



U.S. DEPARTMENT OF
ENERGY

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Super-Kamiokande Latest atmospheric neutrino results

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on behalf of the Super-Kamiokande collaboration

**BOSTON
UNIVERSITY**

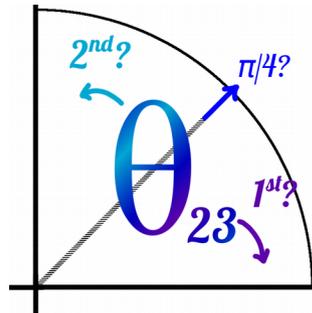
Outline

1st analysis

δ_{CP} measurement



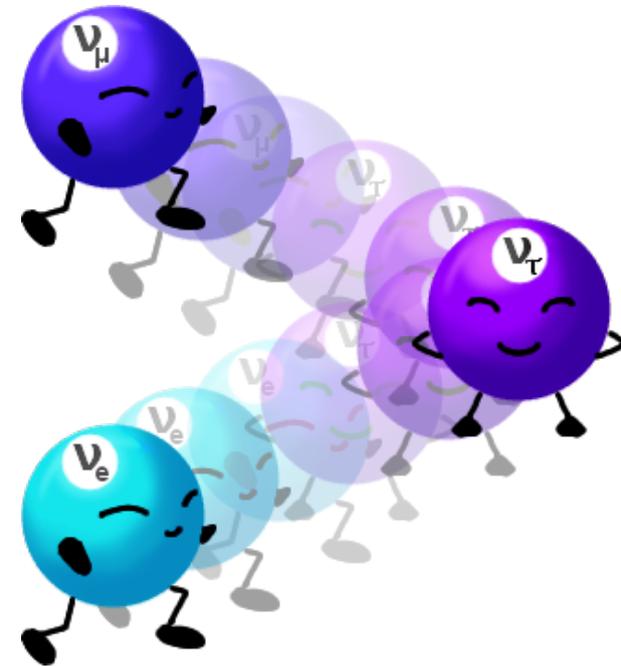
θ_{23} octant



Mass hierarchy

2nd analysis

ν_{τ} appearance



Neutrino oscillations

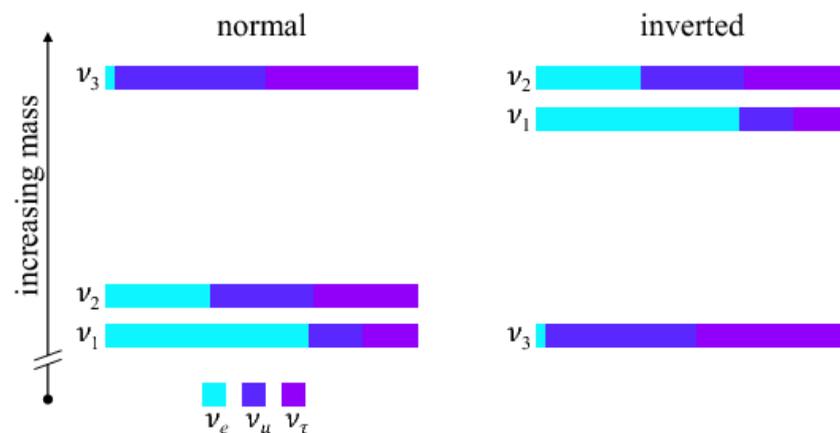
- Flavour (interaction) eigenstates \neq Mass (propagation) eigenstates, linked by the **PMNS mixing matrix**:

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

→ oscillation parameters: **2 Δm^2_{ij}** ($\Delta m^2_{ij} = m^2_i - m^2_j$), **3 mixing angles θ_{ij}** and **1 phase**.

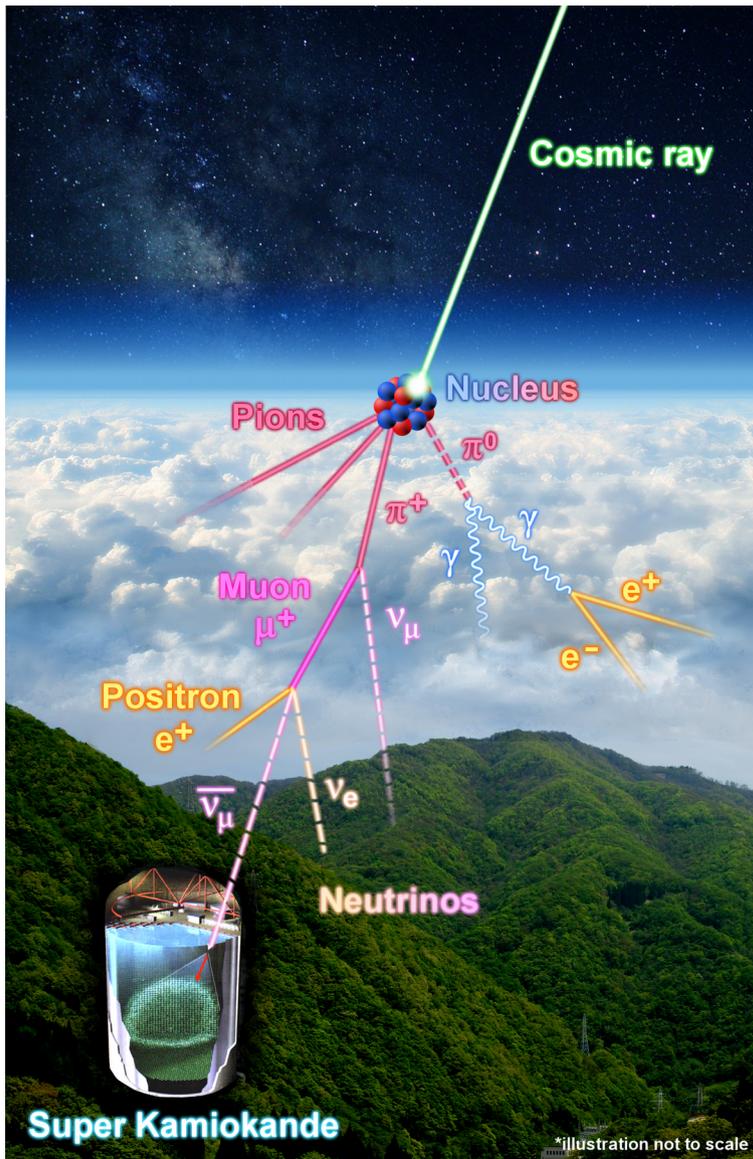
- To be solved:

- **CP-violation phase δ** : are neutrino and antineutrino oscillation probabilities different?
- **Mass hierarchy**: is it normal or inverted?
→ use matter effects
- **θ_{23} octant**: is θ_{23} really $\pi/4$? Is it smaller or larger?

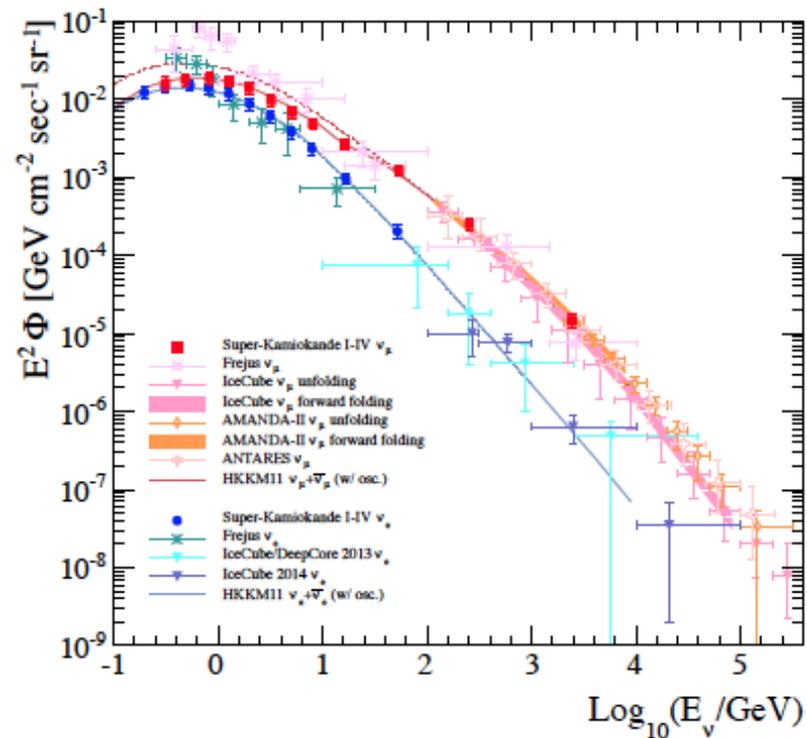


Atmospheric neutrinos can be used to study all of these questions!

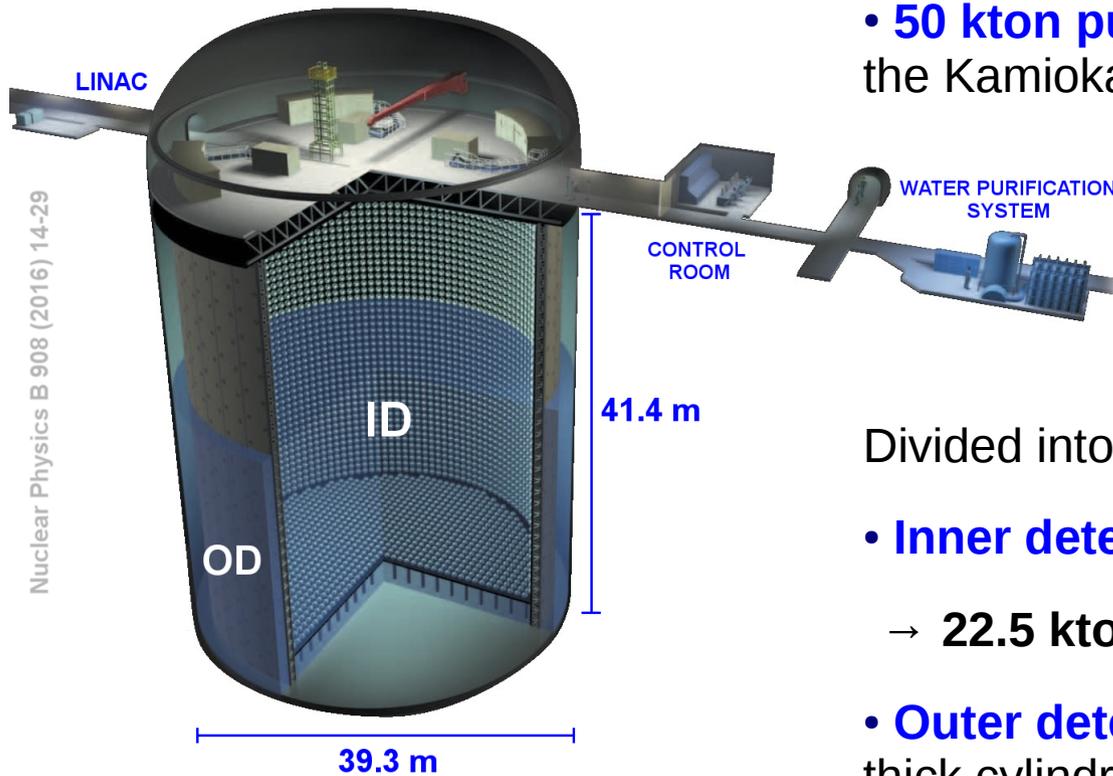
Atmospheric neutrino production



- Produced as decay products of secondary particles from cosmic ray interactions with the Earth's atmosphere
- Mix of ν_e , ν_μ , $\bar{\nu}_e$ and $\bar{\nu}_\mu$
- Power spectrum, ratios well predicted



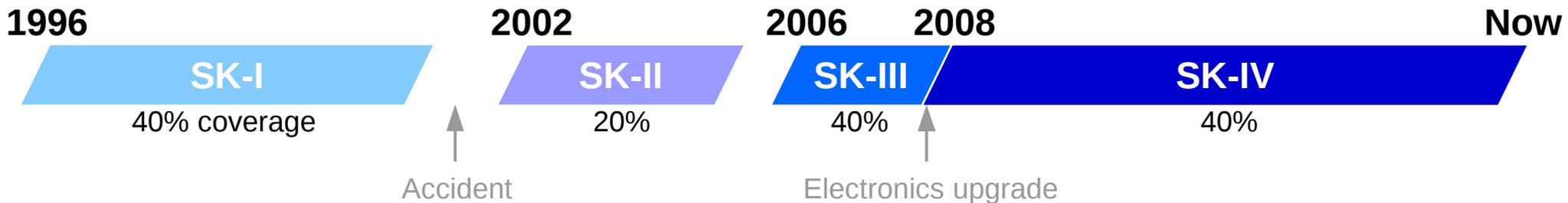
The Super-Kamiokande detector



- **50 kton pure water Cherenkov detector** inside the Kamioka mine in Gifu, Japan.

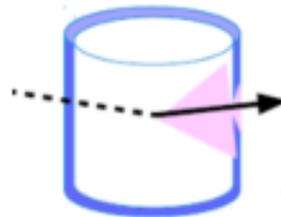
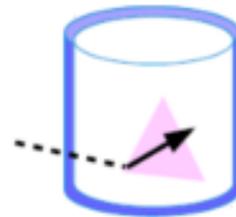
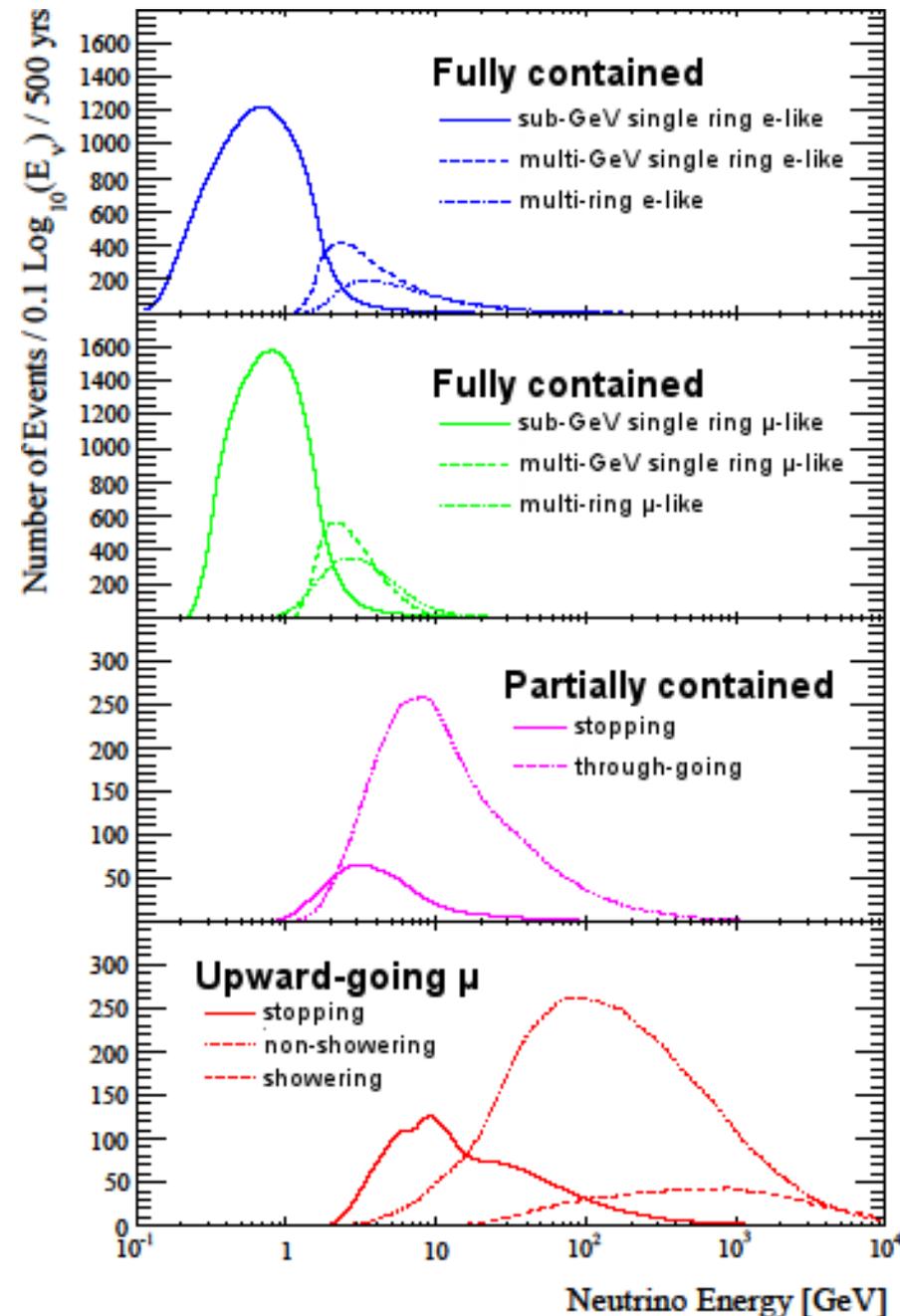
Divided into two volumes:

- **Inner detector (ID)**: 11 126 x 20 inch PMTs,
→ **22.5 kton fiducial volume**
- **Outer detector (OD)**: 1 885 x 8 inch PMTs, 2m thick cylindrical shell around ID



→ **Results shown include data from all 4 periods (5326 days)**

Atmospheric neutrino samples



• Fully contained (FC):

- Reconstructed vertex inside FV
- No OD activity
- Sub-divided into 14: e-like, μ -like, π^0 -like, nb. of rings, energy, nb. of decay electrons

• Partially contained (PC):

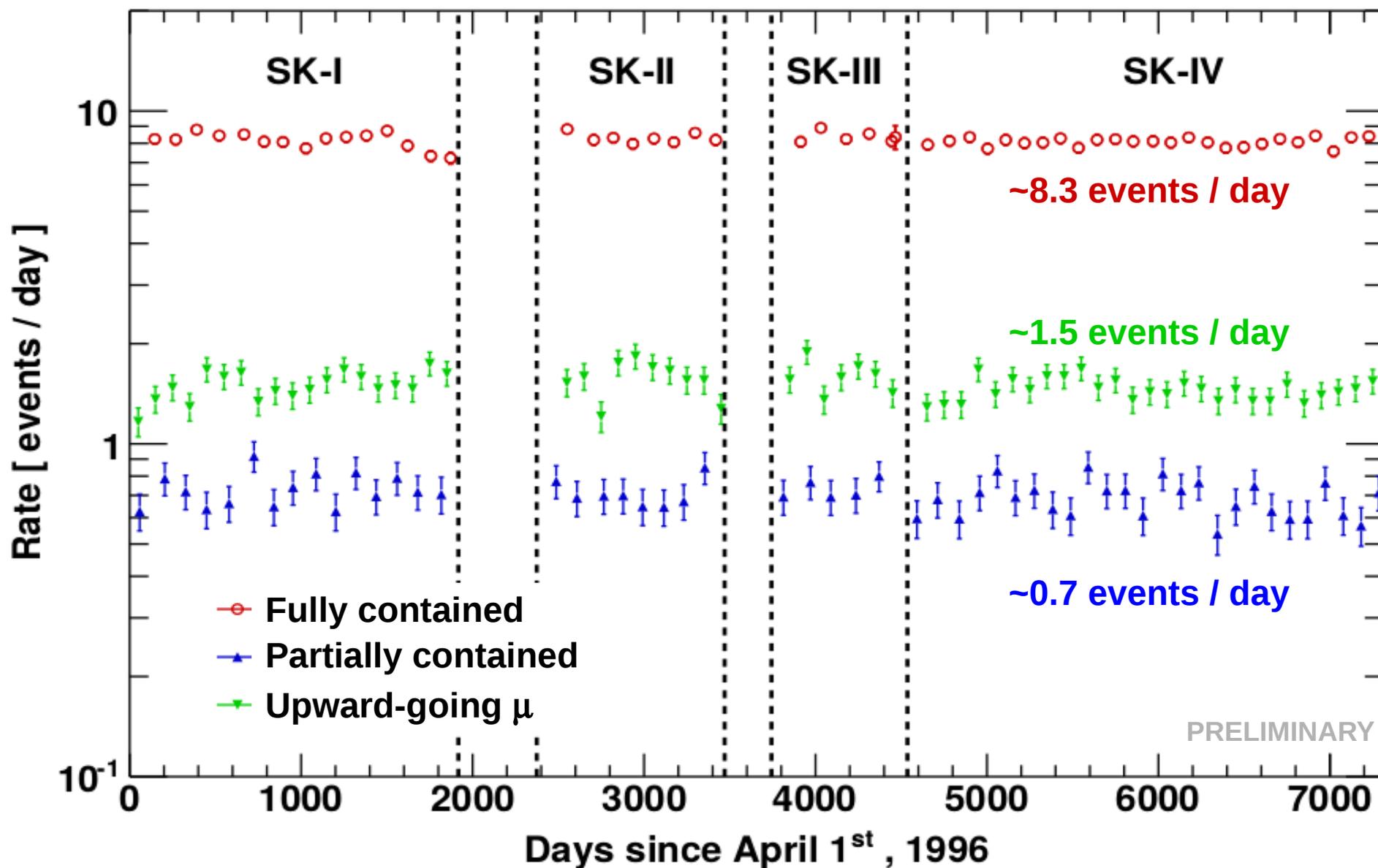
- Vertex in FV
- With OD activity
- Sub-divided into 2: stopping and through-going

• Upward going muon (Upmu):

- Produced by neutrinos in rock around SK or OD
- Sub-divided into 3: stopping, through-going non showering and through-going showering

→ energy range from $\sim 100 \text{ MeV}$ to $\sim 10 \text{ TeV}$

Event rates

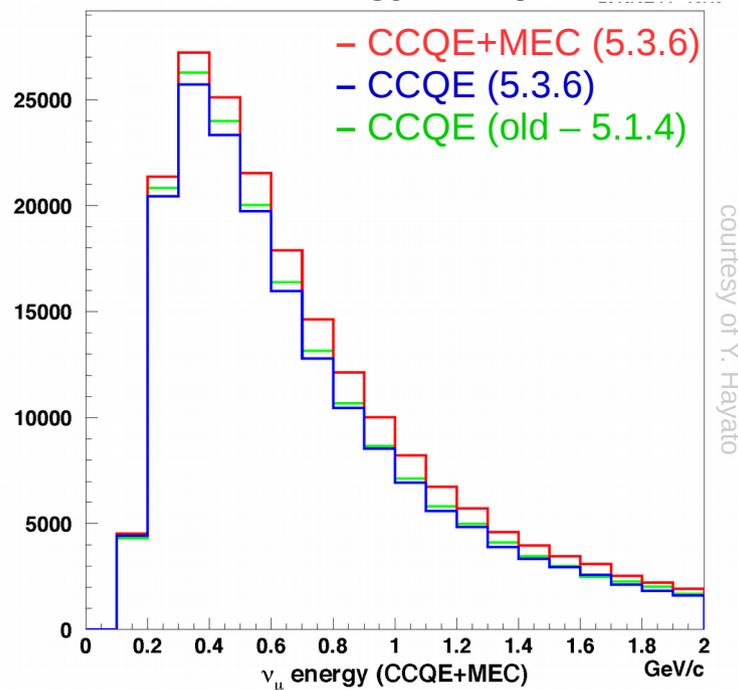


→ Stable data taking for over 20 years!

Monte Carlo samples

- Uses Honda et al. 2011 flux calculation (arXiv:1102.2688 [astroph.HE])
- Neutrino interactions simulated with updated NEUT 5.3.6
 - CCQE: Fermi gas, $M_A=1.2 \text{ GeV}/c^2$, dipole form factor changed to BBBA05 (extracted from e-scattering experiments)
 - Include meson exchange currents (Nieves et al.)
 - CC/NC 1π form factor change (Graczyk & Sobczyk)
 - $M_A = 1.21 \text{ GeV}/c^2 \rightarrow 0.95 \text{ GeV}/c^2$
 - DIS (multi- π) improvement, formation zone correction

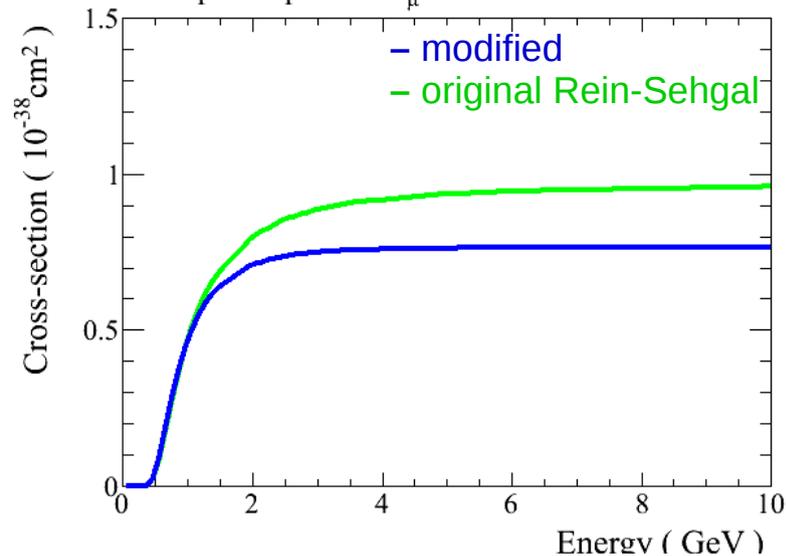
Neutrino energy comparisons



courtesy of Y. Hayato

Single pion cross-section

$\nu p \rightarrow l p \pi^+$ for ν_μ



Atmospheric neutrino oscillation analysis

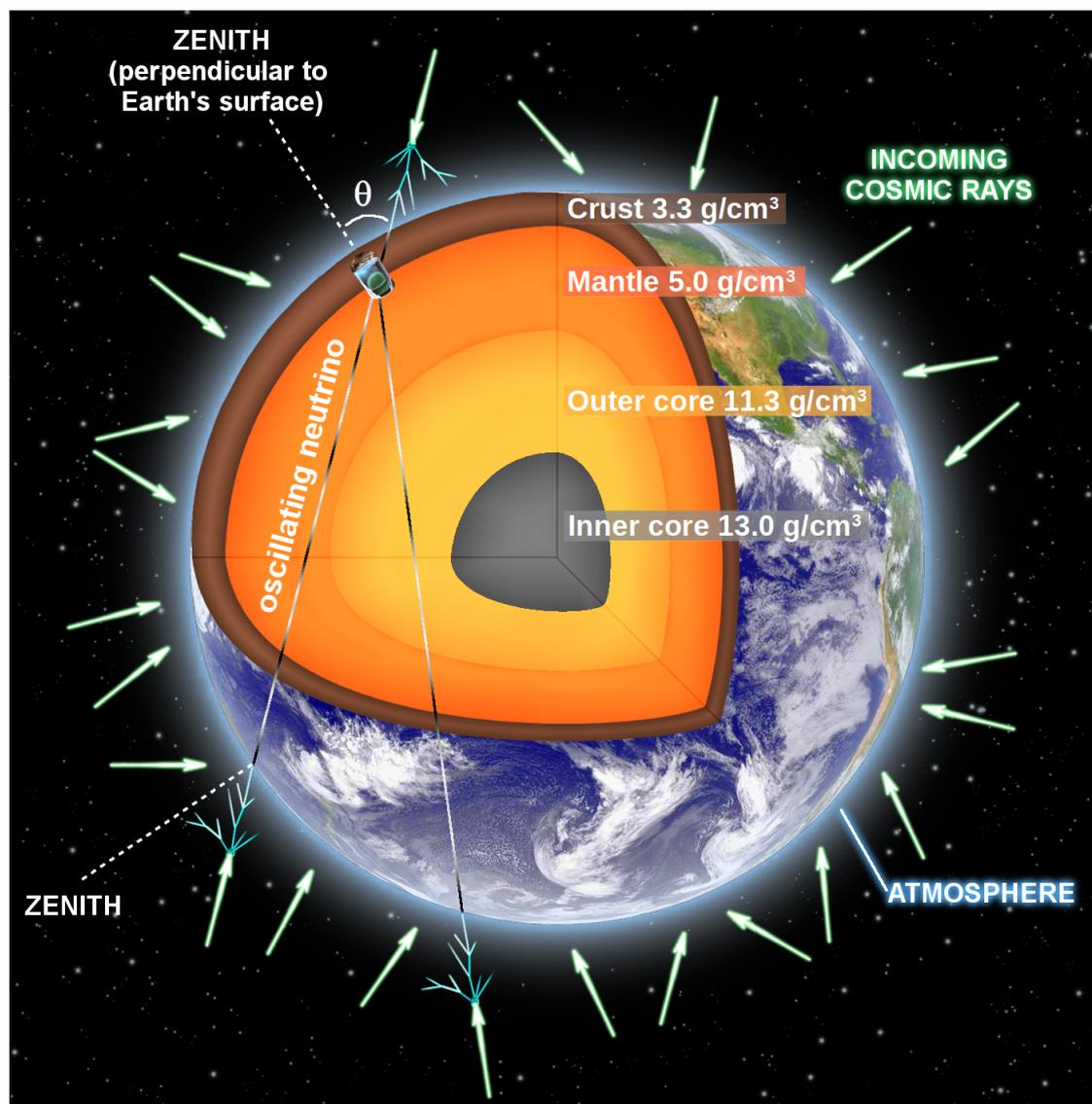
Matter effects

- Matter effects due to ν_e -electron scattering

$$H_{\text{matter}} = \begin{pmatrix} \frac{m_1^2}{2E} & 0 & 0 \\ 0 & \frac{m_2^2}{2E} & 0 \\ 0 & 0 & \frac{m_3^2}{2E} \end{pmatrix} + U^\dagger \begin{pmatrix} a & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} U$$

where $a = \sqrt{2} G_F N_e$ ($-a$ for $\bar{\nu}$), G_F is the Fermi constant, and N_e the electron density

- Earth modelled with 4 layers
→ simplified preliminary reference Earth model (PREM)
- Spherical symmetry so neutrino path only depends on:
 - production height
 - zenith angle



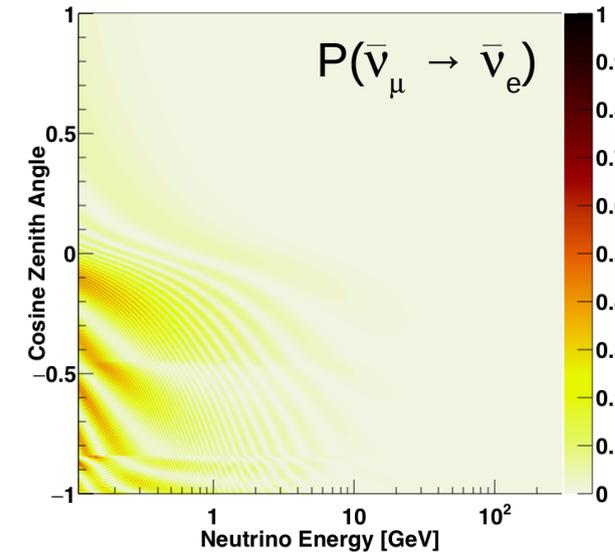
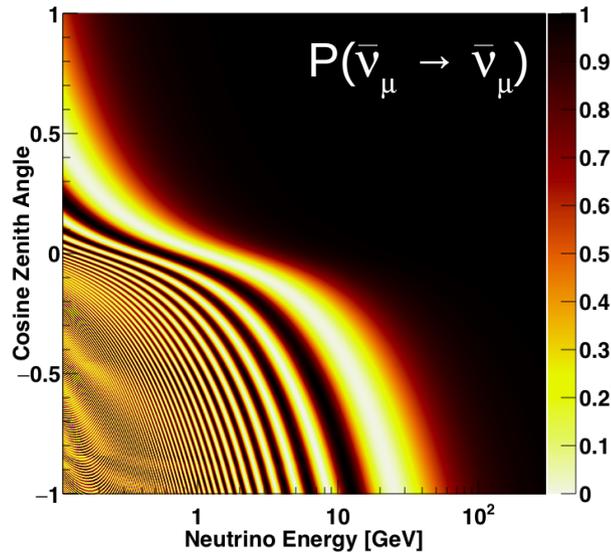
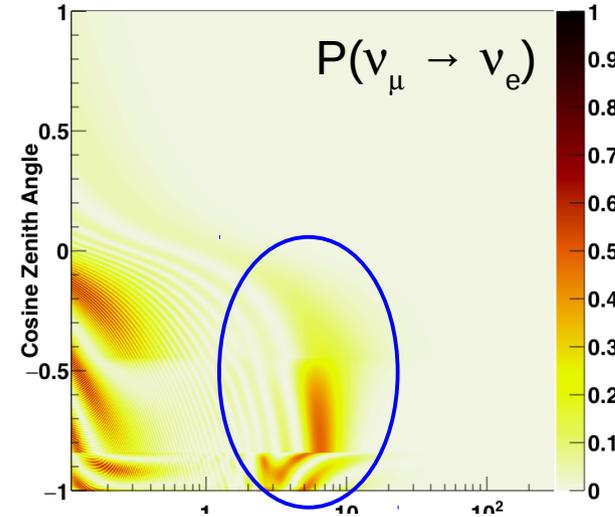
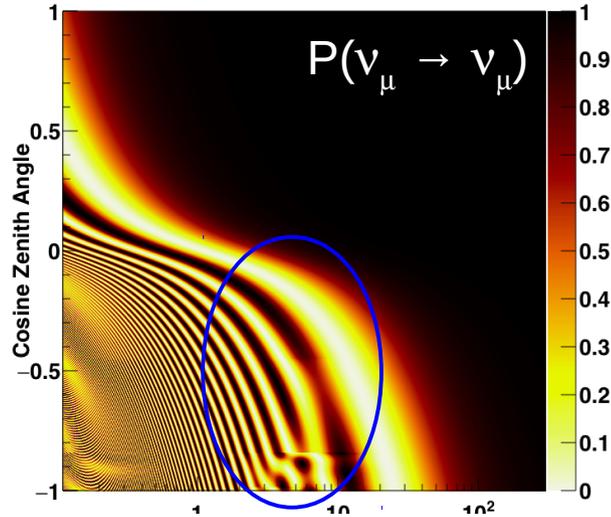
Matter effects

Oscillation probabilities

Normal hierarchy, $\Delta m_{32}^2 = 2.5 \times 10^{-3} \text{ eV}^2$, $\sin^2 \theta_{23} = 0.5$, $\sin^2 \theta_{13} = 0.0219$, $\delta_{\text{CP}} = 0$

Downward
↓
ν

↑
ν
Upward



→ Resonant enhancement visible only in neutrinos for normal hierarchy (antineutrinos for inverted)

Analysis

- Three different fits are done:
 - 1) Super-K atmospheric only (θ_{12} and Δm^2_{12} fixed), θ_{13} free
 - 2) Super-K atmospheric only, $\sin^2 \theta_{13} = 0.0219$ (Daya Bay + RENO + Double Chooz)
 - 3) Super-K atmospheric + T2K model, $\sin^2 \theta_{13} = 0.0219$
- Binned χ^2 method using systematic errors as scaling factors on the simulation:

$$\chi^2 = 2 \sum_n \left(E_n - \mathcal{O}_n + \mathcal{O}_n \ln \frac{\mathcal{O}_n}{E_n} \right) + \sum_i \left(\frac{\epsilon_i}{\sigma_i} \right)^2$$

Expected from MC

$$E_n = \sum_j E_{n,j} \left(1 + \sum_i f_{n,j}^i \epsilon_i \right)$$

Observed in data

$$\mathcal{O}_n = \sum_j \mathcal{O}_{n,j}$$

n → n^{th} analysis bin (520 analysis bins)

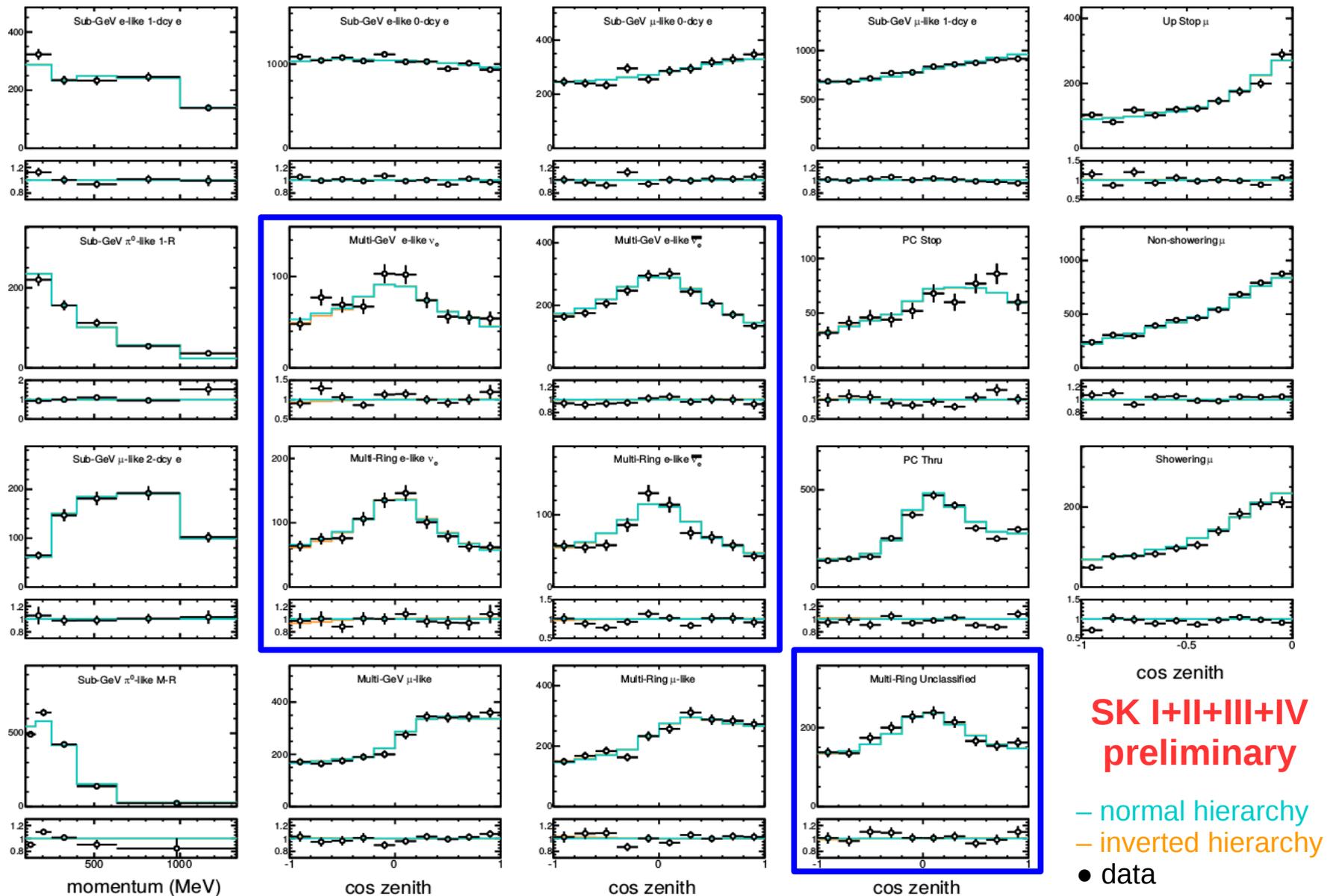
j → j^{th} Super-K period (I to IV)

i → i^{th} systematic error (155 error sources)

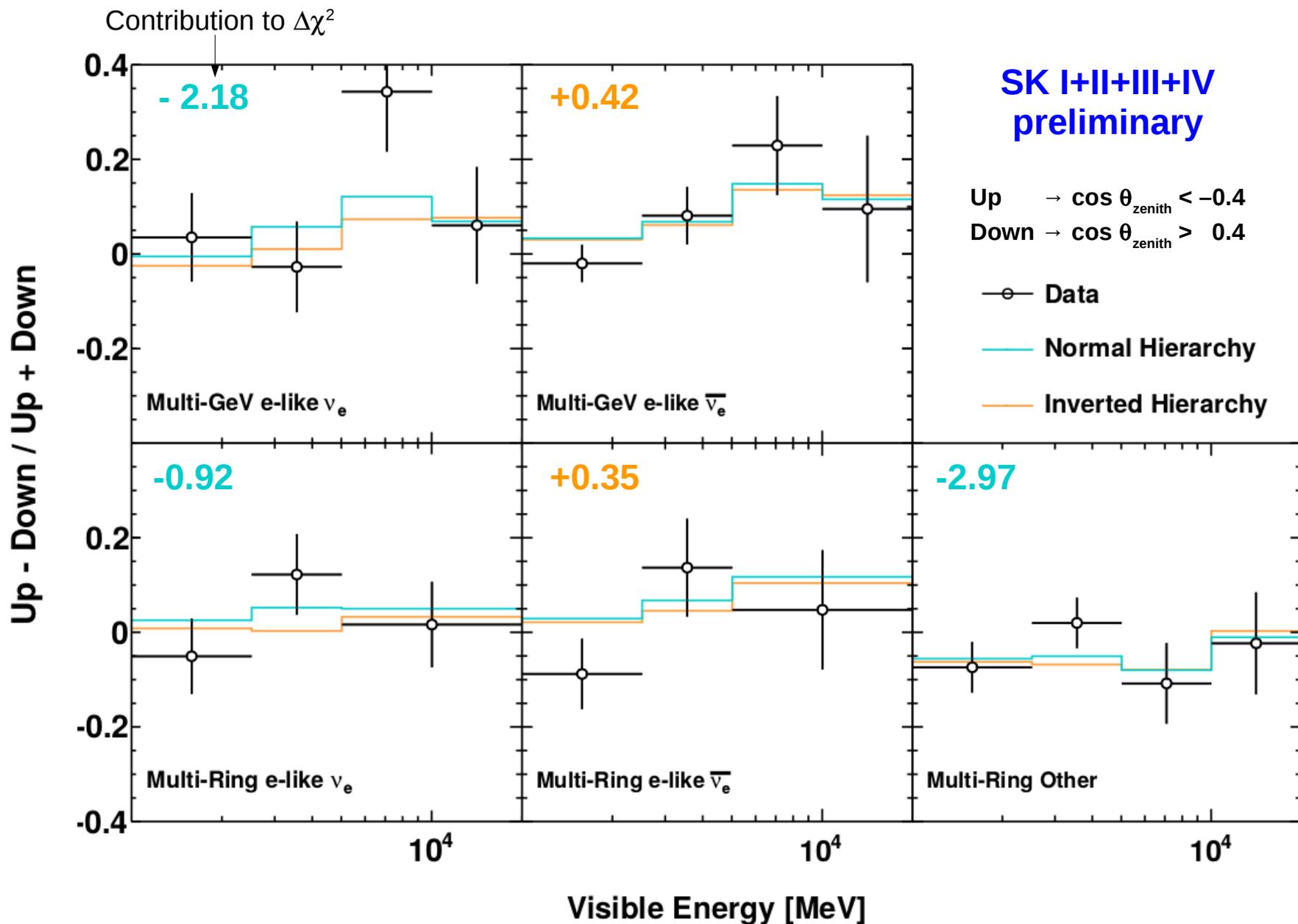
$f_{n,j}^i$ → fractional change in n^{th} MC bin for a $1 - \sigma^i$ variation on i^{th} systematic error

ϵ_i → i^{th} **systematic error fitting parameter**

Data vs MC with hierarchy



Hierarchy sensitive samples



1) Super-K atm. with θ_{13} free

- θ_{12} and Δm_{21}^2 fixed, θ_{13} is not constrained

$$\chi^2_{\min} \text{ (NH)} = 571.7$$

$$\chi^2_{\min} \text{ (IH)} = 575.2$$

→ better data-MC agreement for normal hierarchy

- Best fit $\sin^2 \theta_{23} = 0.584^{+0.039}_{-0.069}$ ($0.550^{+0.043}_{-0.078}$)

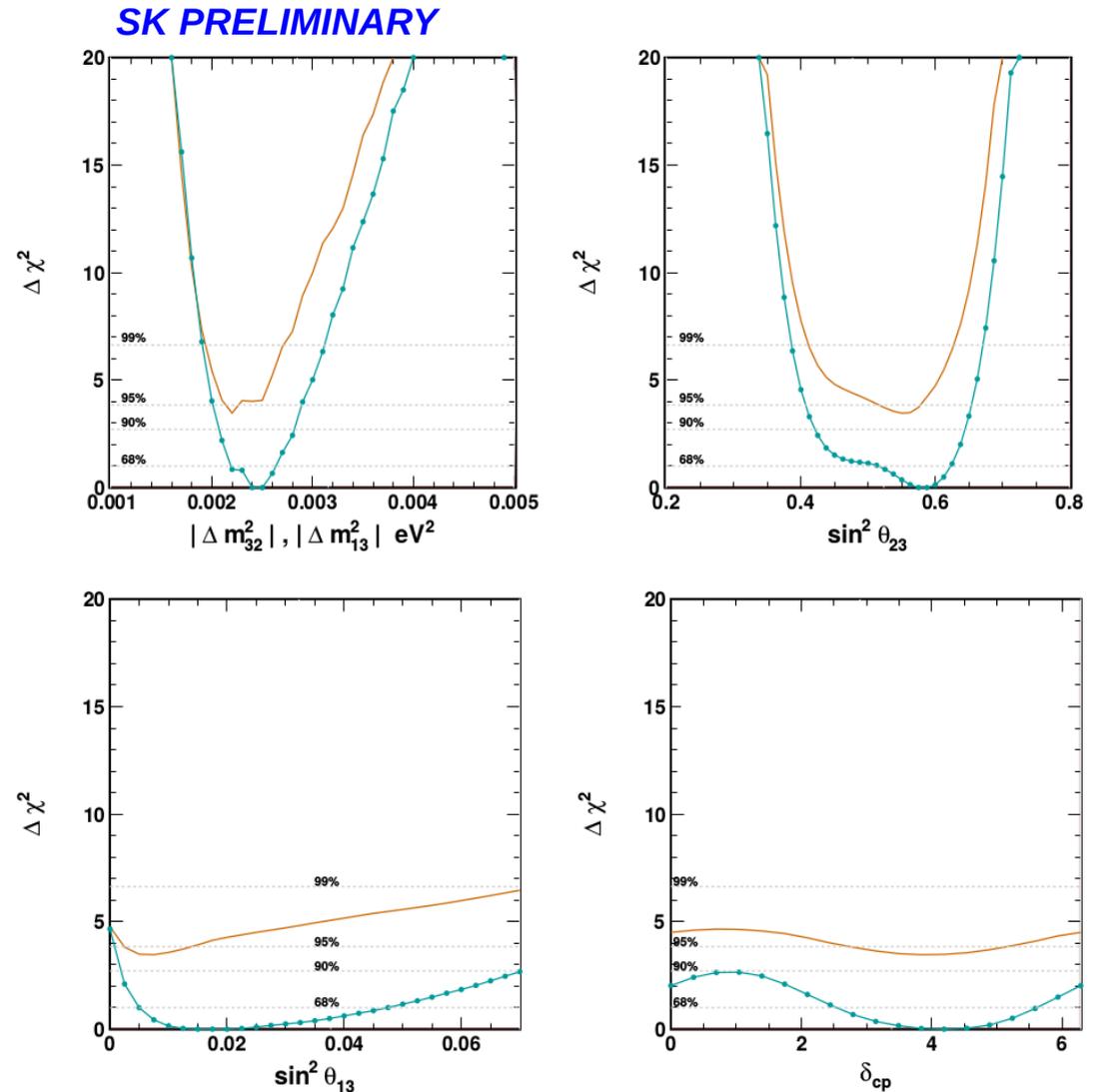
→ 2nd octant preferred

- Best fit $\delta_{\text{CP}} = 4.18^{+1.43}_{-1.64}$ ($3.85^{+2.35}_{-2.15}$)

→ weak constraint, CP-conserving value π included at $\sim 1\sigma$

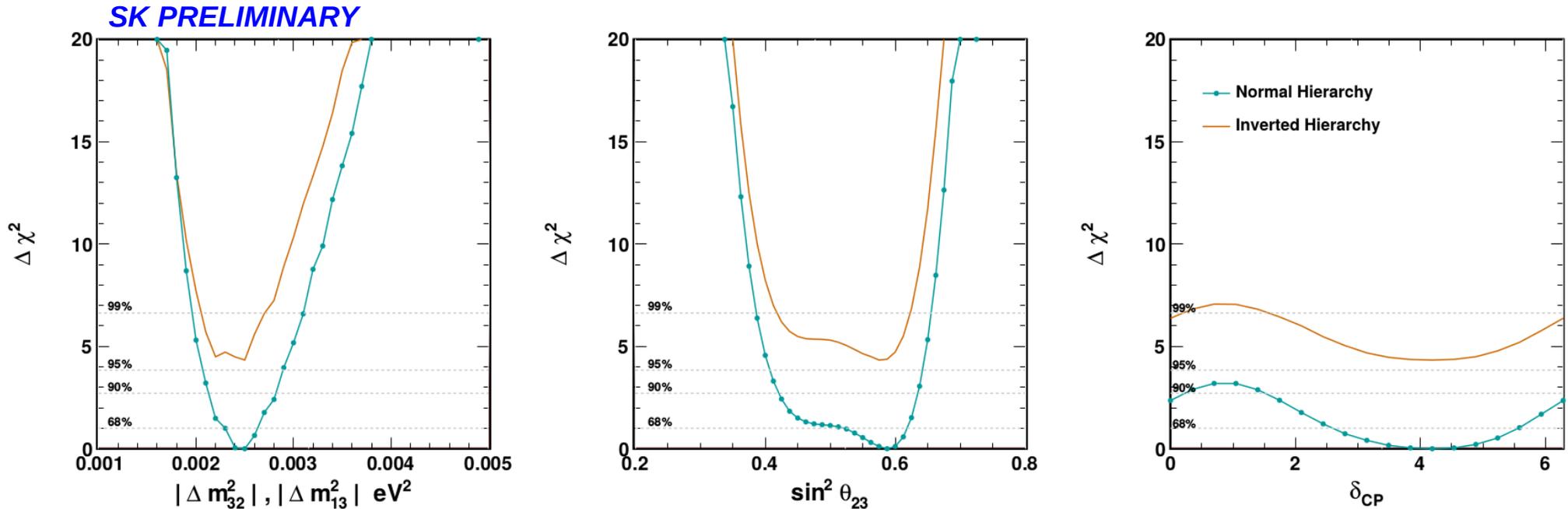
- Best fit $\sin^2 \theta_{13} = 0.019^{+0.028}_{-0.014}$ ($0.008^{+0.017}_{-0.007}$)

→ Non-zero θ_{13} can also be seen with atmospheric neutrinos (weak constraint)



— normal hierarchy
— inverted hierarchy

2) Super-K atm. + θ_{13} fixed ($\sin^2 \theta_{13} = 0.0219 \pm 0.0012$)



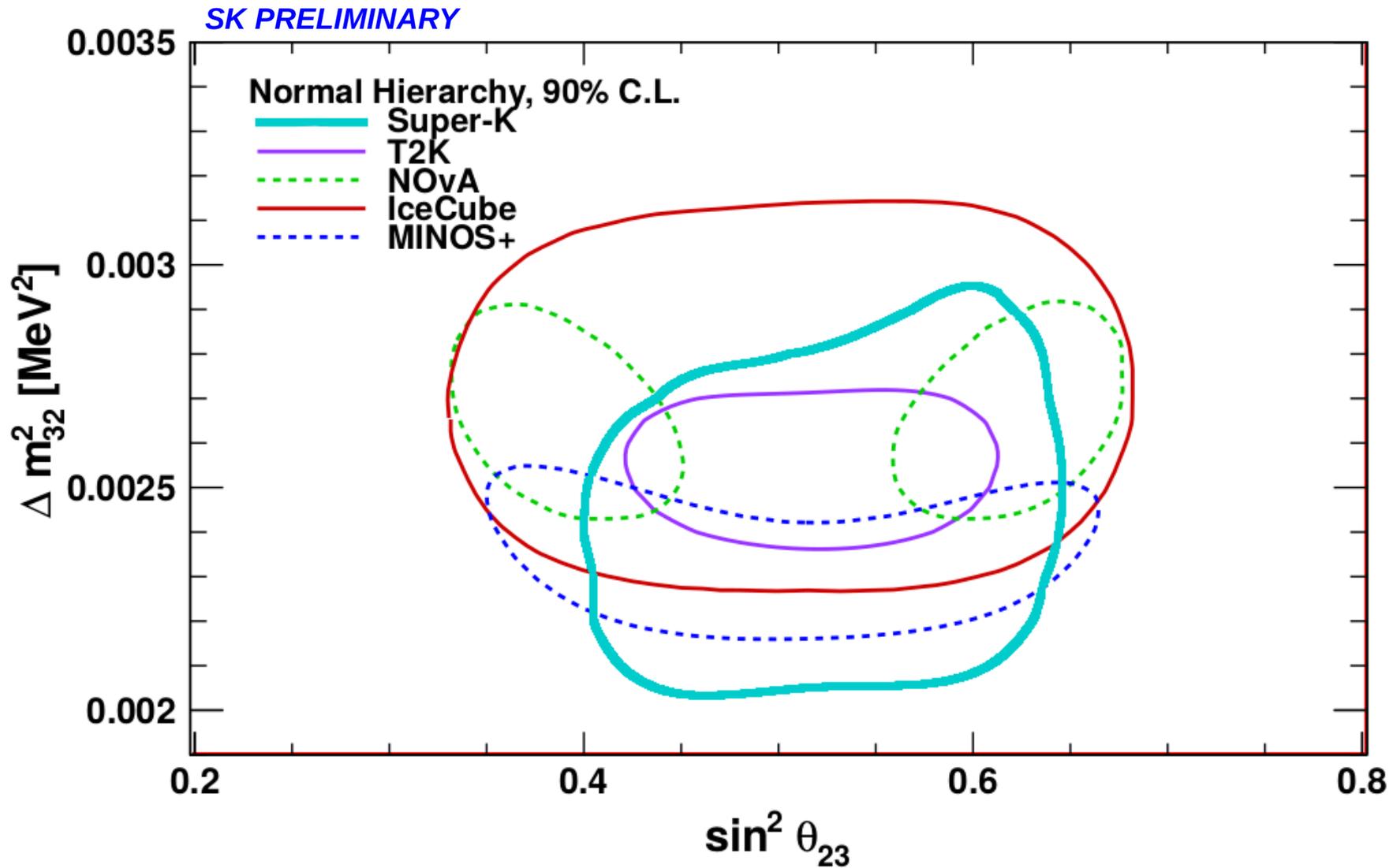
$$\Delta\chi^2 \equiv \chi^2_{\min}(\text{NH}) - \chi^2_{\min}(\text{IH}) = -4.4 \rightarrow \text{normal hierarchy favored}$$

- Best fit $\sin^2 \theta_{23} = 0.588^{+0.031}_{-0.067}$ ($0.575^{+0.035}_{-0.085}$) \rightarrow 2nd octant preference strengthened
- Best fit $\delta_{CP} = 4.19^{+1.37}_{-1.59}$ ($4.19^{+1.49}_{-1.63}$) $\rightarrow \sim 3\pi/2$, weak constraint
- Additional scaling parameter on electron density α ($\alpha = 0$ is vacuum, $\alpha = 1$ is standard e - density)
- Normal hierarchy preferred with electron density consistent with standard matter

$$\Delta\chi^2 \equiv \chi^2_{\alpha=0} - \chi^2_{\min} = 5.2$$

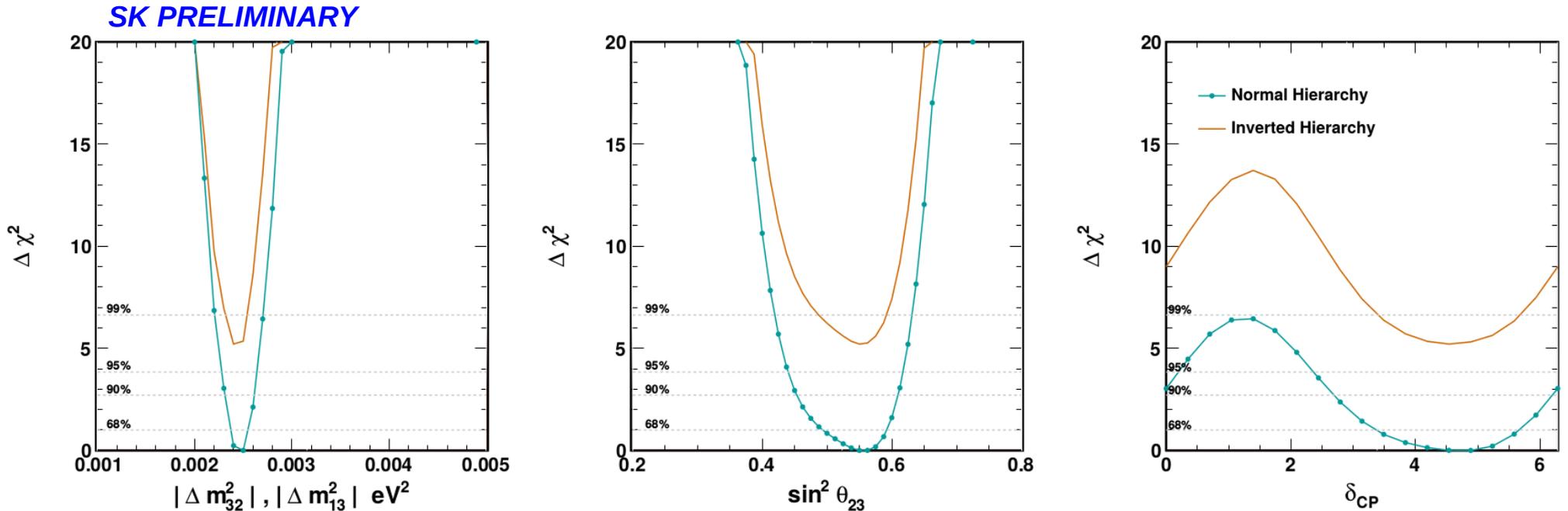
\rightarrow exclude vacuum oscillations at 1.6 σ level

2) Super-K atm. + θ_{13} fixed ($\sin^2 \theta_{13} = 0.0219 \pm 0.0012$)



→ Good agreement with other experiments

3) Super-K atm. + θ_{13} fixed + T2K constraint



- Super-K is T2K far detector so only neutrino source differs: common reconstruction and NEUT-based simulation
- **Use only published T2K beam flux bins** : reweight atmospheric ν MC using T2K beam flux

$\Delta\chi^2 = -5.2 \rightarrow$ normal hierarchy preference strengthened

- Best fit $\sin^2 \theta_{23} = 0.550^{+0.040}_{-0.059}$ ($0.550^{+0.040}_{-0.059}$) \rightarrow closer to maximal but still **2nd octant**
- Best fit $\delta_{CP} = 4.89^{+0.84}_{-1.45}$ ($4.54^{+0.99}_{-0.96}$) \rightarrow still $\sim 3\pi/2$, stronger constraint

Mass hierarchy results significance

- CL_s method [A.L. Read J. Phys. G28 2693 (2002)]

$$CL_s = \frac{p_0(IH)}{1 - p_0(NH)}$$

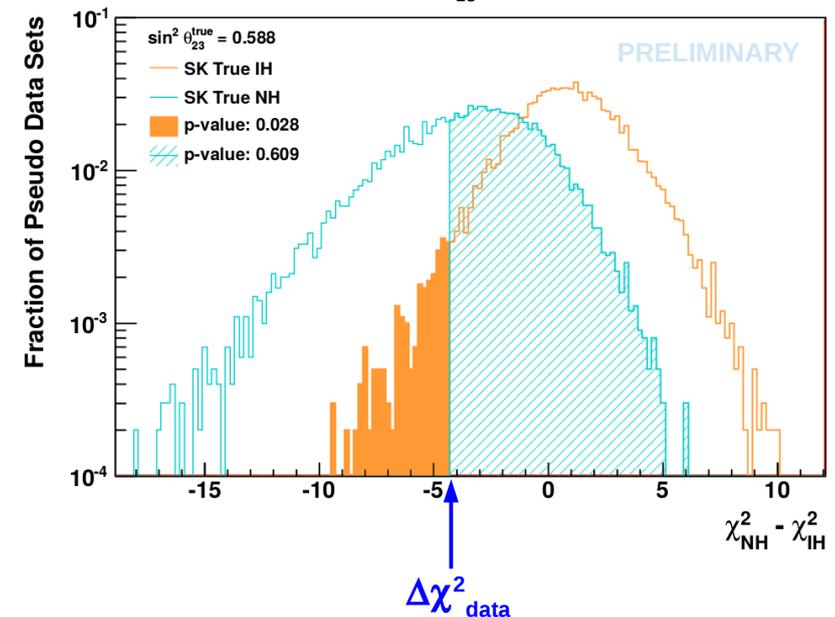
- $p_0(IH)$ is the p-value to obtain, assuming IH is true:

$$\Delta\chi^2 (NH - IH) < \Delta\chi^2_{\text{data}}$$

- Oscillation parameters allowed to vary within 90% C.L.
- **Inverted hierarchy rejected** with
 - $81.4\% < 1-CL_s < 94.9\%$ for SK, θ_{13} constrained
 - $91.5\% < 1-CL_s < 94.5\%$ for SK+T2K, θ_{13} constrained

CLs calculation

(best fit values in SK θ_{13} constrained analysis)



Fit	CL_s		
	Lower 90% C.L.	Best Fit	Upper 90% C.L.
SK θ_{13} Constrained	0.186	0.071	0.051
SK+T2K θ_{13} Constrained	0.085	0.074	0.055

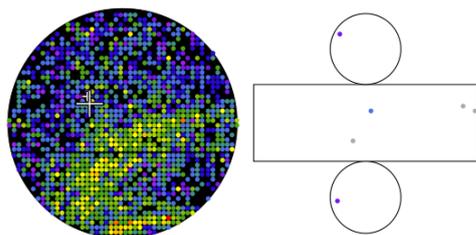
SK PRELIMINARY

Tau appearance analysis and cross-section measurement

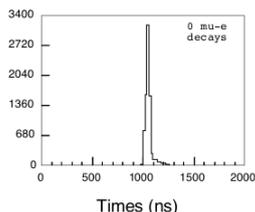
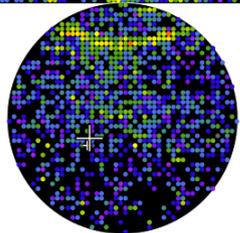
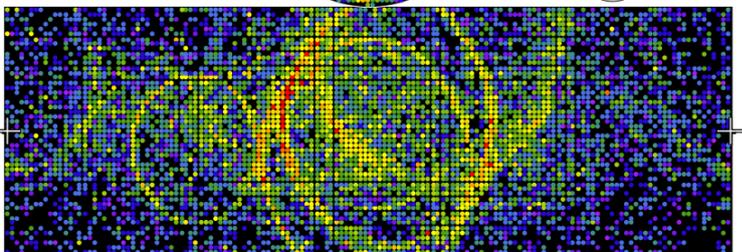
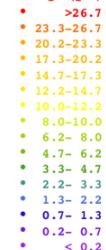
ν_τ in Super-Kamiokande

ν_τ CC event (MC)

$E_{\text{vis}} = 3.3 \text{ GeV}$

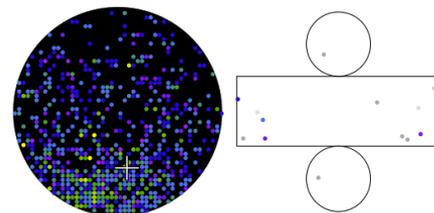


Charge (pe)

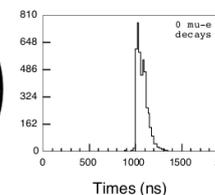
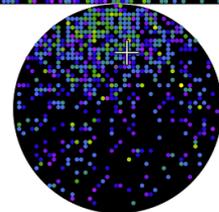
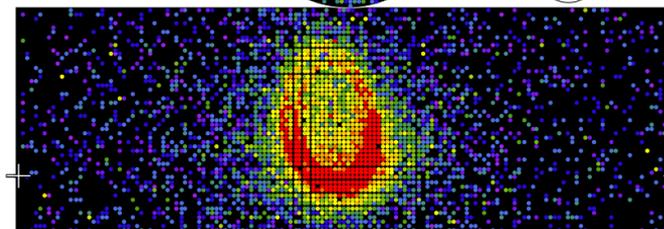
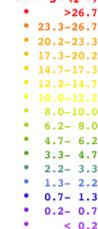


BG single ring event (MC)

$E_{\text{vis}} = 2.8 \text{ GeV}$

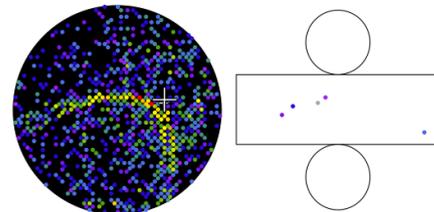


Charge (pe)

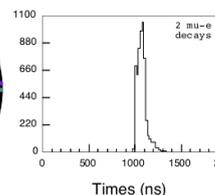
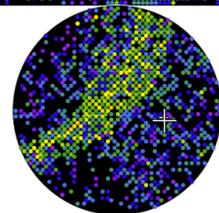
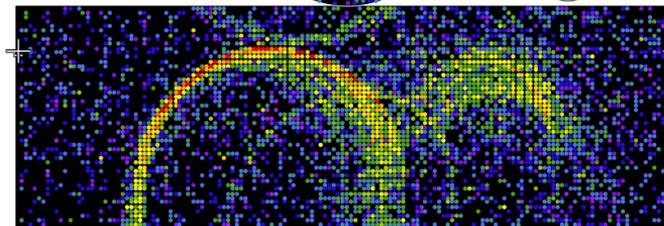
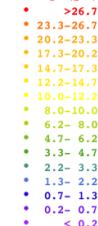


BG multi-ring event (MC)

$E_{\text{vis}} = 2.2 \text{ GeV}$



Charge (pe)

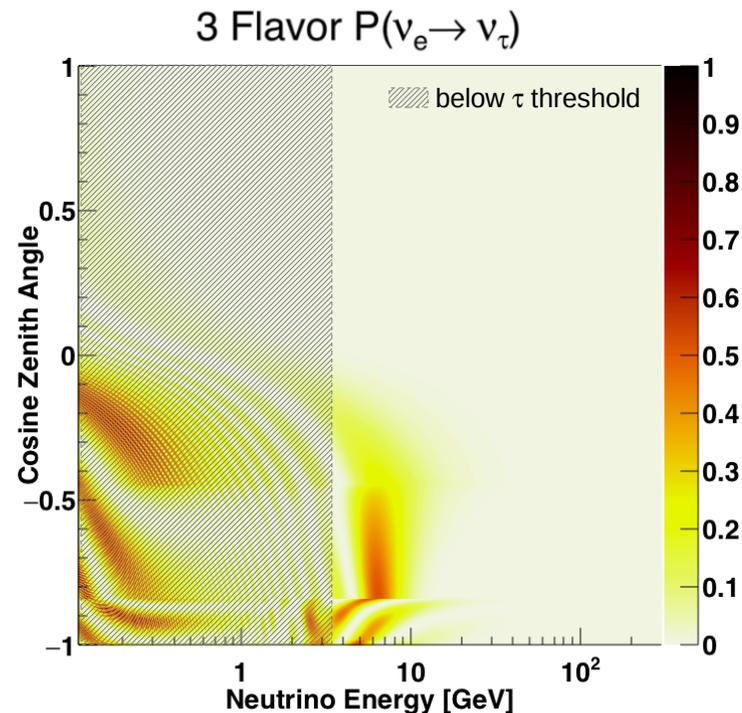
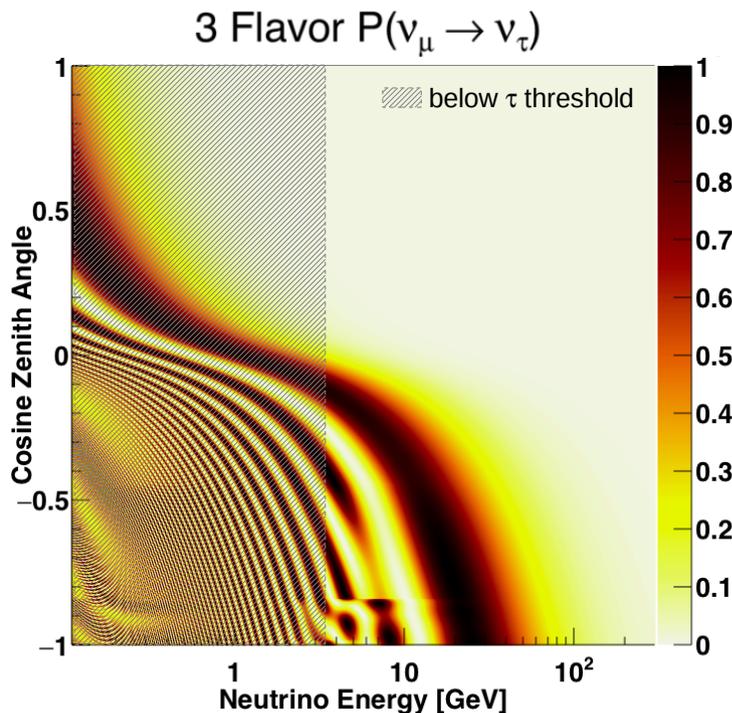


- τ leptons, produced by ν_τ CC interactions, have a short lifetime ($\sim 10^{-13} \text{ s}$) \rightarrow **indirect detection** in Super-K
- Decay into multiple particles \rightarrow **multi-ring events**
- Main background : other neutrino interactions with multiple outgoing charged particles
 - \rightarrow single ring events are easily rejected

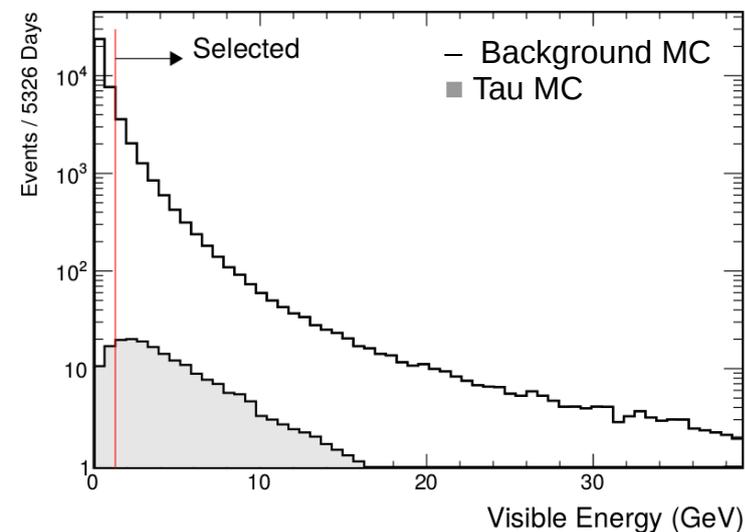
ν_τ selection

ν_τ appearance probabilities

Normal hierarchy, $\Delta m_{32}^2 = 2.1 \times 10^{-3} \text{ eV}^2$, $\Delta m_{21}^2 = 7.6 \times 10^{-5} \text{ eV}^2$, $\sin^2 2\theta_{23} = 1$, $\sin^2 2\theta_{13} = 0.099$, $\delta_{\text{CP}} = 0$



- ν_τ expected only from **upward-going ν** oscillations
 → downward-going sample used for background MC validation
- **Fully contained** events only
- **Visible energy (E_{vis}) > 1.3 GeV**
 → ν_τ CC signal efficiency = 86 % (BG rejection 77%)



ν_τ selection

- Neural network algorithm, using 7 discriminating variables:

1) Visible energy

→ *energy threshold for CC ν_τ appearance and large τ mass*

2) Maximum energy ring particle ID

→ *τ events have showering particles $\equiv ID < 0$*

3) Number of decay electrons

→ *expect more, from pion decays*

4) Maximum distance between primary vertex and decay electron

→ *μ from ν_μ interaction are more energetic*

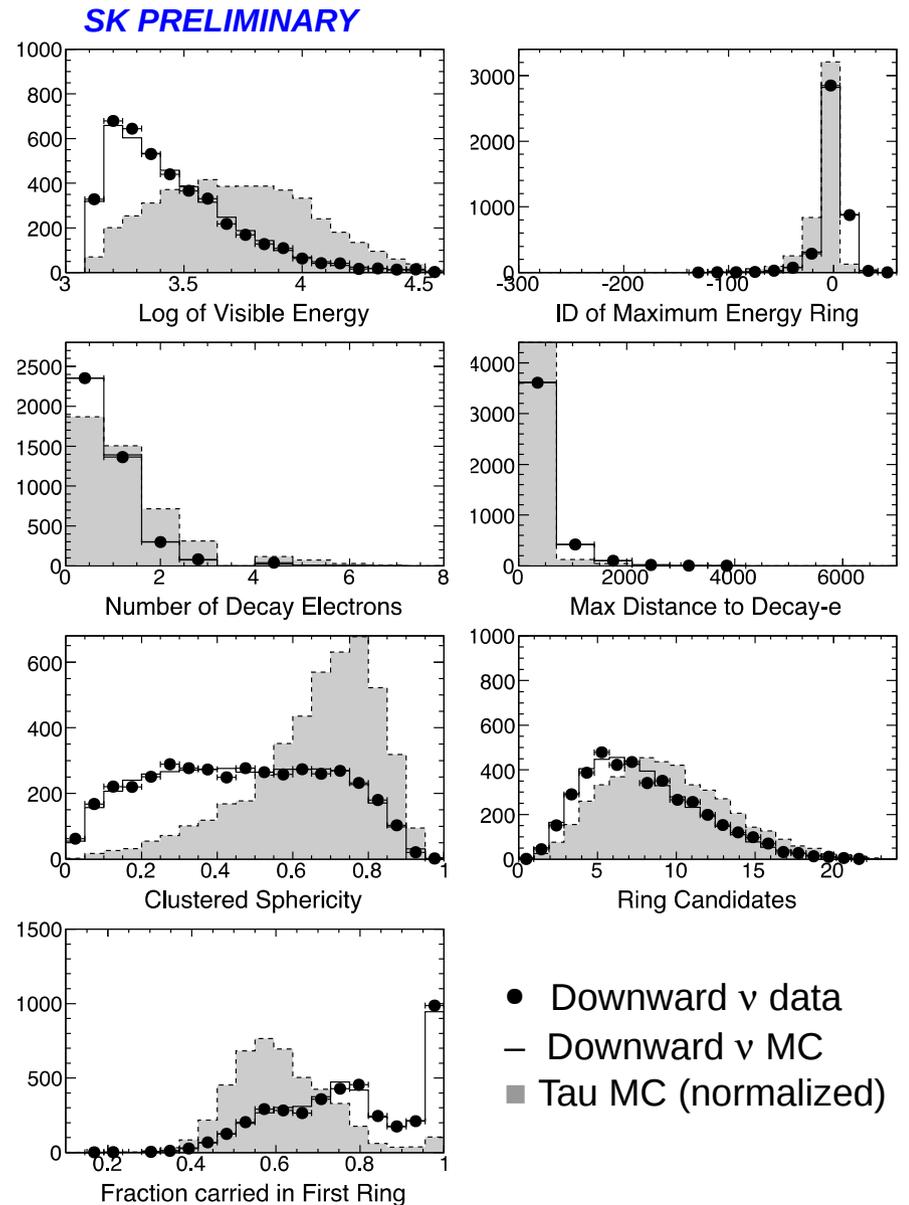
5) Event sphericity

→ *τ hadronic decays more isotropic, $S \rightarrow 1$*

6) Number of ring and ring fragment candidates

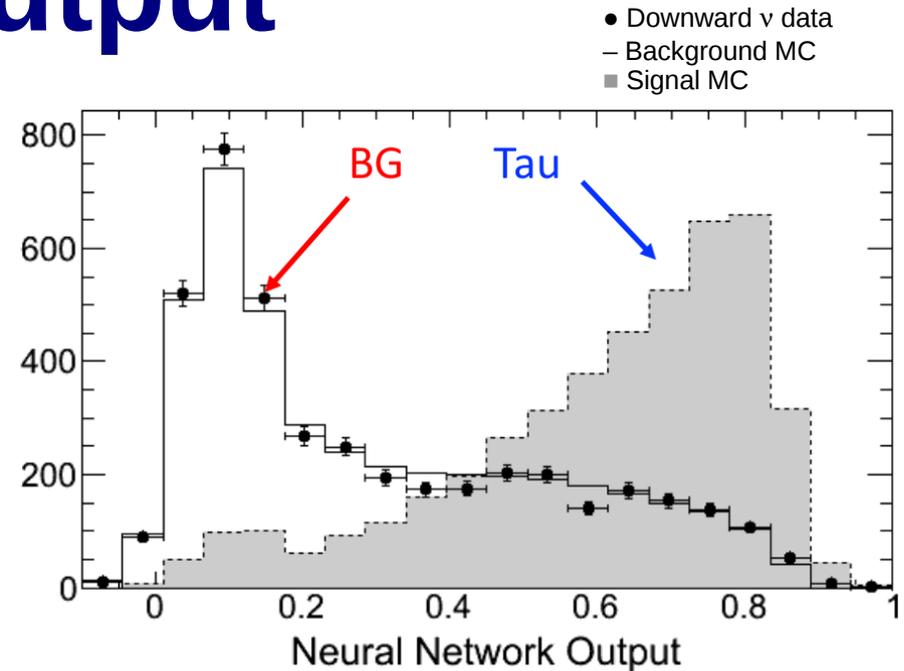
7) Fraction of total photoelectrons in the most-energetic ring

→ *expected to be smaller*

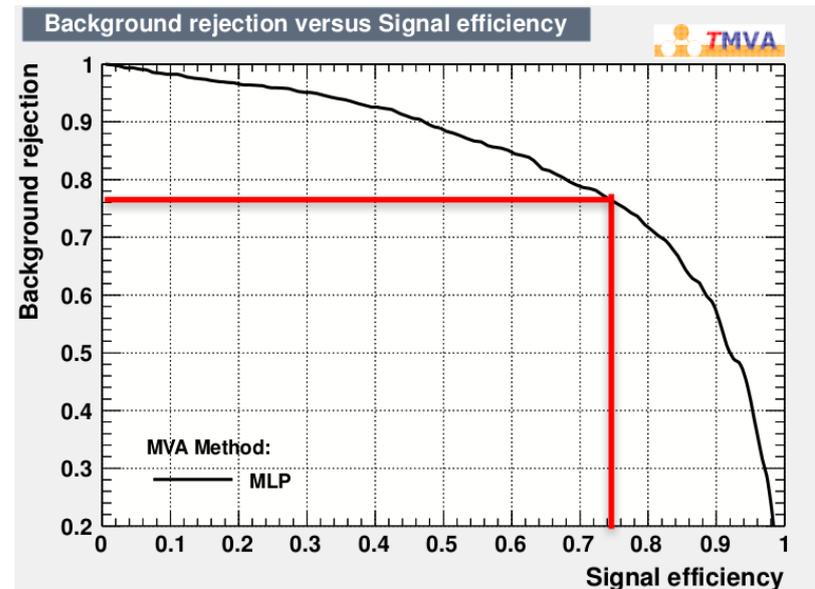


Neural network output

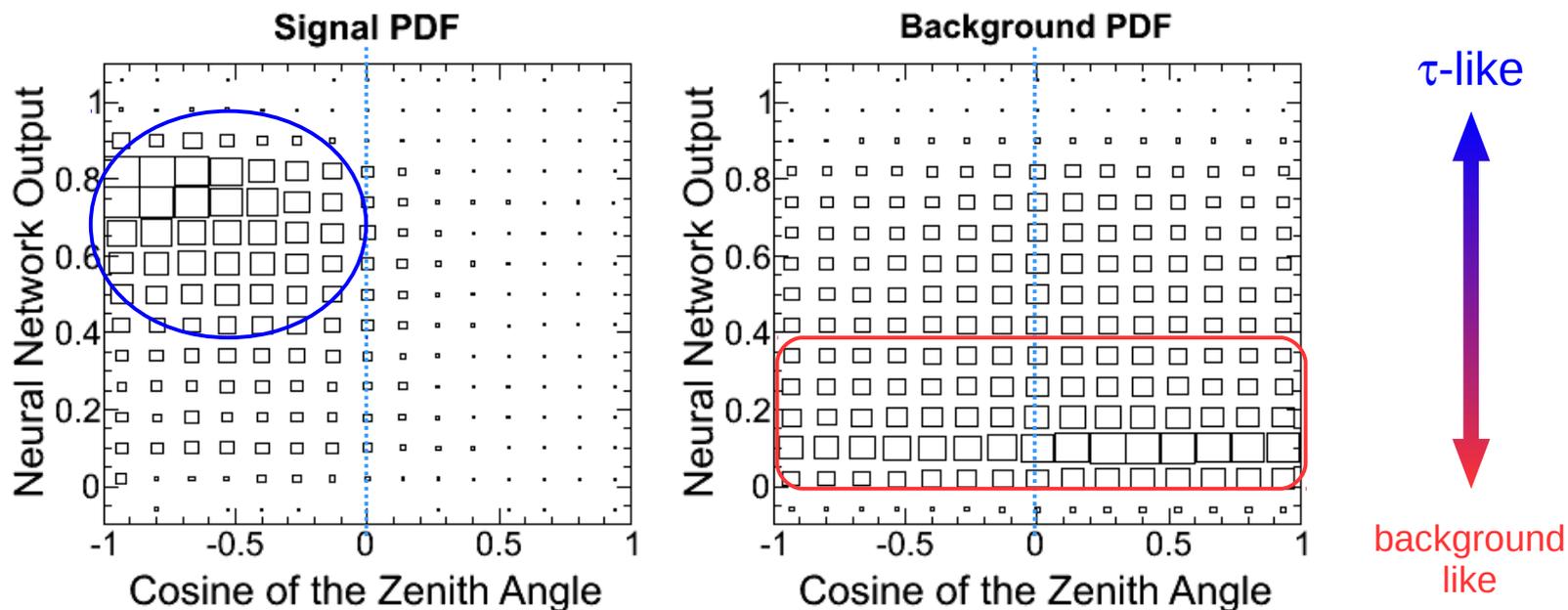
- Good separation between background and signal
- Good agreement between background simulation and downward going data
- no tau expected in the downward sample



- Performance example: for $NN_{\text{output}} > 0.5$, 76% of the signal is selected and 72% of the background is rejected



ν_τ appearance search



- Two-dimensional unbinned maximum likelihood fit:

$$\text{Data} = \alpha \times \text{Signal} + \text{Background} + \sum \epsilon_i \times (\text{PDF}_i^{\text{signal}} + \text{PDF}_i^{\text{BG}})$$

Tau signal normalization

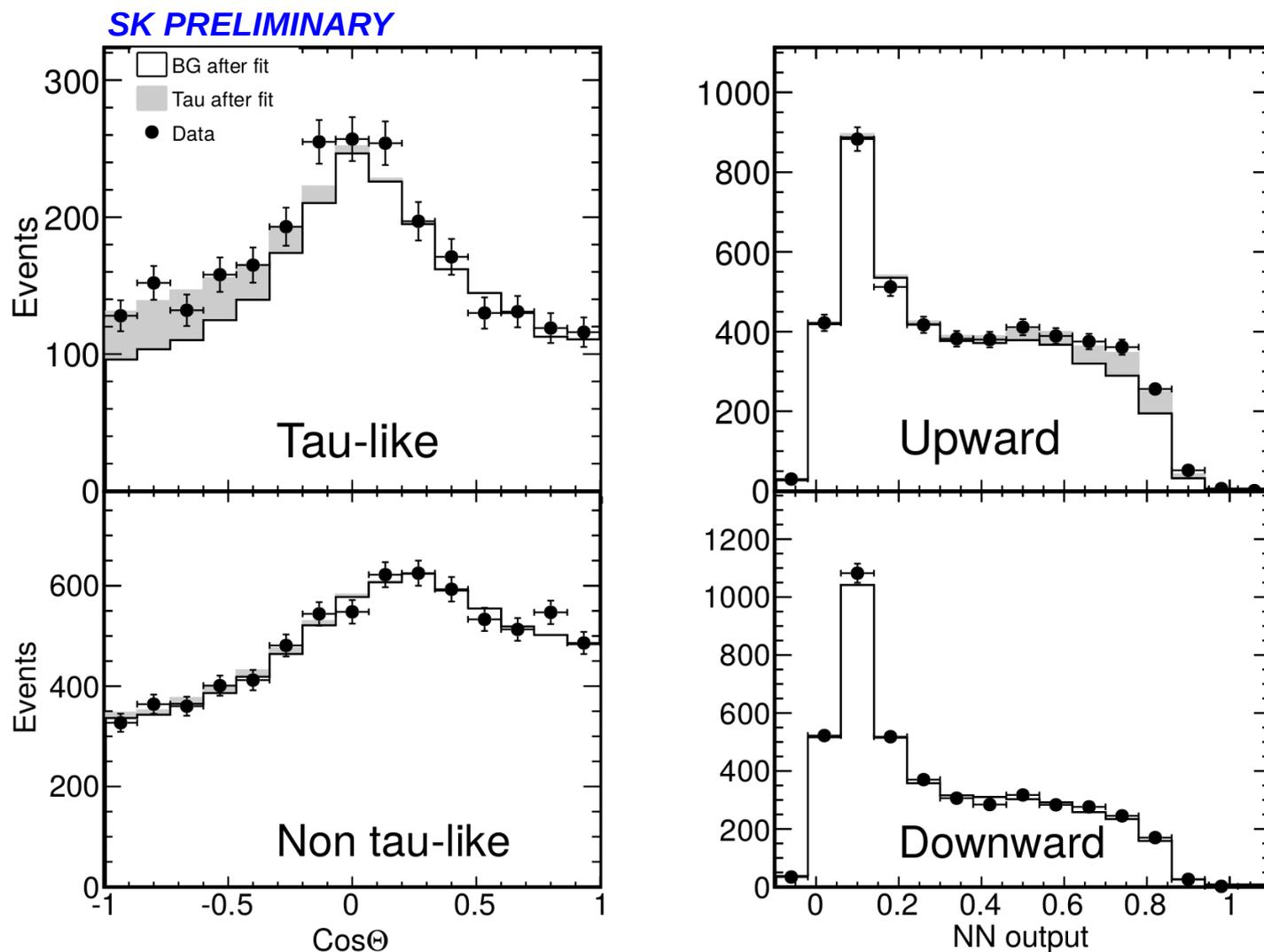
Syst. error magnitude

Built from the NN output and event direction

Systematic PDFs

Built from the atmospheric neutrino analysis systematic errors that change the 2D signal / BG histograms by at least 2.5% when applying a 1σ shift (28 systematic errors)

ν_τ appearance search results



PRELIMINARY RESULTS (NH)

$\alpha = 1.47 \pm 0.32$ (stat + syst)

[1.41 \pm 0.28 stat only]

- No-tau appearance rejection at 4.6 σ level for normal hierarchy (3.3 σ expected)
- 338.1 \pm 72.7 fully contained CC ν_τ events

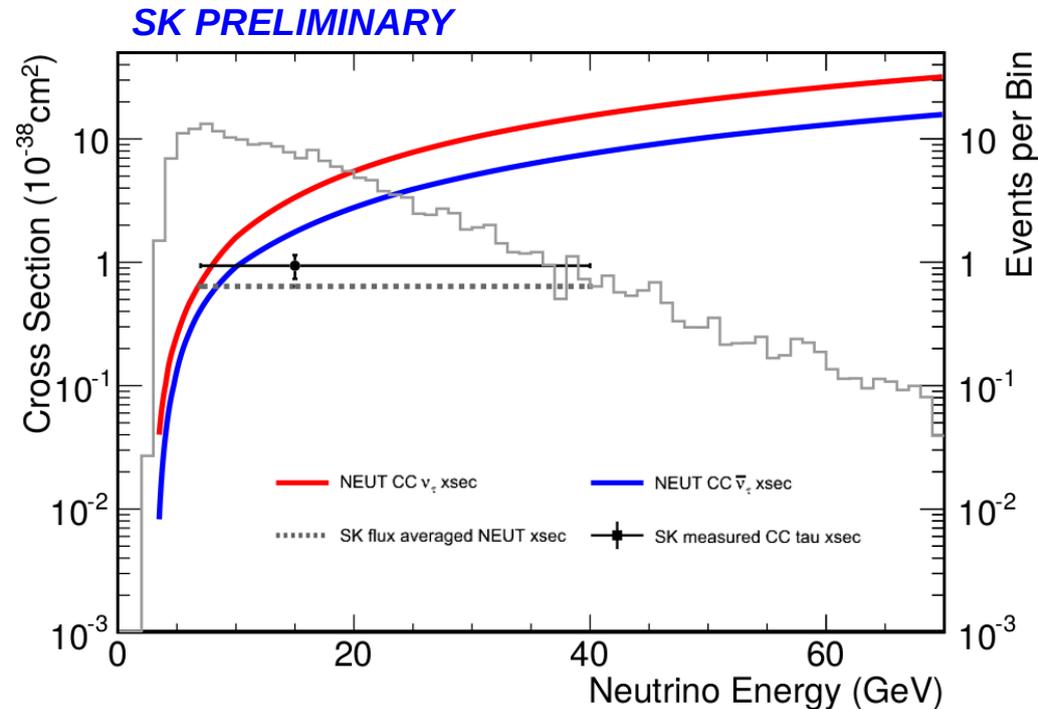
CC ν_τ cross-section measurement

$$\sigma_{measured} = S_\tau \times \langle \sigma_{theory} \rangle$$

- S_τ is a scaling factor and $\langle \sigma_{theory} \rangle$ is the flux-averaged theoretical CC ν_τ cross-section used in NEUT
- In this case $S_\tau = \alpha$ so

$$\sigma_{measured} = (1.47 \pm 0.32) \times \langle \sigma_{theory} \rangle$$

- The flux-averaged theoretical cross-section is calculated to be **$0.64 \times 10^{-38} \text{ cm}^2$ between 3.5 GeV and 70 GeV**

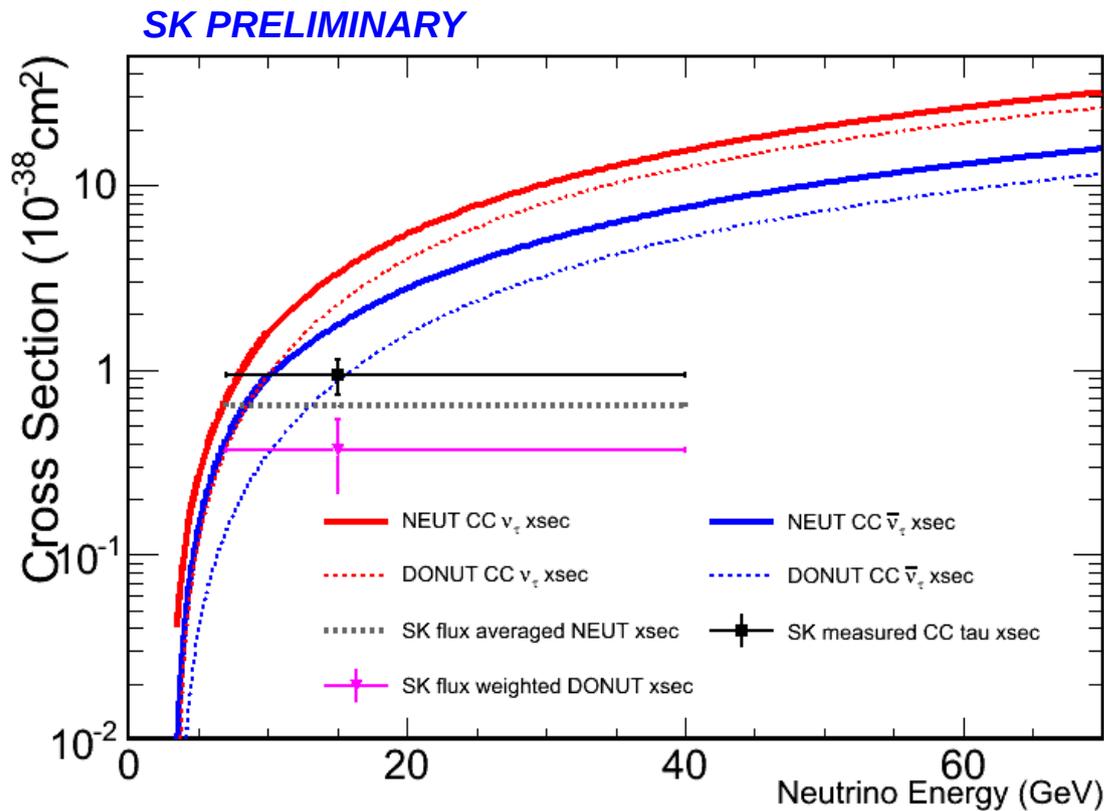


$$\langle \sigma_{measured} \rangle = (0.94 \pm 0.20) \times 10^{-38} \text{ cm}^2$$

for flux averaged between 3.5 GeV and 70 GeV

→ Agreement between theoretical and measured cross-sections at 1.5 σ level

Comparison to DONUT



- DONUT observed 9 CC ν_τ events (1.5 BG)
- Mean energy is 111 GeV
- CC deep-inelastic scattering is dominant
- Assume CC ν_τ cross-section has linear dependence on neutrino energy

$$\sigma(E) = \sigma_{const} \cdot E \cdot K(E)$$

→ extrapolate to SK energies

$$\langle \sigma_{\text{SK-DONUT}} \rangle = (0.37 \pm 0.18) \times 10^{-38} \text{cm}^2$$

- Lower than Super-K measurement

$$\langle \sigma_{\text{SK}} \rangle = (0.94 \pm 0.20) \times 10^{-38} \text{cm}^2$$

→ extrapolation missing contribution from other CC cross-sections

Summary

- Atmospheric neutrinos can be used to study several unresolved neutrino questions...
 - θ_{13} non-zero observed
 - Measured oscillation parameters in good agreement with other experiments
 - All analyses show slight preference for **normal mass hierarchy** and for the **second octant of θ_{23}**
- **No-tau appearance hypothesis excluded at 4.6σ** level assuming normal hierarchy
- $CC\nu_\tau$ cross-section is $(0.94 \pm 0.20) \times 10^{-38} \text{ cm}^2$ between 3.5 GeV and 70 GeV
- Results will be published soon, two papers in preparation

The collaboration



~160 members, ~45 institutes

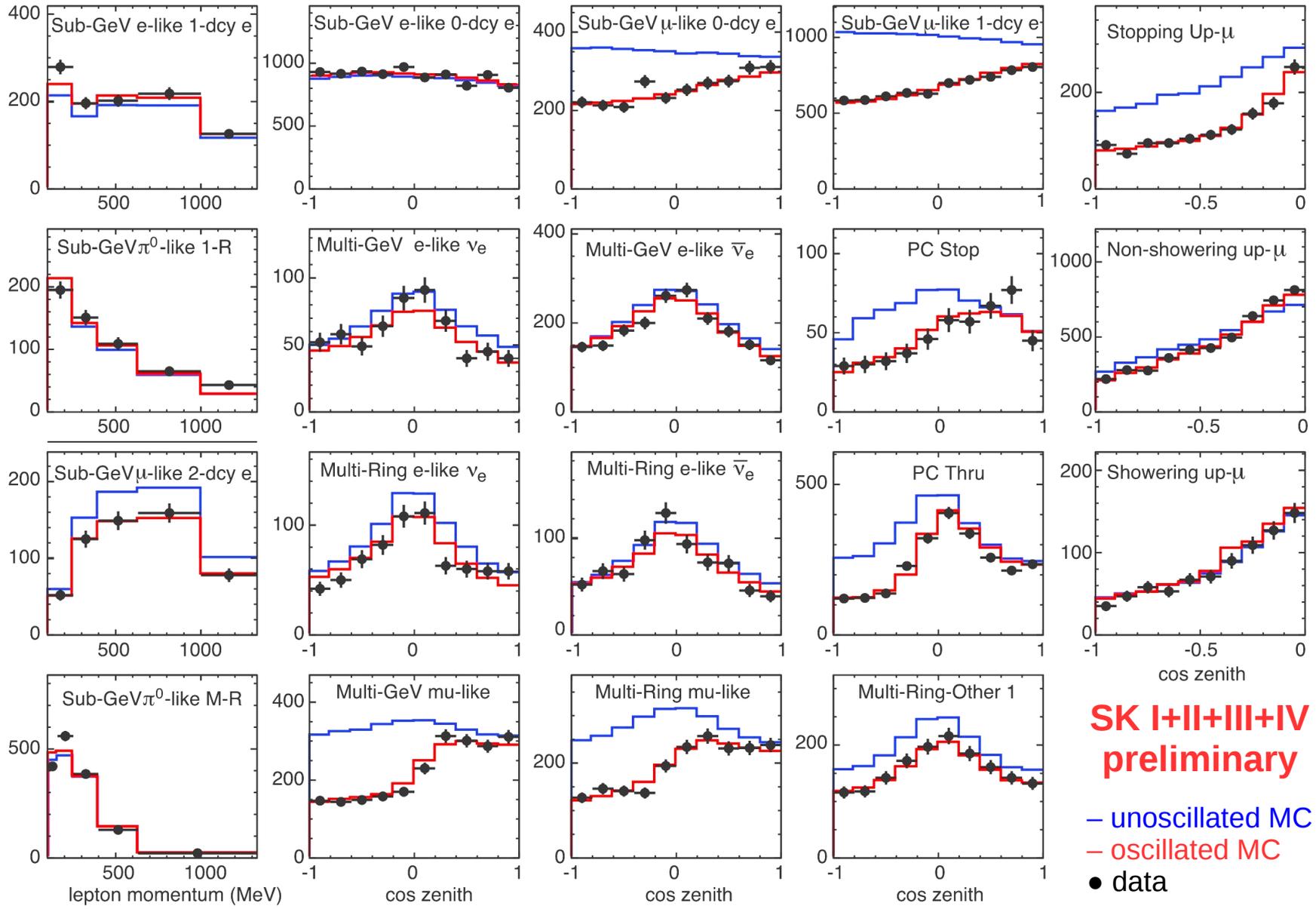


Back up

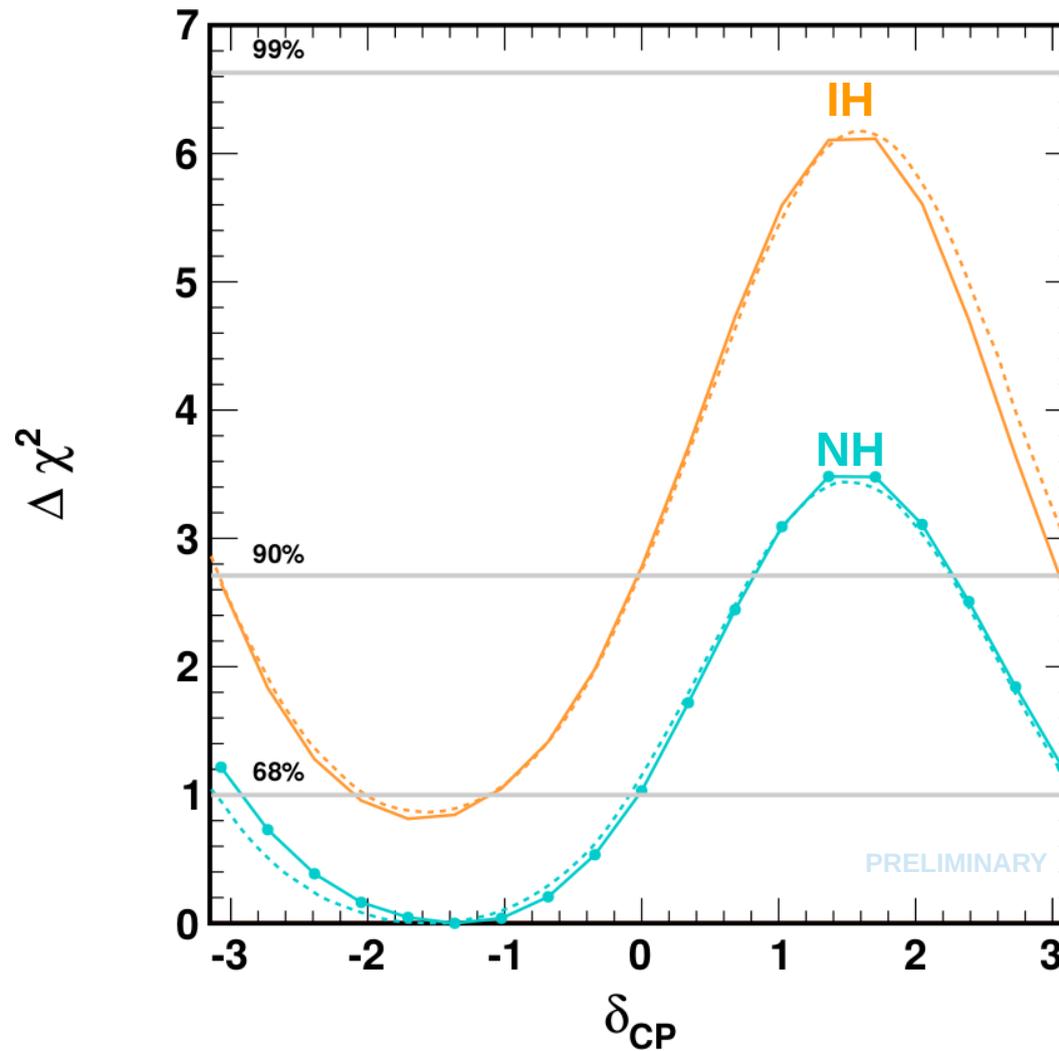
FC samples purities

Sample	Energy bins	$\cos \theta_z$ bins	CC ν_e	CC $\bar{\nu}_e$	CC $\nu_\mu + \bar{\nu}_\mu$	CC ν_τ	NC	Data	MC
Fully Contained (FC) Sub-GeV									
e-like, Single-ring									
0 decay-e	5 e^\pm momentum	10 in $[-1, 1]$	0.717	0.248	0.002	0.000	0.033	10294	10266.1
1 decay-e	5 e^\pm momentum		0.805	0.019	0.108	0.001	0.067	1174	1150.7
μ -like, Single-ring									
0 decay-e	5 μ^\pm momentum	10 in $[-1, 1]$	0.041	0.013	0.759	0.001	0.186	2843	2824.3
1 decay-e	5 μ^\pm momentum	10 in $[-1, 1]$	0.001	0.000	0.972	0.000	0.027	8011	8008.7
2 decay-e	5 μ^\pm momentum		0.000	0.000	0.979	0.001	0.020	687	687.0
π^0 -like									
Single-ring	5 e^\pm momentum		0.096	0.033	0.015	0.000	0.856	578	571.8
Two-ring	5 π^0 momentum		0.067	0.025	0.011	0.000	0.897	1720	1728.4
Multi-ring			0.294	0.047	0.342	0.000	0.318	1682	1624.2
Fully Contained (FC) Multi-GeV									
Single-ring									
ν_e -like	4 e^\pm momentum	10 in $[-1, 1]$	0.621	0.090	0.100	0.033	0.156	705	671.3
$\bar{\nu}_e$ -like	4 e^\pm momentum	10 in $[-1, 1]$	0.546	0.372	0.009	0.010	0.063	2142	2193.7
μ -like	2 μ^\pm momentum	10 in $[-1, 1]$	0.003	0.001	0.992	0.002	0.002	2565	2573.8
Multi-ring									
ν_e -like	3 visible energy	10 in $[-1, 1]$	0.557	0.102	0.117	0.040	0.184	907	915.5
$\bar{\nu}_e$ -like	3 visible energy	10 in $[-1, 1]$	0.531	0.270	0.041	0.022	0.136	745	773.8
μ -like	4 visible energy	10 in $[-1, 1]$	0.027	0.004	0.913	0.005	0.051	2310	2294.0
Other	4 visible energy	10 in $[-1, 1]$	0.275	0.029	0.348	0.049	0.299	1808	1772.6
Partially Contained (PC)									
Stopping	2 visible energy	10 in $[-1, 0]$	0.084	0.032	0.829	0.010	0.045	566	570.0
Through-going	4 visible energy	10 in $[-1, 1]$	0.006	0.003	0.978	0.007	0.006	2801	2889.9
Upward-going Muons (Up-μ)									
Stopping	3 visible energy	10 in $[-1, 0]$	0.008	0.003	0.986	0.000	0.003	1456.4	1448.9
Through-going									
Non-showering		10 in $[-1, 0]$	0.002	0.001	0.996	0.000	0.001	5035.3	4900.4
Showering		10 in $[-1, 0]$	0.001	0.000	0.998	0.000	0.001	1231.0	1305.0

Data and MC comparisons

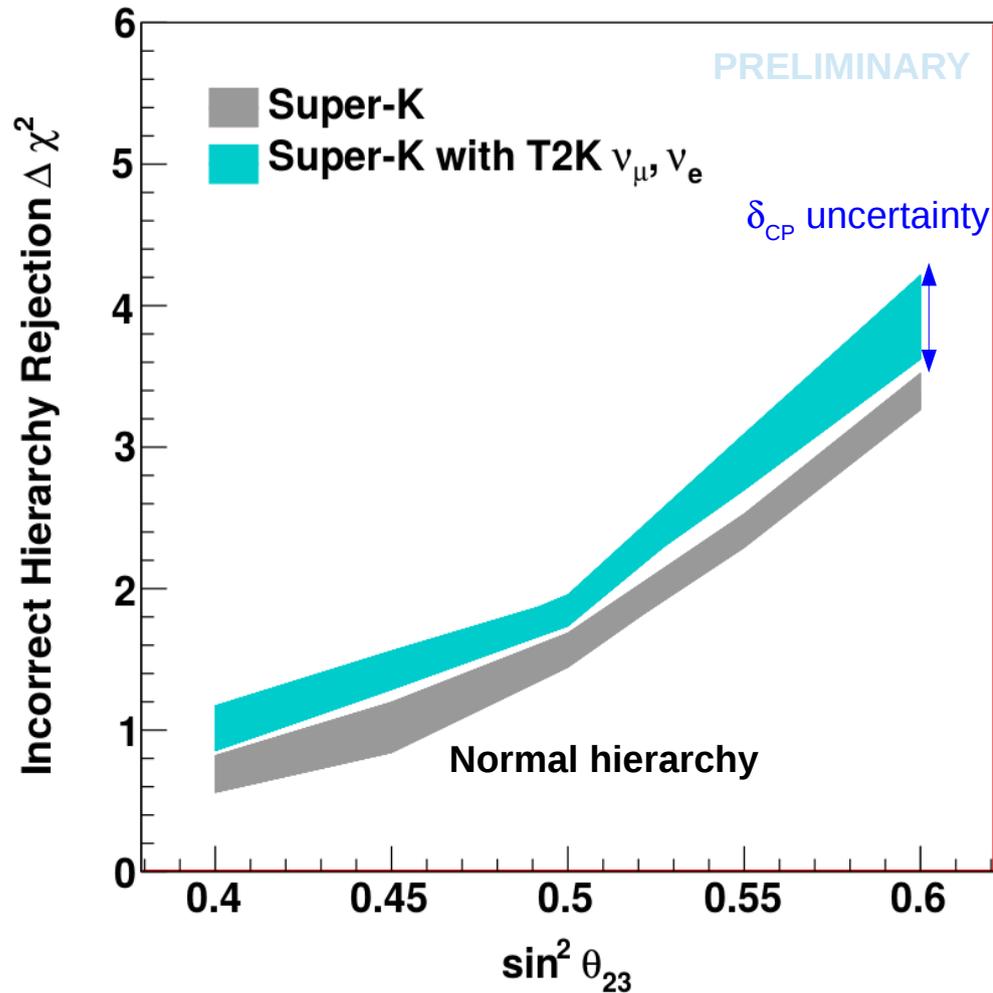


T2K vs Super-K T2K model



- T2K model
- T2K published data
(arXiv:1502.01550 [hep-ex])

Sensitivity to mass hierarchy



Summary

Fit	Hierarchy	χ^2	$\sin^2\theta_{13}$	$\sin^2\theta_{23}$	$ \Delta m_{32,13}^2 $ [eV ²]	δ_{CP}
SK θ_{13} Free	NH	571.7	$0.019^{+0.028}_{-0.014}$	$0.584^{+0.039}_{-0.069}$	$2.47^{+0.17}_{-0.28}$	$4.18^{+1.43}_{-1.64}$
	IH	575.2	$0.008^{+0.017}_{-0.007}$	$0.550^{+0.043}_{-0.078}$	$2.20^{+0.33}_{-0.13}$	$3.85^{+2.35}_{-2.15}$
SK θ_{13} Constrained	NH	571.7	–	$0.588^{+0.031}_{-0.067}$	$2.50^{+0.13}_{-0.20}$	$4.19^{+1.37}_{-1.59}$
	IH	576.1	–	$0.575^{+0.035}_{-0.085}$	$2.50^{+0.08}_{-0.37}$	$4.19^{+1.49}_{-1.63}$
SK+T2K θ_{13} Constrained	NH	639.6	–	$0.550^{+0.040}_{-0.059}$	$2.50^{+0.05}_{-0.13}$	$4.89^{+0.84}_{-1.45}$
	IH	644.8	–	$0.550^{+0.037}_{-0.049}$	$2.40^{+0.13}_{-0.06}$	$4.54^{+0.99}_{-0.96}$

Systematics detail

Systematic Error		Fit Value (%)	σ (%)		
Flux normalization	$E_\nu < 1 \text{ GeV}^a$	14.3	25		
	$E_\nu > 1 \text{ GeV}^b$	7.8	15		
$(\nu_\mu + \bar{\nu}_\mu)/(\nu_e + \bar{\nu}_e)$	$E_\nu < 1 \text{ GeV}$	0.06	2		
	$1 < E_\nu < 10 \text{ GeV}$	-1.1	3		
	$E_\nu > 10 \text{ GeV}^c$	1.6	5		
$\bar{\nu}_e/\nu_e$	$E_\nu < 1 \text{ GeV}$	1.7	5		
	$1 < E_\nu < 10 \text{ GeV}$	3.4	5		
	$E_\nu > 10 \text{ GeV}^d$	-1.6	8		
$\bar{\nu}_\mu/\nu_\mu$	$E_\nu < 1 \text{ GeV}$	0.23	2		
	$1 < E_\nu < 10 \text{ GeV}$	2.9	6		
	$E_\nu > 10 \text{ GeV}^e$	-2.9	15		
Up/down ratio	< 400 MeV	e -like	-0.026	0.1	
		μ -like	-0.078	0.3	
		0-decay μ -like	-0.286	1.1	
	> 400 MeV	e -like	-0.208	0.8	
		μ -like	-0.13	0.5	
		0-decay μ -like	-0.442	1.7	
	Multi-GeV	e -like	-0.182	0.7	
		μ -like	-0.052	0.2	
	Multi-ring Sub-GeV	e -like	-0.104	0.4	
		μ -like	-0.052	0.2	
	Multi-ring Multi-GeV	e -like	-0.078	0.3	
		μ -like	-0.052	0.2	
	PC		-0.052	0.2	
	Horizontal/vertical ratio	< 400 MeV	e -like	0.019	0.1
			μ -like	0.019	0.1
			0-decay μ -like	0.058	0.3
		> 400 MeV	e -like	0.271	1.4
μ -like			0.368	1.9	
0-decay μ -like			0.271	1.4	
Multi-GeV		e -like	0.62	3.2	
		μ -like	0.446	2.3	
Multi-ring Sub-GeV		e -like	0.271	1.4	
		μ -like	0.252	1.3	
Multi-ring Multi-GeV		e -like	0.543	2.8	
		μ -like	0.291	1.5	
PC			0.330	1.7	
K/ π ratio in flux calculation ^f			-9.3	10	
Neutrino path length			-2.17	10	
Sample-by-sample		FC Multi-GeV	-6.5	5	
		PC + Stopping UP- μ	0.19	5	
Matter effects			0.52	6.8	

^a Uncertainty decreases linearly with $\log E_\nu$ from 25%(0.1 GeV) to 7%(1 GeV).

^b Uncertainty is 7% up to 10 GeV, linearly increases with $\log E_\nu$ from 7%(10 GeV) to 12%(100 GeV) and then to 20%(1 TeV)

^c Uncertainty linearly increases with $\log E_\nu$ from 5%(30 GeV) to 30%(1 TeV).

^d Uncertainty linearly increases with $\log E_\nu$ from 8%(100 GeV) to 20%(1 TeV).

^e Uncertainty linearly increases with $\log E_\nu$ from 6%(50 GeV) to 40%(1 TeV).

^f Uncertainty increases linearly from 5% to 20% between 100GeV and 1TeV.

Systematics detail

Systematic Error	Fit Value (%)	σ (%)	
M_A in QE	-0.56	10	
Single π Production, Axial Coupling	-4.5	10	
Single π Production, C_{A5}	-3.0	10	
Single π Production, BKG	-8.7	10	
CCQE cross section ^a	6.6	10	
CCQE $\bar{\nu}/\nu$ ratio ^a	9.3	10	
CCQE μ/e ratio ^a	0.71	10	
DIS cross section	-4.4	5	
DIS model comparisons ^b	3.0	10	
DIS Q^2 distribution (high W) ^c	8.2	10	
DIS Q^2 distribution (low W) ^c	-5.8	10	
Coherent π production	-8.6	100	
NC/CC	12.1	20	
ν_τ cross section	-13.9	25	
Single π production, π^0/π^\pm	-20.2	40	
Single π production, $\bar{\nu}_i/\nu_i$ (i=e, μ) ^d	-11.1	10	
NC fraction from hadron simulation	-0.54	10	
π^+ decay uncertainty Sub-GeV 1-ring	e -like 0-decay	-0.18	0.6
	μ -like 0-decay	-0.24	0.8
	e -like 1-decay	1.2	4.1
	μ -like 1-decay	0.71	0.9
	μ -like 2-decay	1.7	5.7
Meson exchange current ^e	-1.8	10	
Δm_{21}^2 [27]	0.022	2.4	
$\sin^2(\theta_{12})$ [27]	0.34	4.6	
$\sin^2(\theta_{13})$ [27]	0.11	5.4	

^a Difference from the Nieves [24] model is set to 1.0

^b Difference from CKMT [42] parametrization is set to 1.0

^c Difference from GRV98 [43] is set to 1.0

^d Difference from the Hernandez[44] model is set to 1.0

^e Difference from NEUT without model from [24] is set to 1.0

Systematics detail

Systematic Error	SK-I		SK-II		SK-III		SK-IV	
	Fit Value	σ						
FC reduction	-0.008	0.2	0.005	0.2	0.068	0.8	0.68	1.3
PC reduction	0.007	2.4	-3.45	4.8	-0.012	0.5	-0.78	1
FC/PC separation	-0.10	0.6	0.077	0.5	-0.13	0.9	0.0004	0.02
PC stopping/through-going separation (bottom)	-15.8	23	-2.4	13	-0.31	12	-1.5	6.8
PC stopping/through-going separation (barrel)	3.8	7	-5.7	9.4	-13.9	29	-0.40	8.5
PC stopping/through-going separation (top)	8.5	46	-3.0	19	-12.6	87	-24.1	40
Non- ν background								
Sub-GeV μ -like	0.010	0.1	0.065	0.4	0.106	0.5	-0.011	0.02
Multi-GeV μ -like	0.037	0.4	0.065	0.4	0.106	0.5	-0.011	0.02
Sub-GeV 1-ring 0-decay μ -like	0.010	0.1	0.049	0.3	0.085	0.4	-0.052	0.09
PC	0.019	0.2	0.114	0.7	0.381	1.8	-0.282	0.49
Sub-GeV e -like (flasher event)	0.069	0.5	0.000	0.2	-0.004	0.2	-0.000	0.02
Multi-GeV e -like (flasher event)	0.013	0.1	0.000	0.3	-0.013	0.7	-0.000	0.08
Multi-GeV 1-ring e -like	3.6	13	-5.2	38	-1.0	27	2.4	18
Multi-GeV Multi-ring e -like	3.8	12	3.8	11	0.74	11	0.40	12
Fiducial Volume	-0.86	2	0.10	2	0.22	2	-1.5	2
Ring separation								
< 400 MeV								
e -like	0.45	2.3	-1.08	1.3	0.80	2.3	0.95	1.6
μ -like	0.14	0.7	-1.91	2.3	1.04	3	1.79	3
> 400 MeV								
e -like	0.078	0.4	-1.41	1.7	0.45	1.3	-0.60	1
μ -like	0.14	0.7	-0.582	0.7	0.208	0.6	-0.36	0.6
Multi-GeV								
e -like	0.72	3.7	-2.16	2.6	0.45	1.3	-0.60	1
μ -like	0.33	1.7	-1.41	1.7	0.35	1	0.72	1.2
Multi-ring Sub-GeV								
e -like	-0.68	3.5	3.16	3.8	0.45	1.3	1.13	1.9
μ -like	-0.88	4.5	6.82	8.2	-0.90	2.6	1.37	2.3
Multi-ring Multi-GeV								
e -like	-0.60	3.1	1.58	1.9	-0.38	1.1	0.54	0.9
μ -like	-0.80	4.1	0.666	0.8	-0.73	2.1	-1.43	2.4
Particle identification (1 ring)								
Sub-GeV								
e -like	0.039	0.23	0.229	0.66	0.053	0.26	-0.123	0.28
μ -like	-0.031	0.18	-0.173	0.5	-0.038	0.19	0.097	0.22
Multi-GeV								
e -like	0.032	0.19	0.083	0.24	0.062	0.31	-0.155	0.35
μ -like	-0.032	0.19	-0.090	0.26	-0.060	0.3	0.155	0.35
Particle identification (multi-ring)								
Sub-GeV								
e -like	-0.22	3.1	-3.43	6	3.50	9.5	-2.26	4.2
μ -like	0.046	0.66	1.43	2.5	-1.92	5.2	0.86	1.6
Multi-GeV								
e -like	0.45	6.5	5.56	9.7	-1.80	4.9	-1.78	3.3
μ -like	-0.20	2.9	-2.23	3.9	1.00	2.7	0.86	1.6
Energy calibration								
Up/down asymmetry energy calibration	0.26	0.6	0.24	0.6	0.74	1.3	-0.15	0.4
UP- μ reduction								
Stopping	-0.091	0.7	-0.090	0.7	0.162	0.7	0.087	0.5
Through-going	-0.065	0.5	-0.094	0.5	0.115	0.5	0.053	0.3
UP- μ stopping/through-going separation	0.003	0.4	-0.004	0.6	0.030	0.4	-0.102	0.6
Energy cut for stopping UP- μ	-0.043	0.9	-0.122	1.3	0.957	2	-0.122	1.7
Path length cut for through-going UP- μ	-0.416	1.5	-0.825	2.3	0.993	2.8	1.47	1.5
Through-going UP- μ showering separation	7.53	3.4	-4.68	4.4	2.89	2.4	-3.30	3
Background subtraction for UP- μ								
Stopping ^a	10.0	16	-3.1	21	-4.9	20	-6.7	17
Non-showering ^a	-3.6	18	-3.6	14	1.4	24	2.1	17
Showering ^a	-12.3	18	-15.7	14	0.1	24	-0.9	24
$\nu_e/\bar{\nu}_e$ Separation	-0.98	7.2	7.02	7.9	0.43	7.7	2.50	6.8
Sub-GeV 1-ring π^0 selection								
100 < P_e < 250 MeV/c	1.7	9	6.9	10	0.96	6.3	5.23	4.6
250 < P_e < 400 MeV/c	1.7	9.2	9.7	14	0.75	4.9	3.4	3
400 < P_e < 630 MeV/c	1.1	16	7.6	11	3.7	24	13.7	13
630 < P_e < 1000 MeV/c	2.6	14	11.1	16	1.3	8.2	19.4	17
1000 < P_e < 1330 MeV/c	2.2	12	6.8	9.8	1.7	11	27.3	24
Sub-GeV 2-ring π^0	1.3	5.6	-2.7	4.4	1.6	5.9	-0.72	5.6
Decay-e tagging	-3.3	10	-1.0	10	0.9	10	1.3	10
Solar Activity	-1.8	20	20.0	50	2.7	20	0.6	10

^a The uncertainties in BG subtraction for upward-going muons are only for the most horizontal bin, $-0.1 < \cos \theta < 0$.

Tau decay modes

Decay mode	Branching ratio (%)
$\mu^- \bar{\nu}_\mu \nu_\tau$	17.41 ± 0.04
$e^- \bar{\nu}_e \nu_\tau$	17.83 ± 0.04
$\pi^- \nu_\tau$	10.83 ± 0.06
$\pi^- \pi^0 \nu_\tau$	25.52 ± 0.09
$\pi^- 2\pi^0 \nu_\tau$	9.3 ± 0.11
$\pi^- 3\pi^0 \nu_\tau$	1.05 ± 0.07
$\pi^- \pi^+ \pi^- \nu_\tau$	8.99 ± 0.06
$\pi^- \pi^+ \pi^- \pi^0 \nu_\tau$	8.99 ± 0.06
$h^- \omega \nu_\tau$	2.00 ± 0.08

Flux averaged cross-section

$$\langle \sigma_{theory} \rangle = \frac{\sum_{\nu_\tau, \bar{\nu}_\tau} \int \frac{d\Phi(E_\nu)}{dE_\nu} \sigma_{theory} E_\nu dE_\nu}{\sum_{\nu_\tau, \bar{\nu}_\tau} \int \frac{d\Phi(E_\nu)}{dE_\nu} dE_\nu}$$

