.3 \cdot 10^{-7}$ (Observed)
 $6.7 \cdot 10^{-7}$ (Expected)

CMS-PAS-EXO-13-005



Better limit than LEP

LFV in Higgs decays

LFV in Higgs decays

- LFV couplings to the Higgs possible, e.g., if SM only valid to finite scale
For example in 2 Higgs Doublet models (2HDM), extra-dimension models, compositeness models.

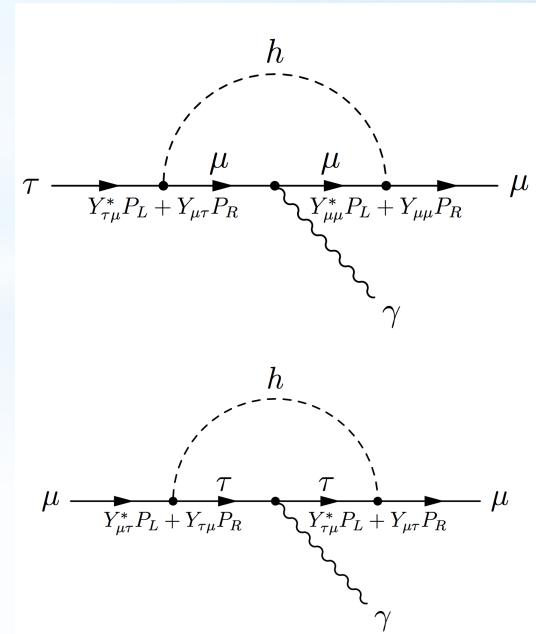
arXiv:1209.1397

LFV Higgs couplings would allow processes like :
 $\mu \rightarrow e$, $\tau \rightarrow \mu$ and $\tau \rightarrow e$ via a virtual Higgs boson

- Strong constraint:
from searches for $\mu \rightarrow e\gamma$
 $\text{Br}(H \rightarrow e\mu) < O(10^{-8})$ @ 95% CL

Weaker limits on
 $\text{Br}(H \rightarrow e/\mu\tau) < O(10\%)$ @ 95% CL
from searches for $\tau \rightarrow e/\mu\gamma$ and
 $\mu/e g-2$ measurements

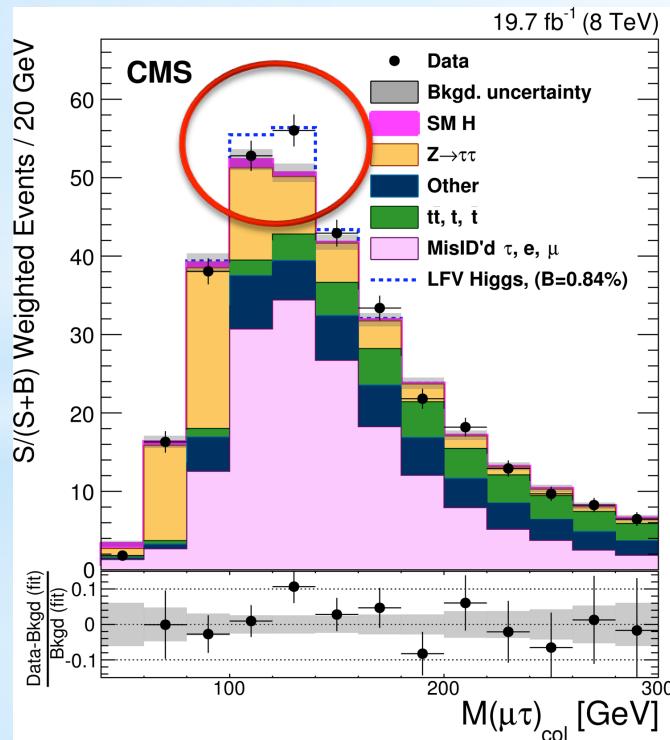
→ direct search for $H \rightarrow e\tau$ and $H \rightarrow \mu\tau$: promising



History of $H \rightarrow \mu\tau$ channel @CMS

Search for $H \rightarrow \mu\tau$, 2 channels: $\mu \tau(e)$ and $\mu \tau(h)$, and 3 categories: 0,1,2 jets

2012 data analysis (8 TeV, 19.7 fb^{-1})



A small (2.4 sigmas) excess

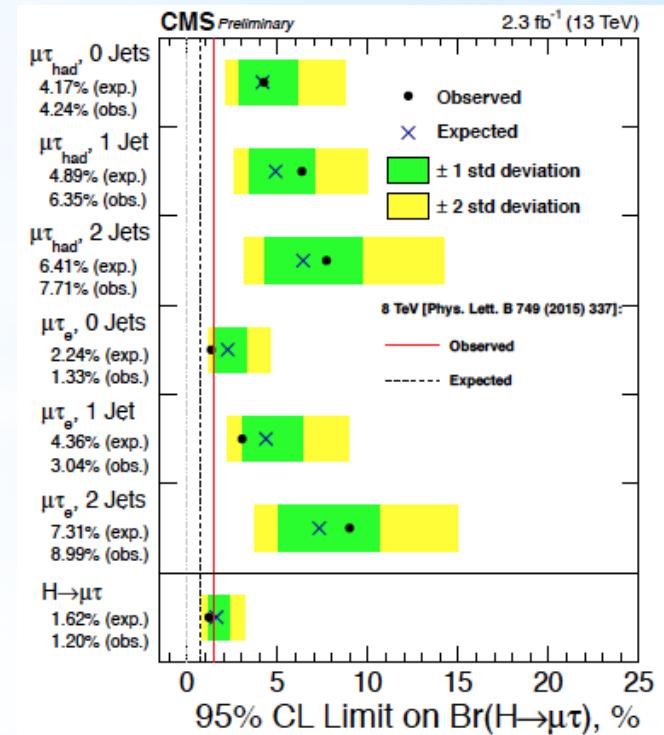
$\text{Br}(H \rightarrow \mu\tau) < 1.51\%$ (0.75% expected)

$\text{Br}(H \rightarrow e\tau) < 0.69\%$

$\text{Br}(H \rightarrow e\mu) < 0.035\%$

PLB763C (2016) 472

2015 data analysis (13 TeV, 2.3 fb^{-1})



$\text{Br}(H \rightarrow \mu\tau) < 1.20\%$
(1.62% expected): not yet
at the Run1 sensitivity

H \rightarrow $\mu\tau$ & H \rightarrow e τ channels

NEW

UPDATE : 2016 dataset (13 TeV, 35.9 fb $^{-1}$)

Number of channels : $\mu\tau(e)$ & $\mu\tau(h)$ and $e\tau(\mu)$ & $e\tau(h)$

Number of categories : 0, 1, 2 & VBF

Very similar signatures as the SM H \rightarrow $\tau\tau$ but with significant kinematic differences

The e(μ) in the LFV H \rightarrow e(μ) τ decay produced promptly
 \rightarrow larger momentum than in the SM H \rightarrow $\tau(e/\mu)\tau(h)$

Analysis strategy :

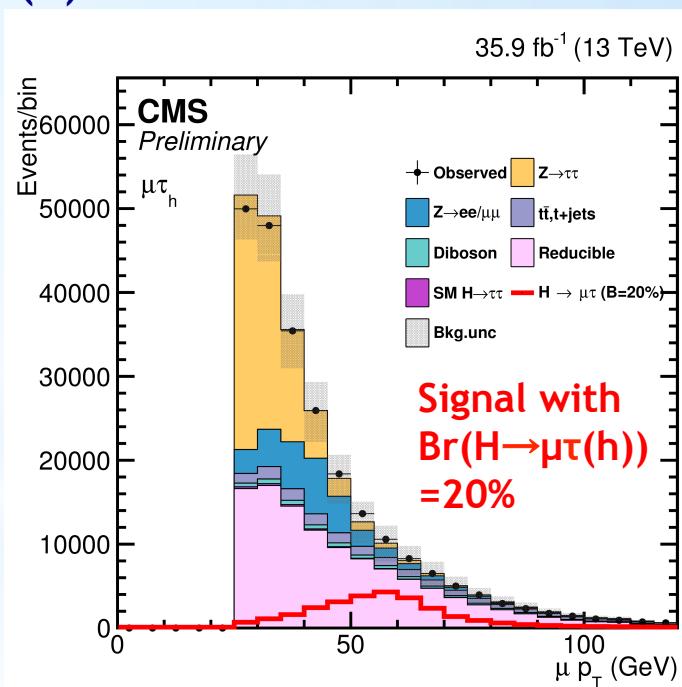
- Loose selection+BDT analysis-fit: a set of 8 kinematics variables is combined into a Boost Decision Tree (BDT) ($p_{T1}, p_{T2}, M_{col}, E_T(miss), M_T(\tau(h)), \Delta\eta(l_1, l_2)$, and 2 $\Delta\Phi$ cuts)
- M_{col}-fit analysis as cross check (cut based analysis)

Background estimation : using data driven technique (control regions)
 Z \rightarrow $\tau\tau$, Z $\rightarrow\mu\mu$, Z $\rightarrow ee$, also W+jets, multijet bg from QCD (fake leptons)
 tt+jet, H $\rightarrow\tau\tau$, diboson (using simulations)

Collinear mass : estimate of Higgs mass using the observed decay of H + collinear approximation ($M_H \gg M_\tau$) $\rightarrow \tau$ are boosted
 \rightarrow suppose neutrinos direction = visible decay products of the τ

CMS PAS HIG-17-001

To be submitted to JHEP

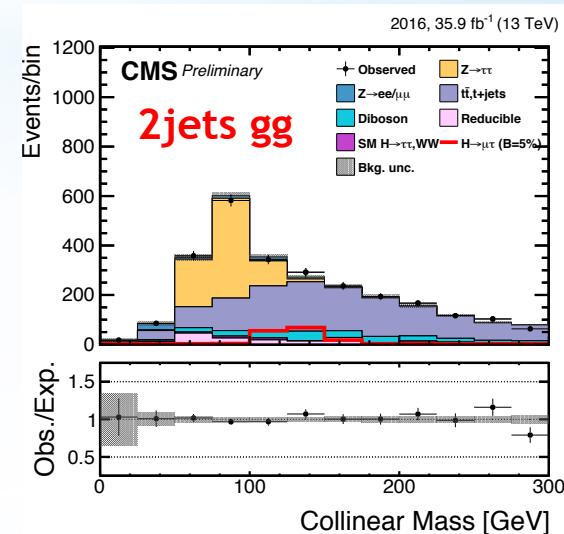
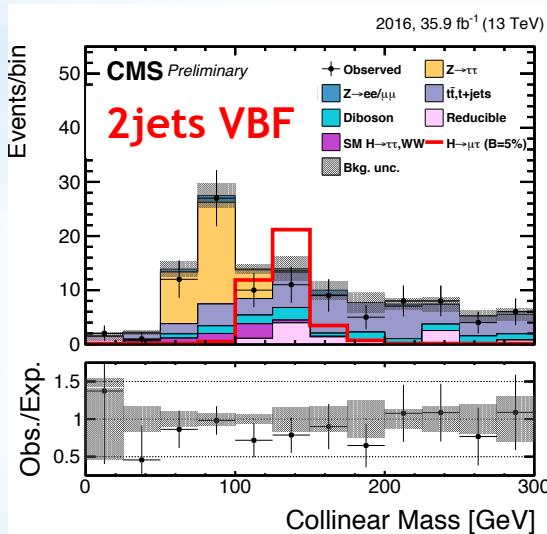
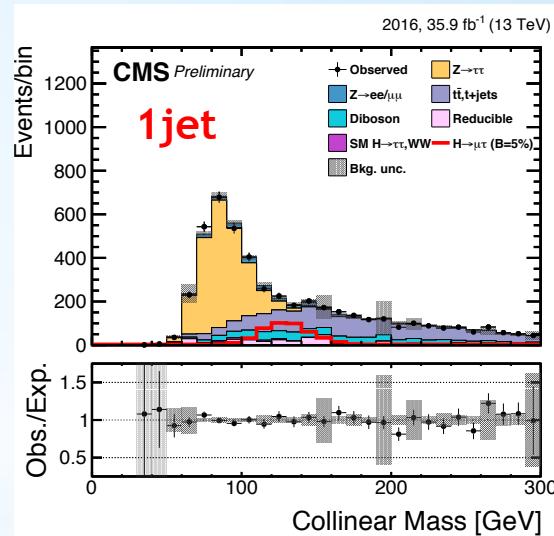
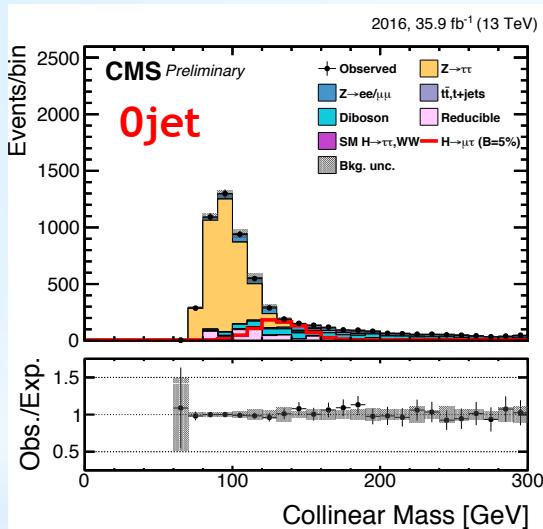


Collinear mass distribution

NEW

Collinear mass
 $H \rightarrow \mu\tau(e)$ channel :

Signal with
 $\text{Br}(H \rightarrow \mu\tau(e)) = 5\%$

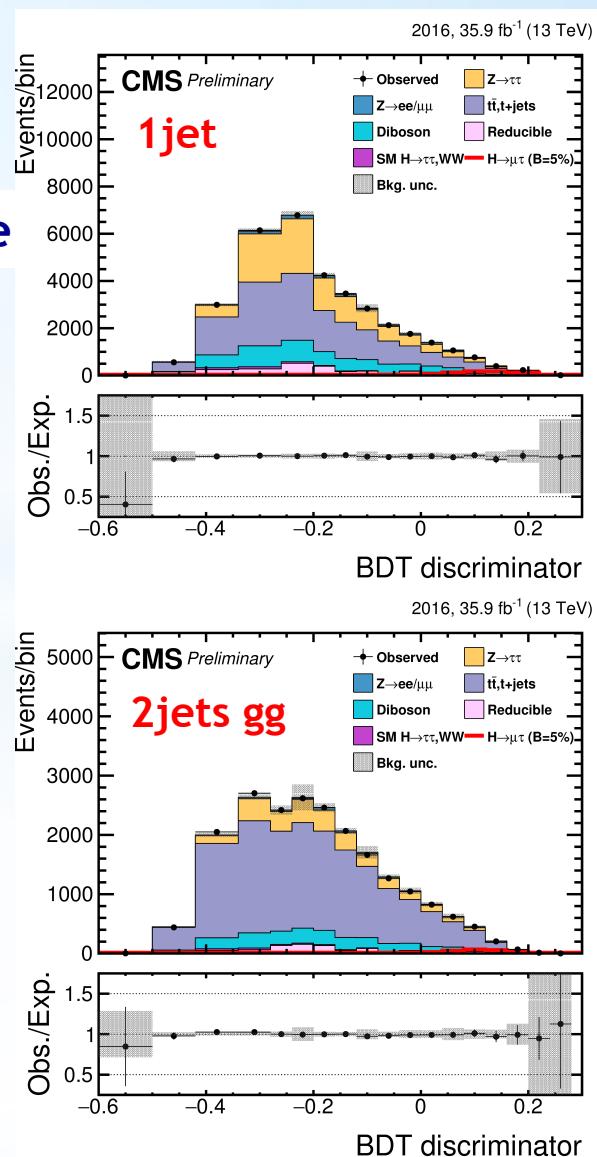
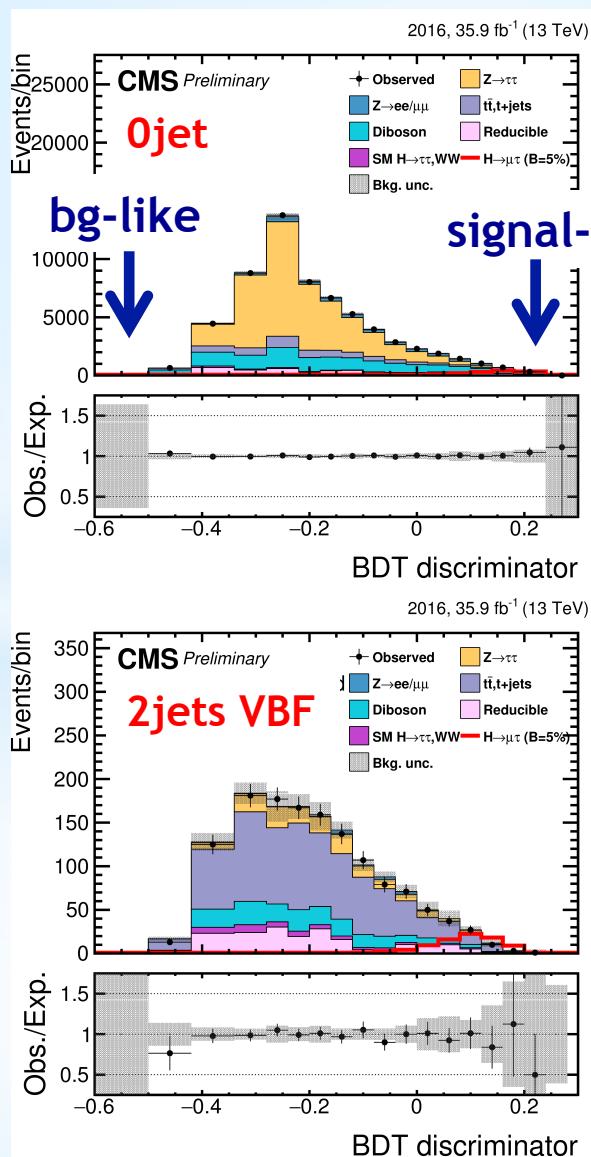


BDT output distribution

NEW

BDT output for the
 $H \rightarrow \mu\tau(e)$ channel :

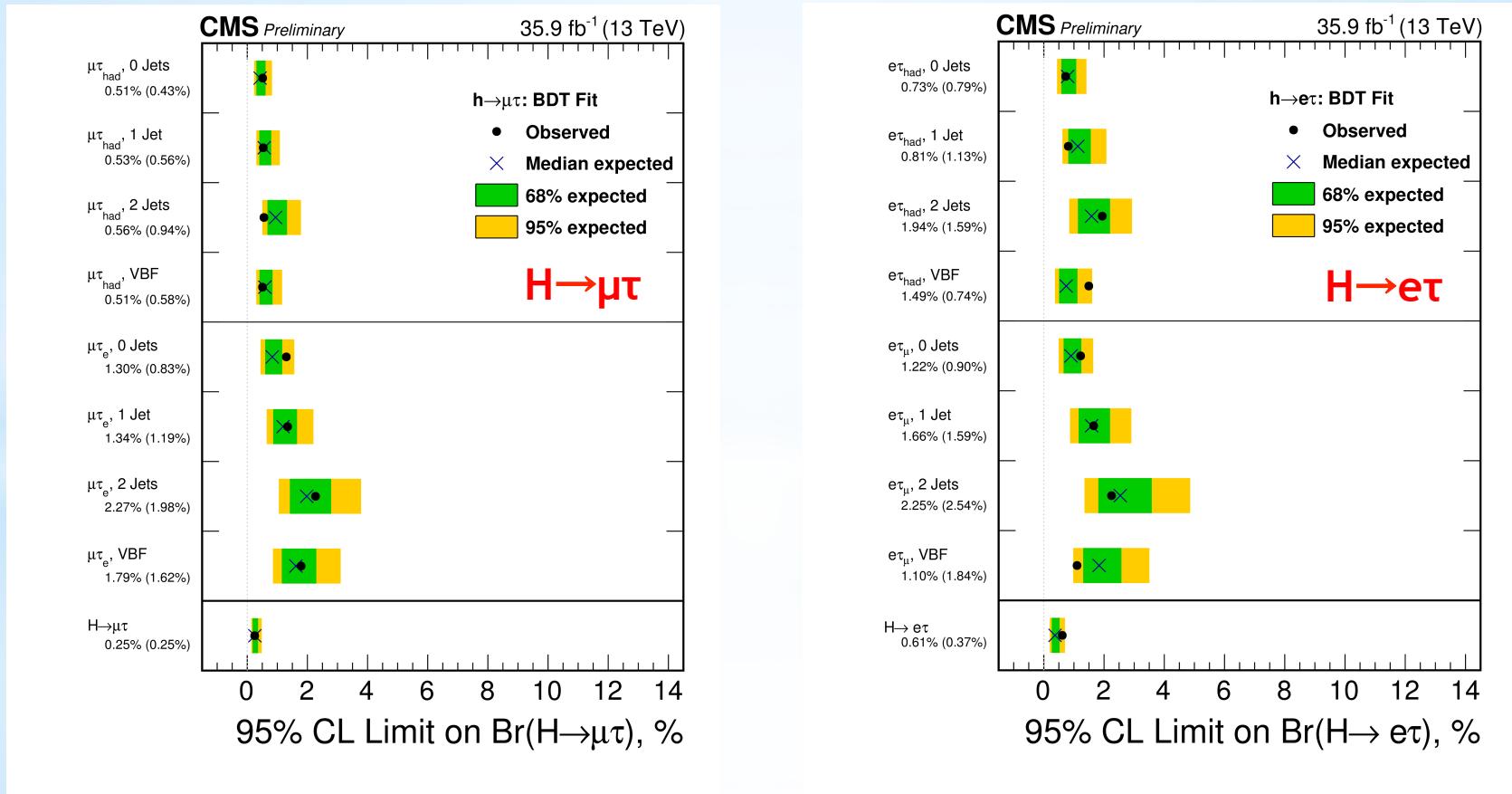
Signal with
 $\text{Br}(H \rightarrow \mu\tau(e)) = 5\%$



Results : $H \rightarrow \mu\tau$ & $H \rightarrow e\tau$

NEW

BDT-fit analysis results for the 8 categories :



Upper limits @95% CL :

$\text{Br}(H \rightarrow \mu\tau) < 0.25\% \text{ (0.25\% exp.)}$

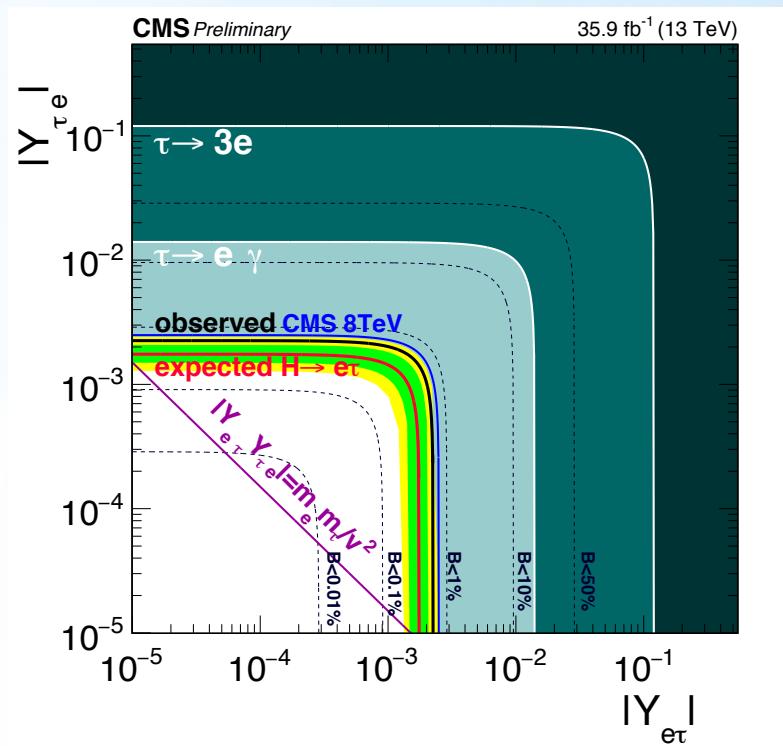
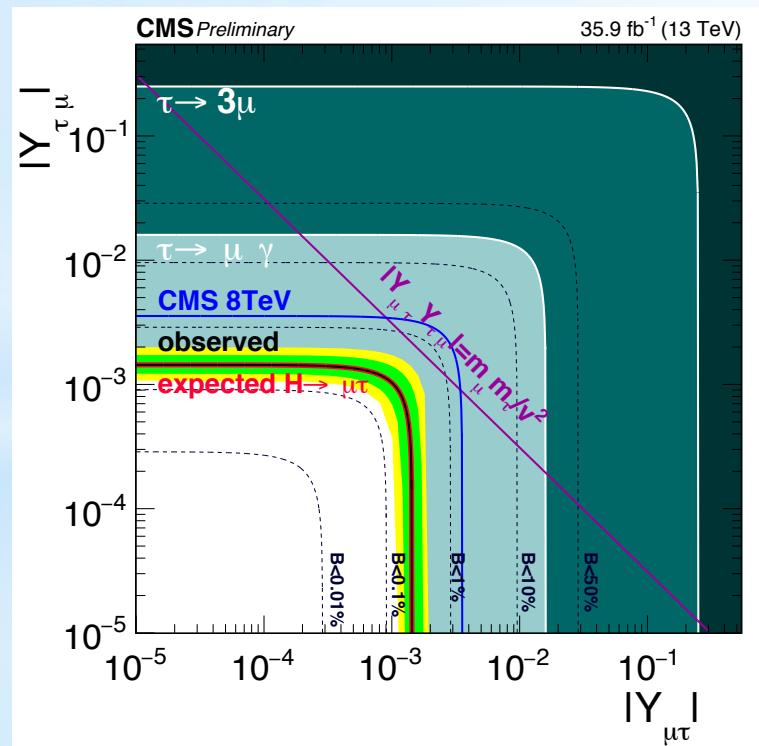
$\text{Br}(H \rightarrow e\tau) < 0.61\% \text{ (0.37\% exp.)}$

→ Clear improvements compared to results from 2012 and 2015 data analyses
 The 2.4 sigmas excess (Run1) is now excluded by the 2016 data analyses

Results : $H \rightarrow \mu\tau$ & $H \rightarrow e\tau$

NEW

Upper limits on the off-diagonal $\mu\tau$ and $e\tau$ Yukawa couplings:
From BDT method :



	M_{col} -fit	BDT-fit
$\sqrt{ Y_{\mu\tau} ^2 + Y_{\tau\mu} ^2}$	$< 2.05 \times 10^{-3}$	$< 1.43 \times 10^{-3}$
$\sqrt{ Y_{e\tau} ^2 + Y_{\tau e} ^2}$	$< 2.45 \times 10^{-3}$	$< 2.26 \times 10^{-3}$

LFV decay of heavy states $X \rightarrow e\mu$

Selection cuts and background

2012 data analysis (8 TeV, 19.7 fb^{-1}) → EPJC 76 (2016) 317

2015 data analysis (13 TeV, 2.7 fb^{-1}) → CMS-PAS-EXO-16-001

2016 data analysis → in preparation

Analysis strategy : inclusive search

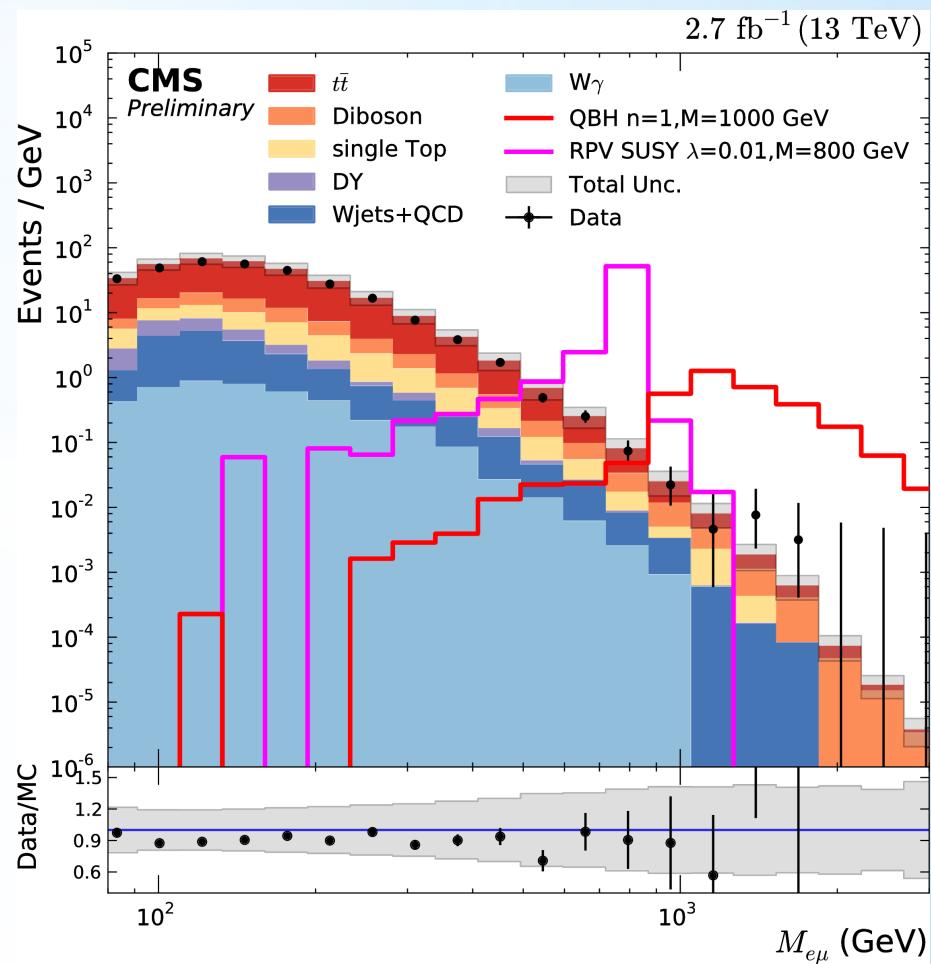
Single- μ trigger

e : $p_T > 53 \text{ GeV}$, $| \eta | < 2.4$

μ : $p_T > 35 \text{ GeV}$, $| \eta | < 2.5$

→ $e\mu$ invariant mass spectrum:

- No further criteria to remain model independent
- Main backgrounds: top-quark pairs and W^+W^-
- Interpretation in 2 BSM models :
 - R-parity violating SUSY
 - Extra-dimension model - Quantum Black Hole



Interpretation in RPV SUSY

- **SUSY allows superpotential :**

Violate R-parity, $R = (-1)^{3(B-L)+2S}$

λ and λ' terms violate lepton N and F

λ'' terms violate baryon number

Limits on proton decay mean either λ and λ' are 0 or λ'' terms are 0

$$W = \frac{1}{2} \lambda_{ijk} L_i L_j E_k + \lambda'_{ijk} L_i Q_j \bar{D}_k + \frac{1}{2} \lambda''_{ijk} \bar{U}_i \bar{D}_j \bar{D}_k + \epsilon_i L_i H_2$$

- **R-parity violating SUSY with τ sneutrino as LSP:**

- LSP can decay into $e\mu$ or dd via couplings λ_{132} , λ_{231} or λ'_{311}
Suppose $\lambda_{132} = \lambda_{231}$
- from $\mu \rightarrow e$ conversion experiments:
 $|\lambda_{132} \lambda'_{311}| < 4.1 \cdot 10^{-9} \cdot (M/100 \text{ GeV})^2$

CMS limits @95% CL :

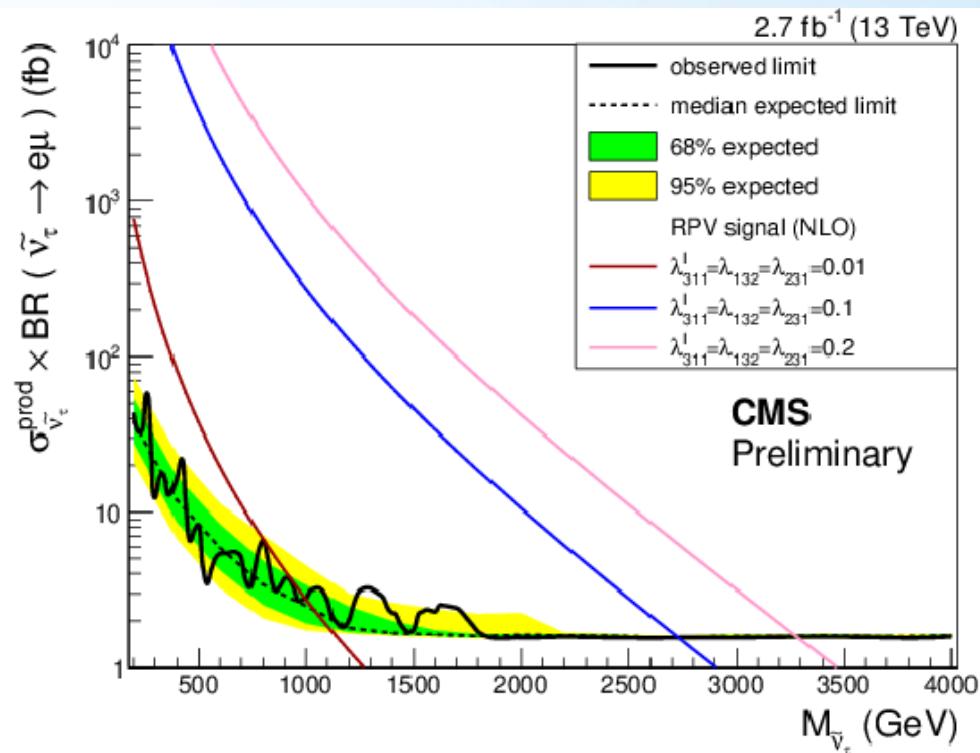
2015 : $M < 1.0$ (3.3) TeV

for $\lambda_{132} = \lambda'_{311} = 0.01$ (0.2)

2012 : $M < 1.28$ (2.30) TeV @ 95% CL

for $\lambda_{132} = \lambda'_{311} = 0.01$

($\lambda_{132} = 0.07$, $\lambda'_{311} = 0.11$)

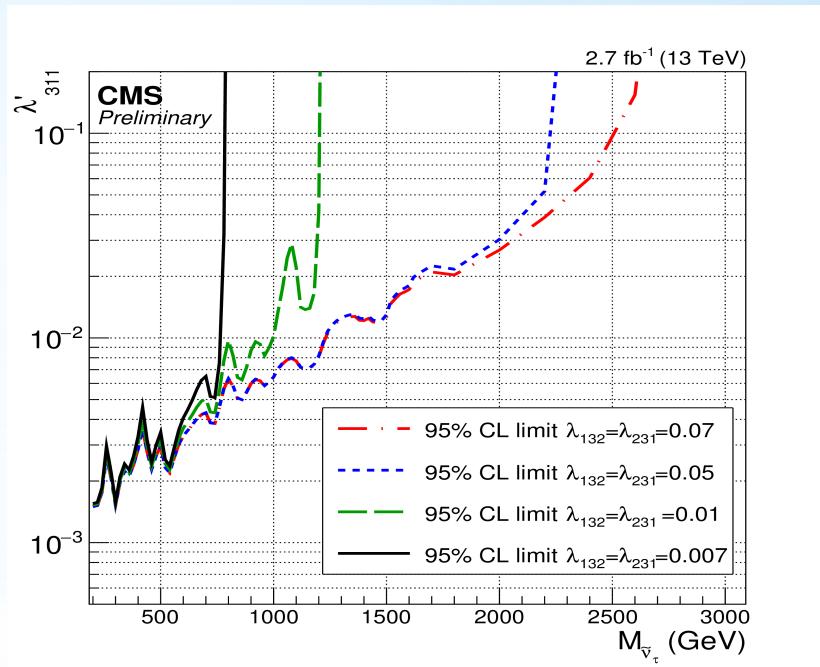
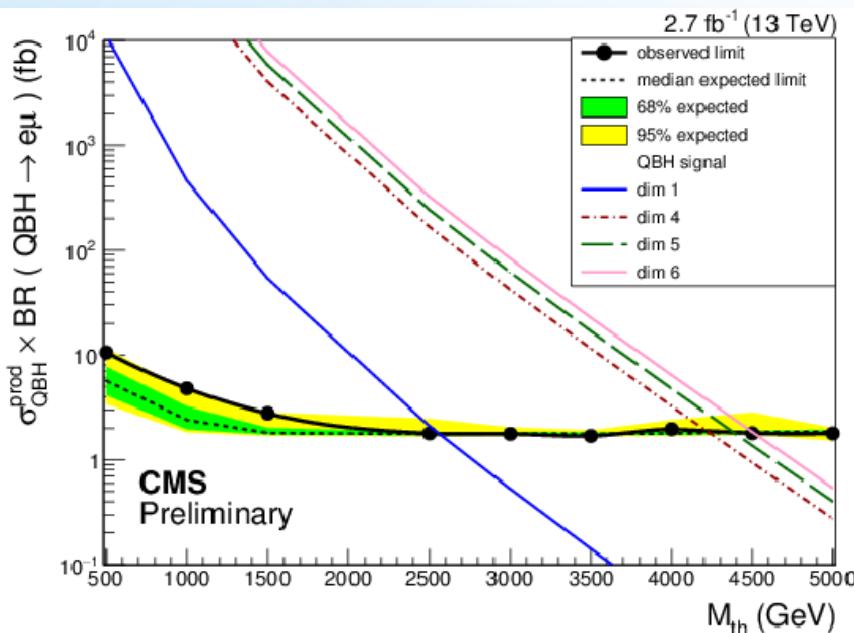


Upper limits & model interpretation

- R-parity violating SUSY with τ sneutrino as LSP :

Limit in the plane $(\lambda'_{311}, M) \rightarrow$

- Quantum black holes :



- Spin-0, colorless & neutral QBH with LFV considered
- Cross section depends on threshold mass and number of extra dimensions n

CMS limits @95% CL :

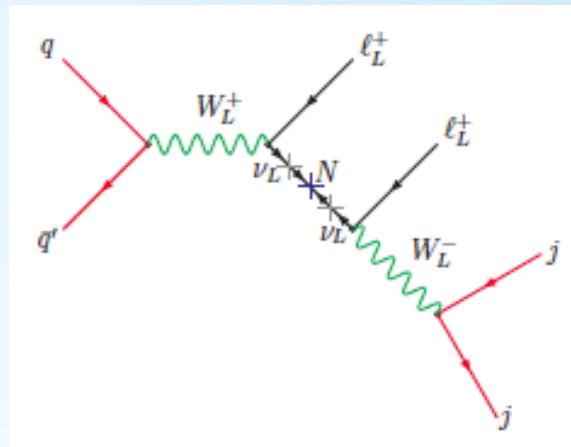
2015 : $M < 2.50 - 4.50 \text{ TeV}$ for $n=1-6$

2012 : $M < 2.36 - 3.63 \text{ TeV}$ for $n=1-6$

Search for heavy neutrinos and right-handed W

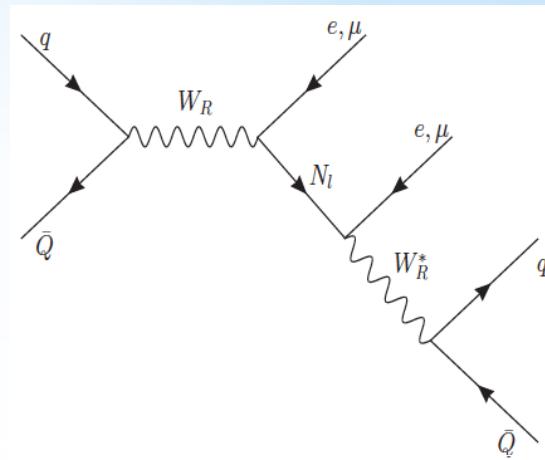
Search for heavy N @CMS

- **Search for the “Type I Seesaw” mechanism :**
Resonant production via s-channel W^* or W (real)
probe light-heavy mixing
Majorana neutrino: 50% same-sign
Cross section depends on $|V_{LN}|^2$ and m_N
Signal : 2 SS leptons (e or μ) + 2jets + no MET
SS = same sign



- **Search for Left-Right SM (LRSM):**
Search for a resonance W_R production
Signal : 2 leptons (e or μ) + 2jets + no MET
(no sign requirement)

Two jets from W decay :
 $m(jj) = m(W)$



→ Same final state as type I
But different kinematics

LRSM searches @8 TeV

Selection :

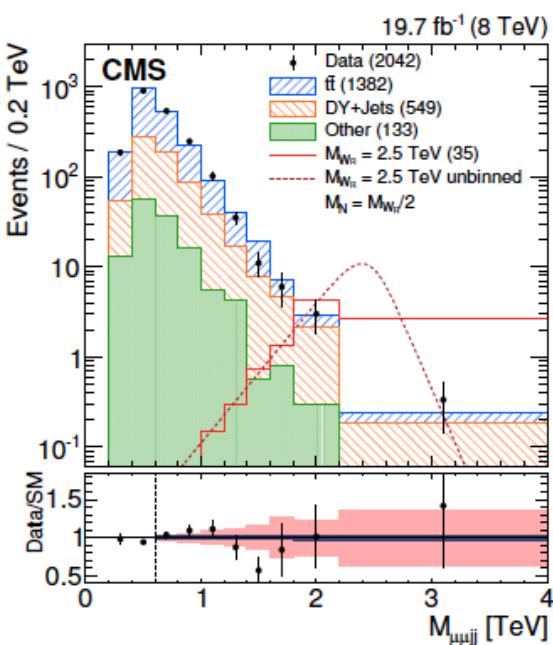
2 isolated leptons (no charge requirement)

Lepton $p_T > 60, 40$ GeV, $M(l\bar{l}) > 200$ GeV

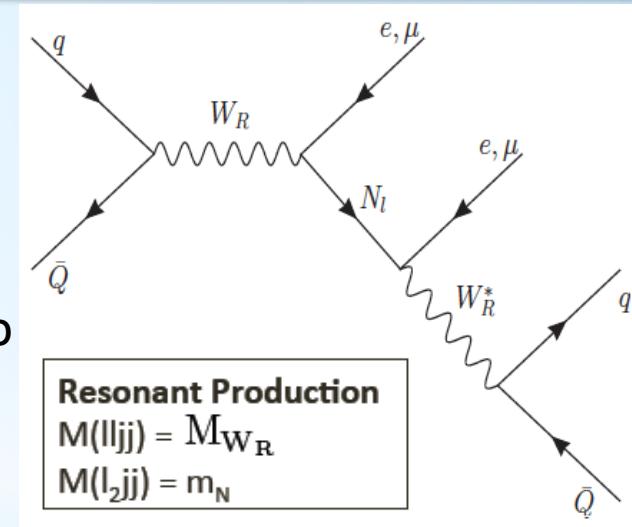
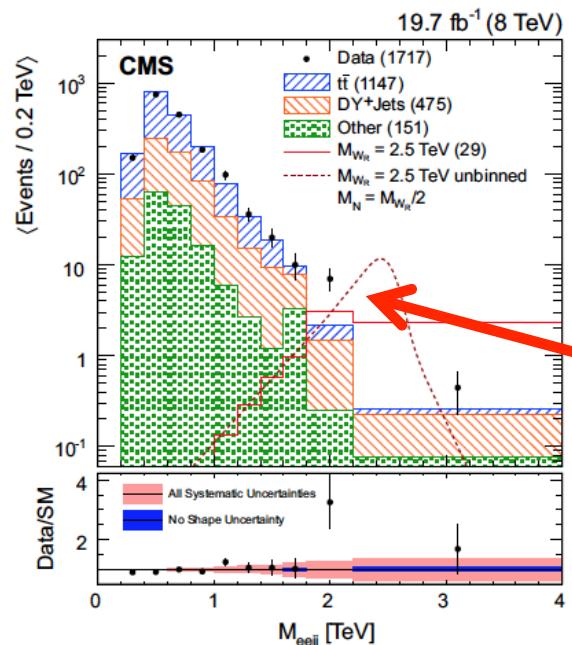
N jets ≥ 2 , $M(l\bar{l}jj) > 600$ GeV

For $m(N) \ll m(W_R)$, jets and lepton from N decay overlap
 → Need dedicated isolation criteria

Di-muon channel



Di-electron channel



Main backgrounds:
 $t\bar{t}$ and DY+jets

A 2.8σ effect
 (local significance)
 In the eejj channel only

Update - Searches at 13 TeV

NEW

2015 data analysis (13 TeV, 2.7 fb^{-1})

Search for a heavy right-handed N_l and a heavy W_R

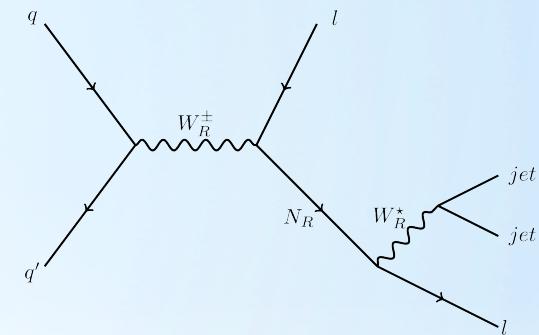
In the LRSM model

2 isolated leptons (no charge requirement)

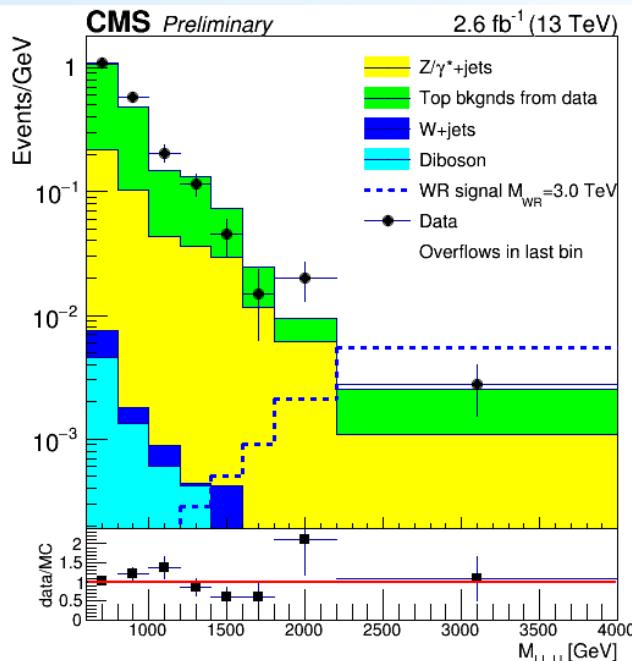
Lepton $p_T > 60, 53 \text{ GeV}$, $M(l\bar{l}) > 200 \text{ GeV}$

N jets ≥ 2 , $p_T > 40 \text{ GeV}$, $M(l\bar{l}jj) > 600 \text{ GeV}$

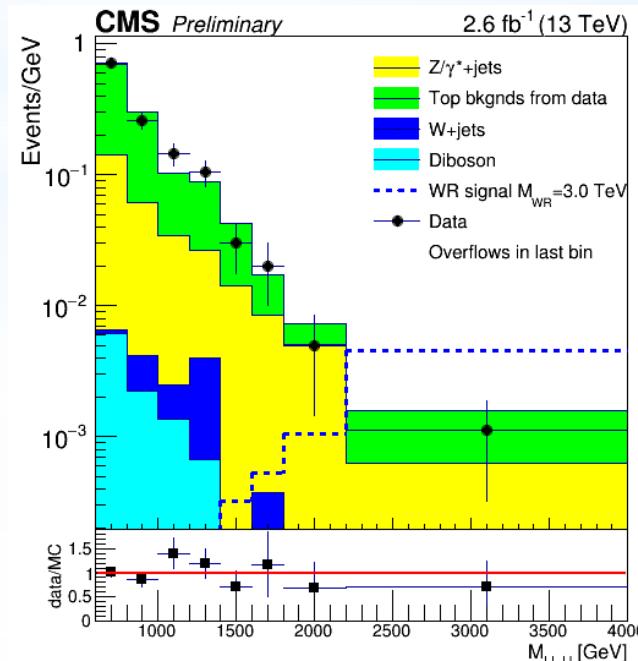
CMS PAS EXO-16-045



Di-muon channel



Di-electron channel



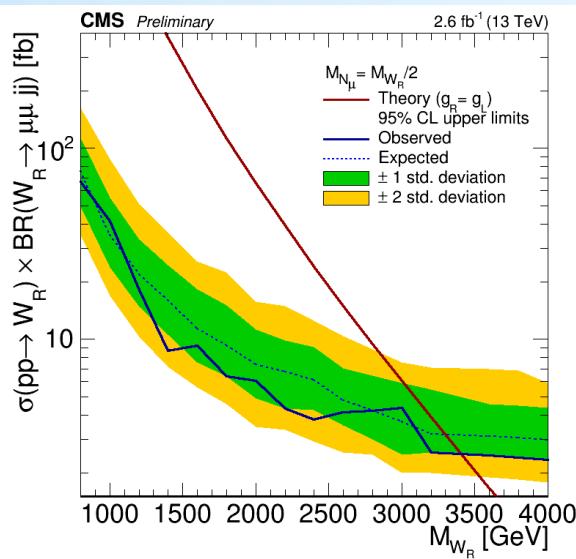
For the signal shown :
 $M(W_R) = 3 \text{ TeV}$
 $M(N_l) = \frac{1}{2}M(W_R)$

Main
backgrounds:
tt and DY+jets

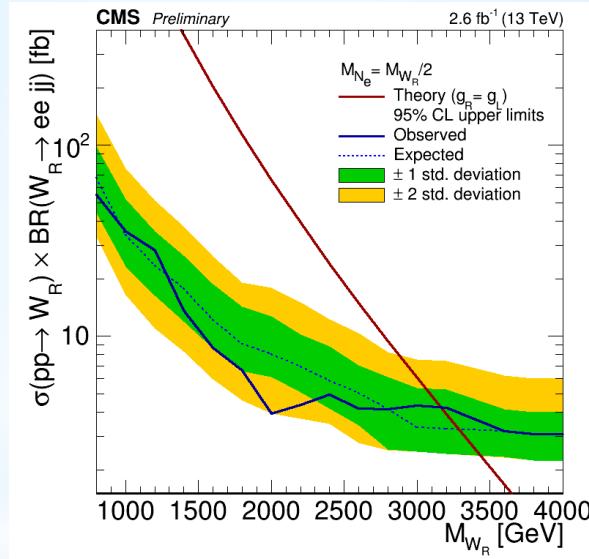
Update - Searches at 13 TeV

NEW

Di-muon channel



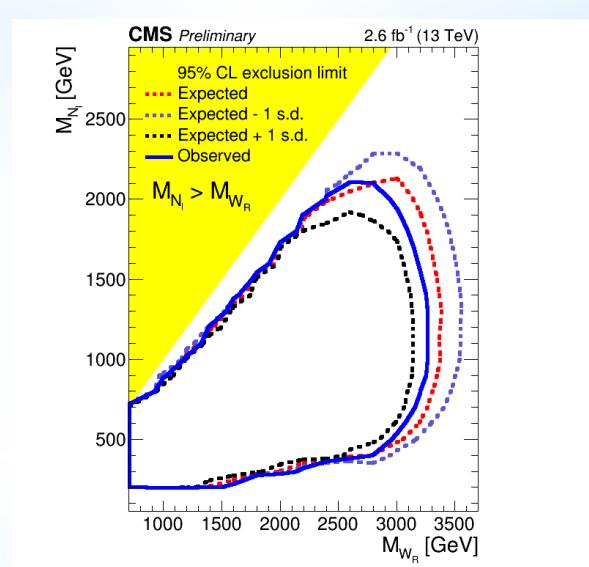
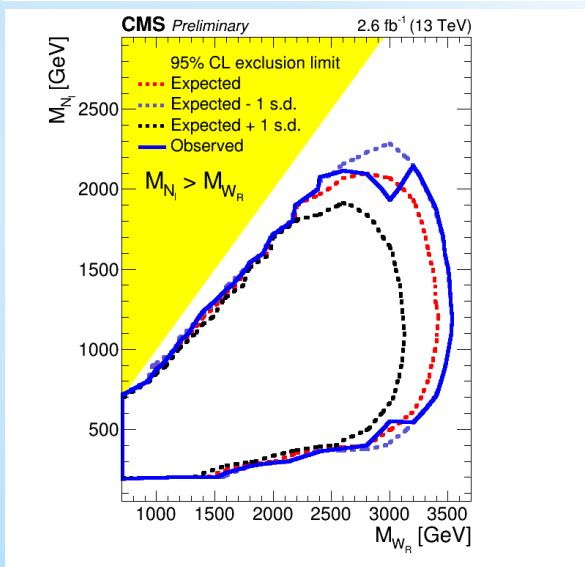
Di-electron channel



CMS PAS EXO-16-045

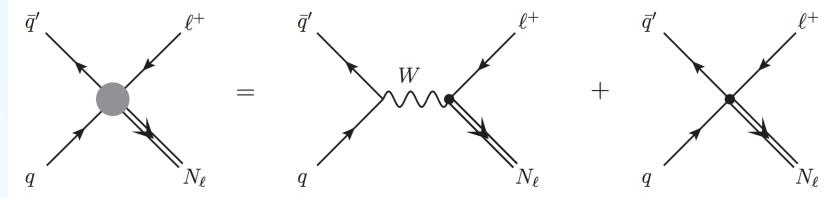
Upper limits on cross section as a function of the W_R mass
For $M(N_l) = 1/2M(W_R)$

$M(W_R) > 3.5(3.3)$ TeV
in the $\mu\mu jj$ ($ee jj$) channel

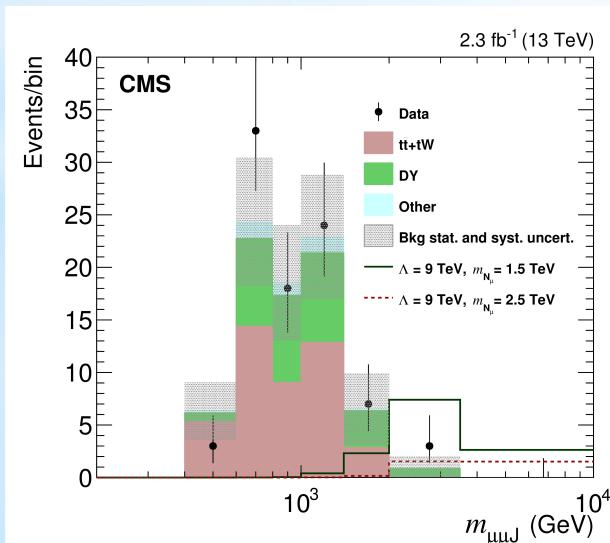


2D mass exclusion limits in the $M(W_R)$, $M(N_l)$ plane

- Test a recently proposed model :
- Heavy composite Majorana neutrino (HCMN)
- Final state : $llqq'$
- 2 same flavor leptons and 2 jets

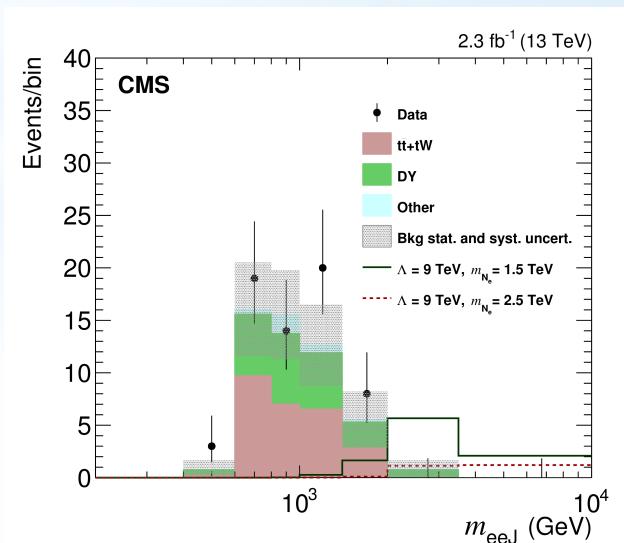


Di-muon channel
 $p_T(\mu) > 53, 30$ GeV



$m(\text{ee or } \mu\mu) > 300$ GeV,
 ≥ 1 fat jet
with $p_T(\text{jet}) > 190$ GeV

Di-electron channel
 $p_T(e): 110, 35$ GeV



Upper limits at 95% CL on the cross section*Br
Exclude A Composite Majorana Neutrino of mass up to 4.50 (μ), 4.35 (e) TeV

Searches in the $\tau\tau$ channel

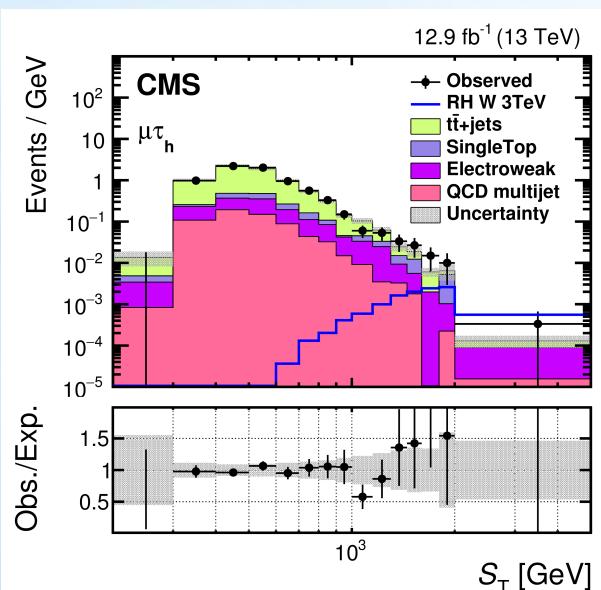
CMS PAS EXO-16-023

A 2.8σ excess in eejj channel but no excess in dimuon channel

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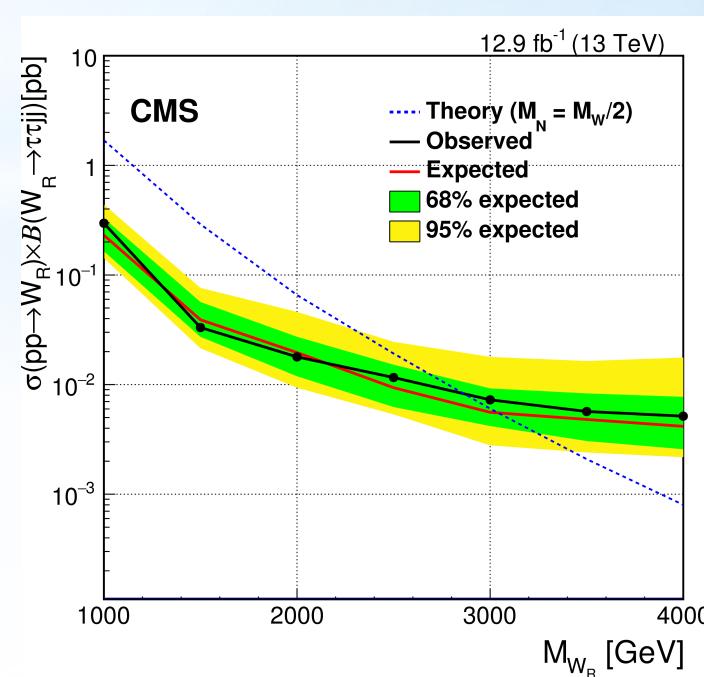
→ Searches in $\tau\tau$:

- All hadronic channel: $\tau_h\tau_h$, using 2.1 fb^{-1} at 13 TeV
The largest branching ratio, but large QCD bg from fake taus
- Lepton+hadronic channel: $\tau(e)\tau_h$, $\tau(\mu)\tau_h$, use 2016 data: 12.9 fb^{-1}
Clean events, but with a small branching ratio



$p_T(e \text{ or } \mu) > 50 \text{ GeV}$,
 $p_T(\tau_h) > 60 \text{ GeV}$,
 $\text{MET} > 50 \text{ GeV}$
2 jets with $p_T > 50 \text{ GeV}$
 $M(e\tau_h \text{ or } \mu\tau_h) > 150 \text{ GeV}$
 $M(j\tau_h) > 250 \text{ GeV}$

$$S_T = p_T(\ell) + p_T(\tau_h) + p_T(\text{jet}_1) + p_T(\text{jet}_2) + E_T^{\text{miss}}$$



No excess in data, exclude W_R up to 2.9 TeV

Type III seesaw mechanism

NEW

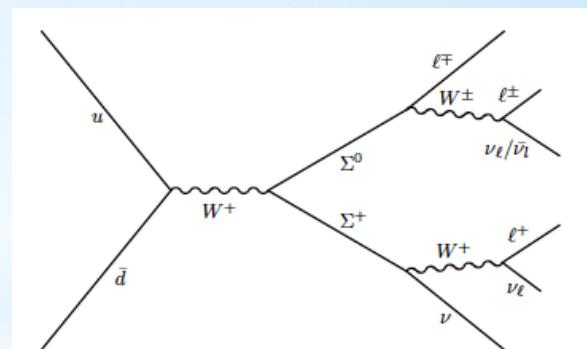
2016 data analysis (13 TeV, 35.9 fb⁻¹)

Search for type-III seesaw heavy fermions signal
in multilepton final states : 3 or 4 electrons or muons

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Different production and decay of $\Sigma^0\Sigma^\pm$ and $\Sigma^+\Sigma^-$
are considered via s-channel W^*
Suppose Σ^0 and Σ^\pm degenerate in mass

6 event categories :



N_{leptons}	OSSF & mass	Variable	p_T^{miss} requirement
3	OSSF1, on-Z	M_T	$p_T^{\text{miss}} > 100 \text{ GeV}$
3	OSSF1, above-Z	$L_T + p_T^{\text{miss}}$	—
	OSSF1, below-Z	$L_T + p_T^{\text{miss}}$	$p_T^{\text{miss}} > 50 \text{ GeV}$
	OSSF0	$L_T + p_T^{\text{miss}}$	—
≥ 4	OSSF1	$L_T + p_T^{\text{miss}}$	—
	OSSF2	$L_T + p_T^{\text{miss}}$	$p_T^{\text{miss}} > 50 \text{ GeV}$ if on-Z

Discriminant variable : scalar sum of charged pt + Missing pt
 $\rightarrow L_T + \text{MET}$

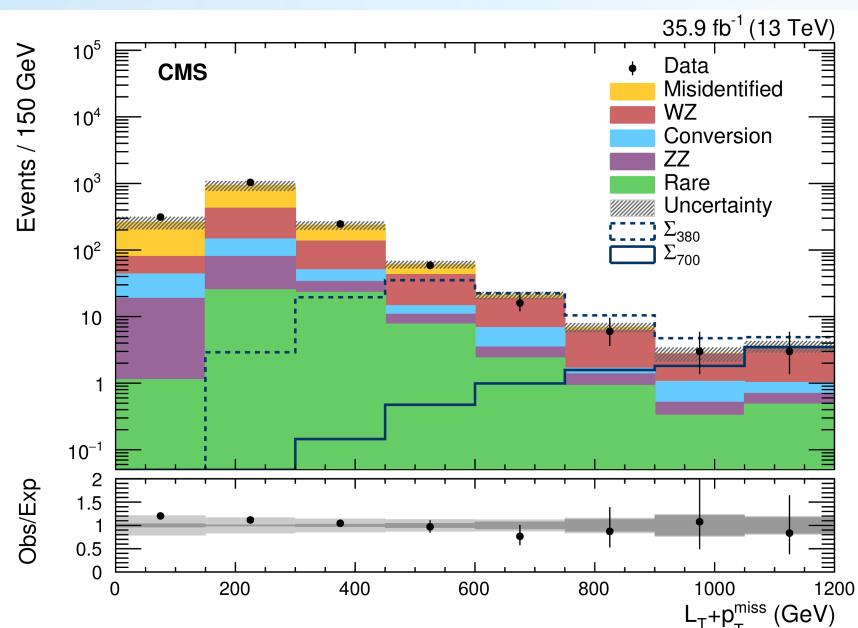
Type III seesaw mechanism

NEW

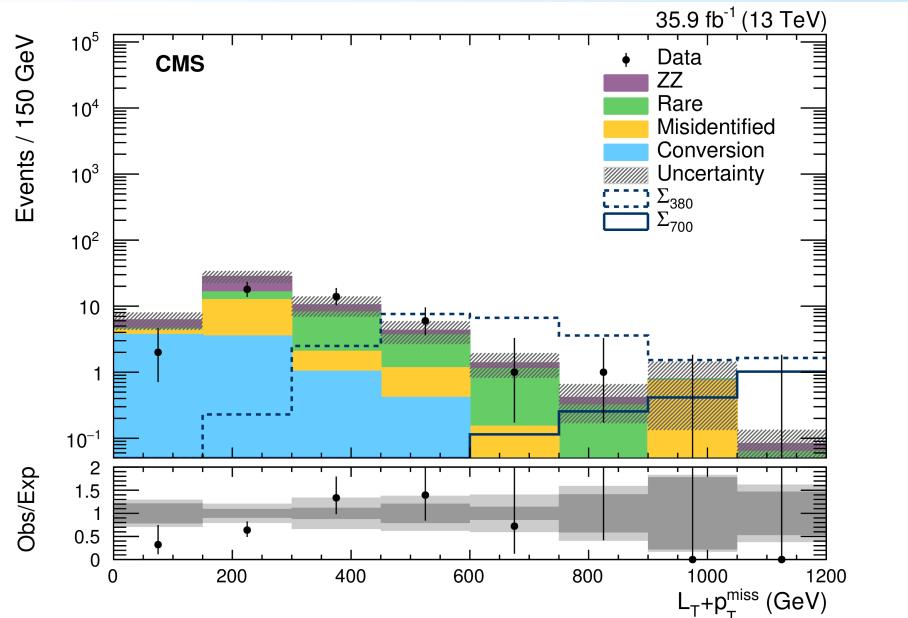
$L_T + \text{MET}$ distributions for two signal regions :

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$N(l)=3$, OSSF1, above-Z



$N(l)>=4$, OSSF1



Irreducible bg from WZ and ZZ processes

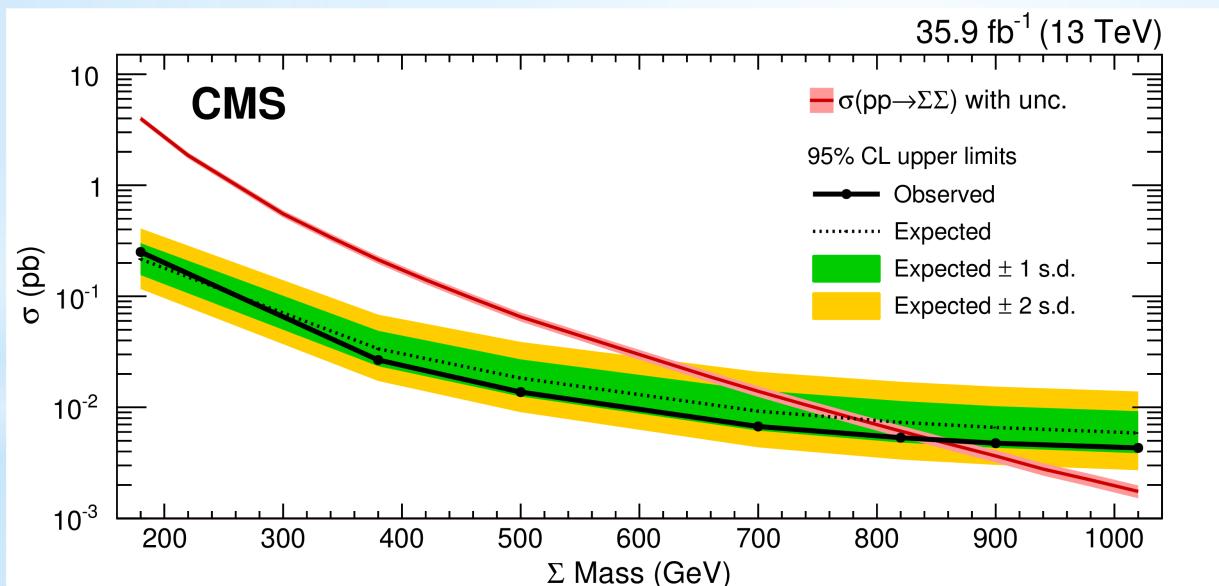
Reducible bg from Z+jets, tt+jets (fake-leptons)

Type III seesaw mechanism

NEW

The 95% CL upper limits on the cross section for production of heavy fermion pairs:

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Theo. prediction :
in the flavor-democratic
scenario

Limits :

- In the lepton-flavor democratic scenario ($B_e=B_\mu=B_\tau$): heavy fermion pair production is excluded for masses below 840 GeV (780 GeV expected)
- In the $\tau\tau$ -phobic case ($B_\tau=0, B_e+B_\mu=1$) the mass limits range from 900 to 930 GeV

Summary

- Neutrinos oscillations provide interest for various BSM searches @LHC : searches for charged LFV and searches for heavy neutrinos
- Test various models that try to explain the small ν mass
- Previous excess in H decay and LRSM searches : not confirmed with new data

Results
Reported on:
(highlights
on updates
using the
2016 dataset)

- **Search for LFV in the decays :**
 $Z \rightarrow e\mu$, $X \rightarrow e\mu$, and $H \rightarrow e\tau$ and $H \rightarrow \mu\tau$
 $\text{Br}(H \rightarrow \mu\tau) < 0.25\%$ (0.25% exp.)
 $\text{Br}(H \rightarrow e\tau) < 0.61\%$ (0.37% exp.)
- **Search for a heavy neutrinos:**
 - LRSM scenario right-handed N_i and a heavy W_R
For the $\mu\mu jj$ channels : $M(W_R) > 3.5$ TeV
For the $ee jj$ channels : $M(W_R) > 3.3$ TeV
 - Heavy composite Majorana neutrino (HCMN): $M > 4.5$ TeV
 - Type III seesaw mechanism
Multilepton final state : $M(\Sigma) > 840$ GeV ($B e = B \mu = B \tau$)

	M_{col} -fit	BDT-fit
$\sqrt{ Y_{\mu\tau} ^2 + Y_{\tau\mu} ^2}$	$< 2.05 \times 10^{-3}$	$< 1.43 \times 10^{-3}$
$\sqrt{ Y_{e\tau} ^2 + Y_{\tau e} ^2}$	$< 2.45 \times 10^{-3}$	$< 2.26 \times 10^{-3}$

Prospects:

- Some of the analysis of the 2016 dataset: to be released soon
- more data to come to perform precision measurements
Run2 (2015-2018) and Run3 (2021-2023): about 120 and 300 fb^{-1} expected
HL-LHC (2026-2036): about 3000 fb^{-1} expected
Challenge : higher pileup (tracking, isolation, ...)