



# UCL



## Quasi-elastic scattering at MINERvA

Cheryl Patrick,  
University College London (previously Northwestern University)

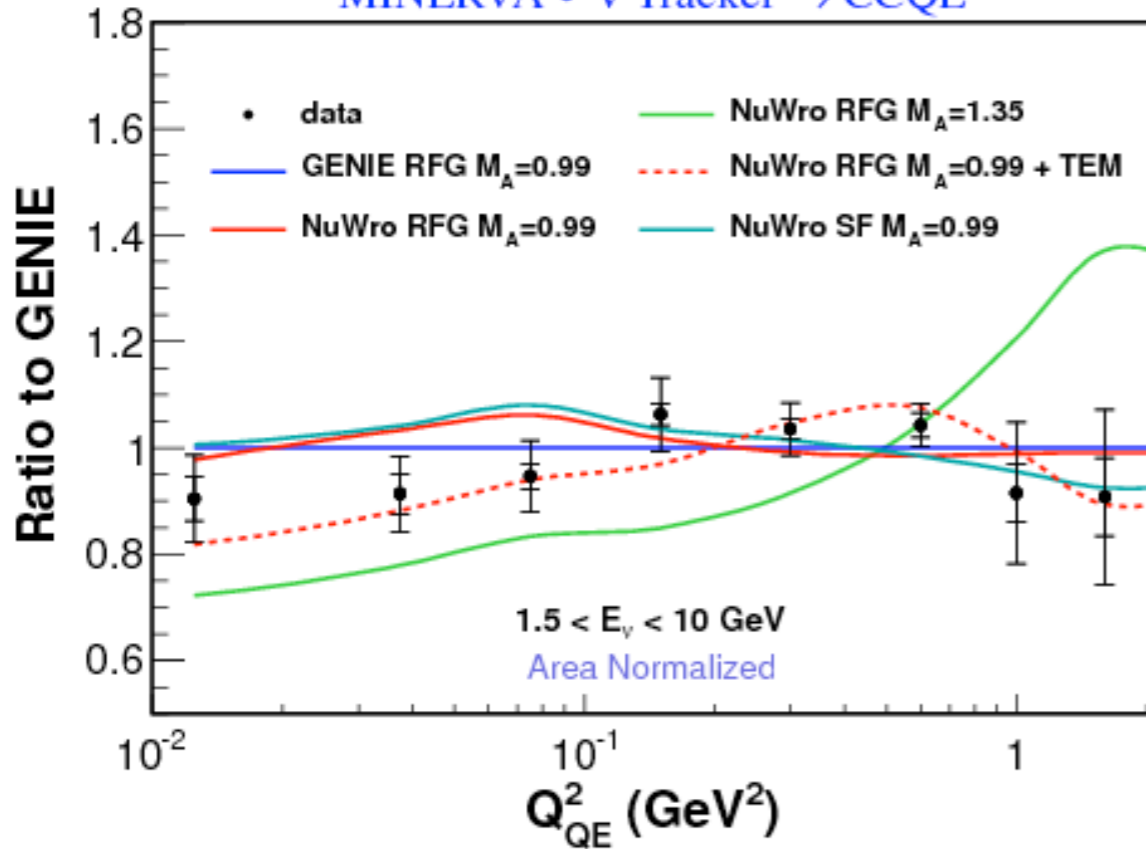
NuFact 2017, Uppsala, Sweden

# The way we were: 2013



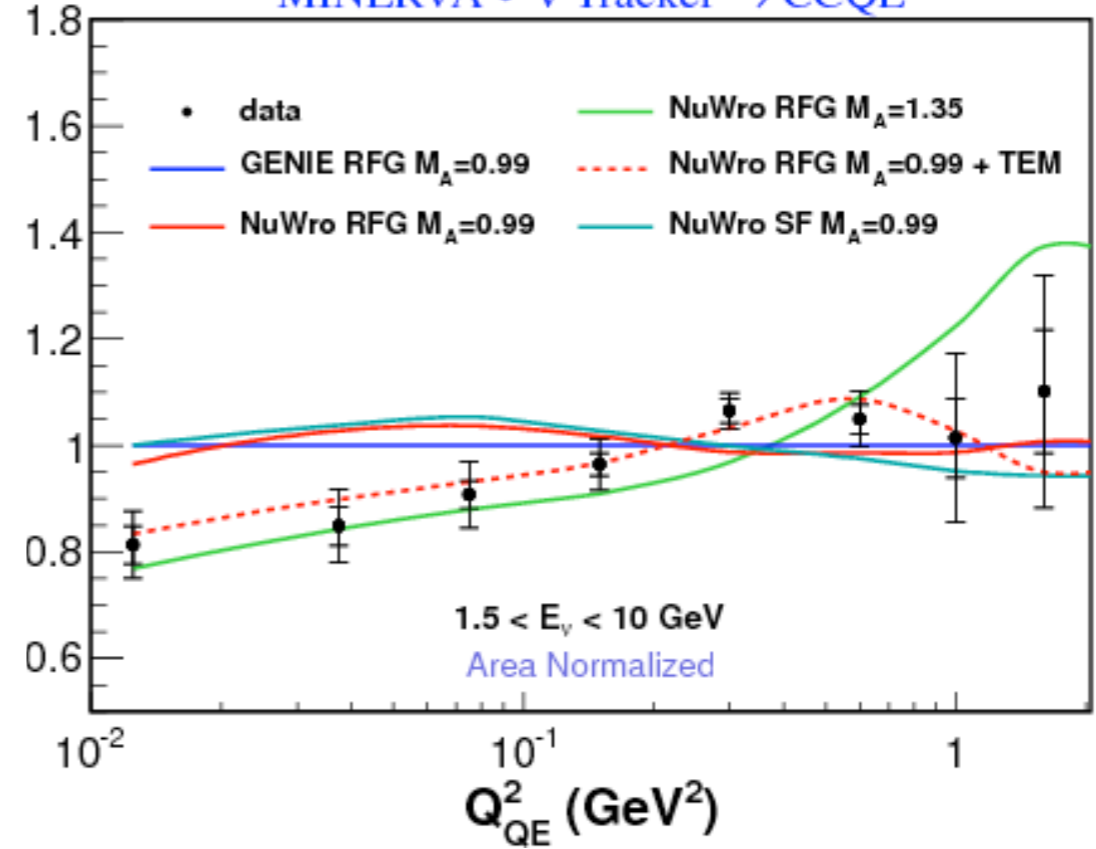
Phys. Rev. Lett. 111, 022502 (2013)

MINERvA •  $\nu$  Tracker  $\rightarrow$  CCQE

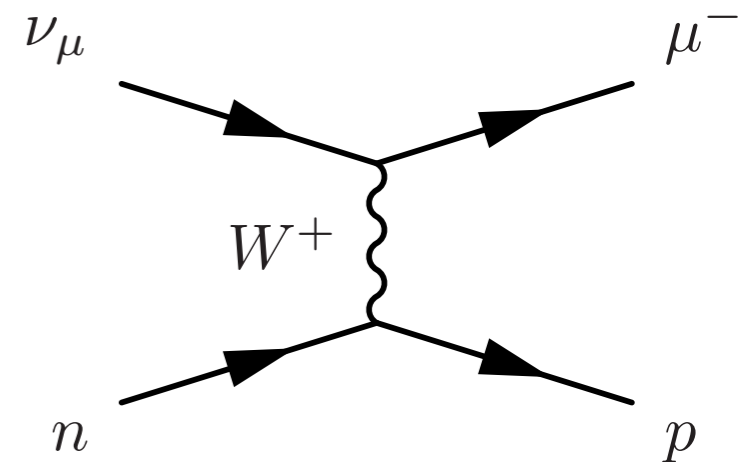


Phys. Rev. Lett. 111, 022501 (2013)

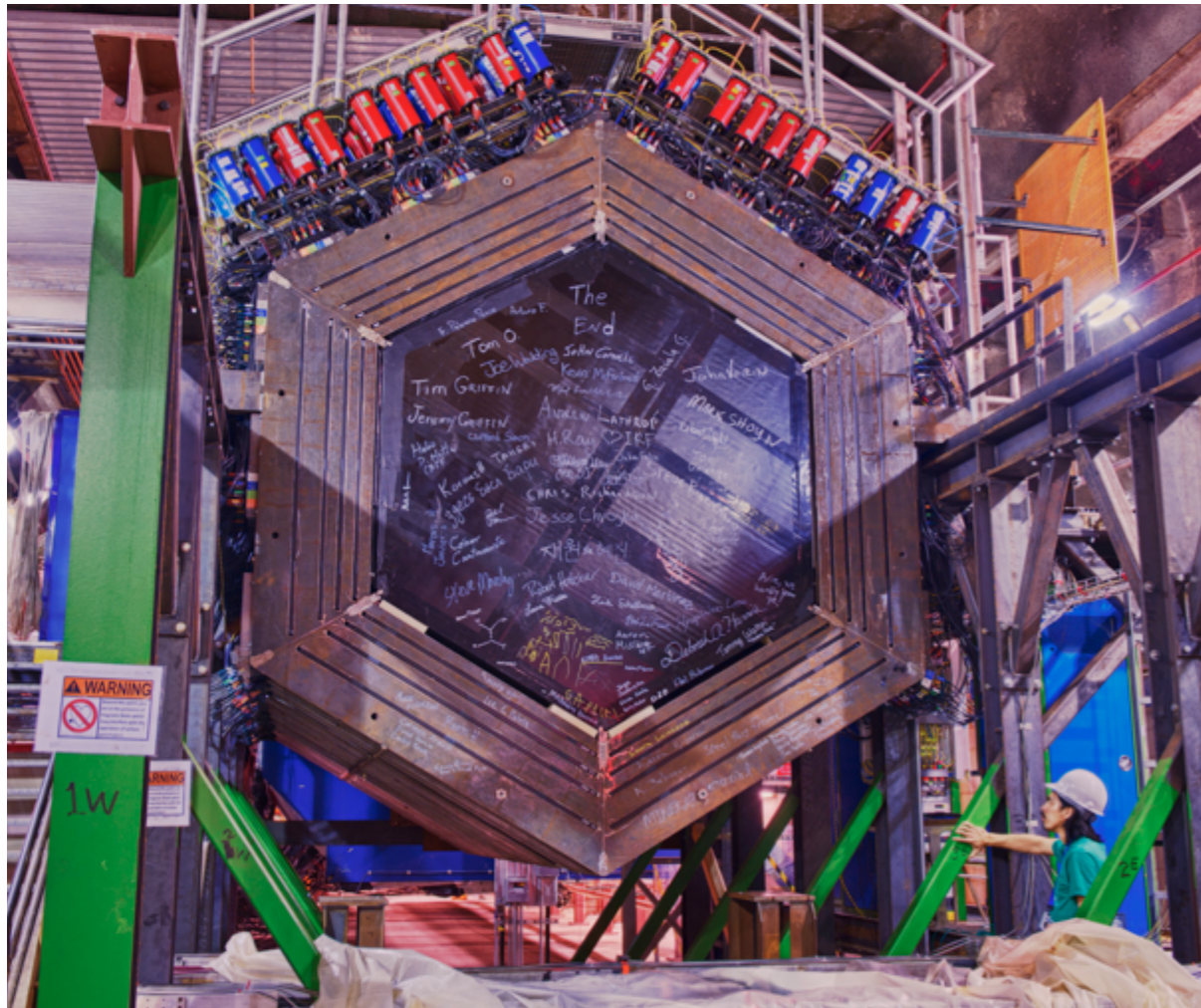
MINERvA •  $\bar{\nu}$  Tracker  $\rightarrow$  CCQE



- MINERvA published charged-current quasi-elastic cross section (CCQE) results vs.  $Q^2$  for both muon neutrinos and antineutrinos on carbon-based scintillator
- Data did not agree with our simulation (GENIE 2.6.2, relativistic Fermi gas model), hinting at additional nuclear effects
- How can we investigate further?

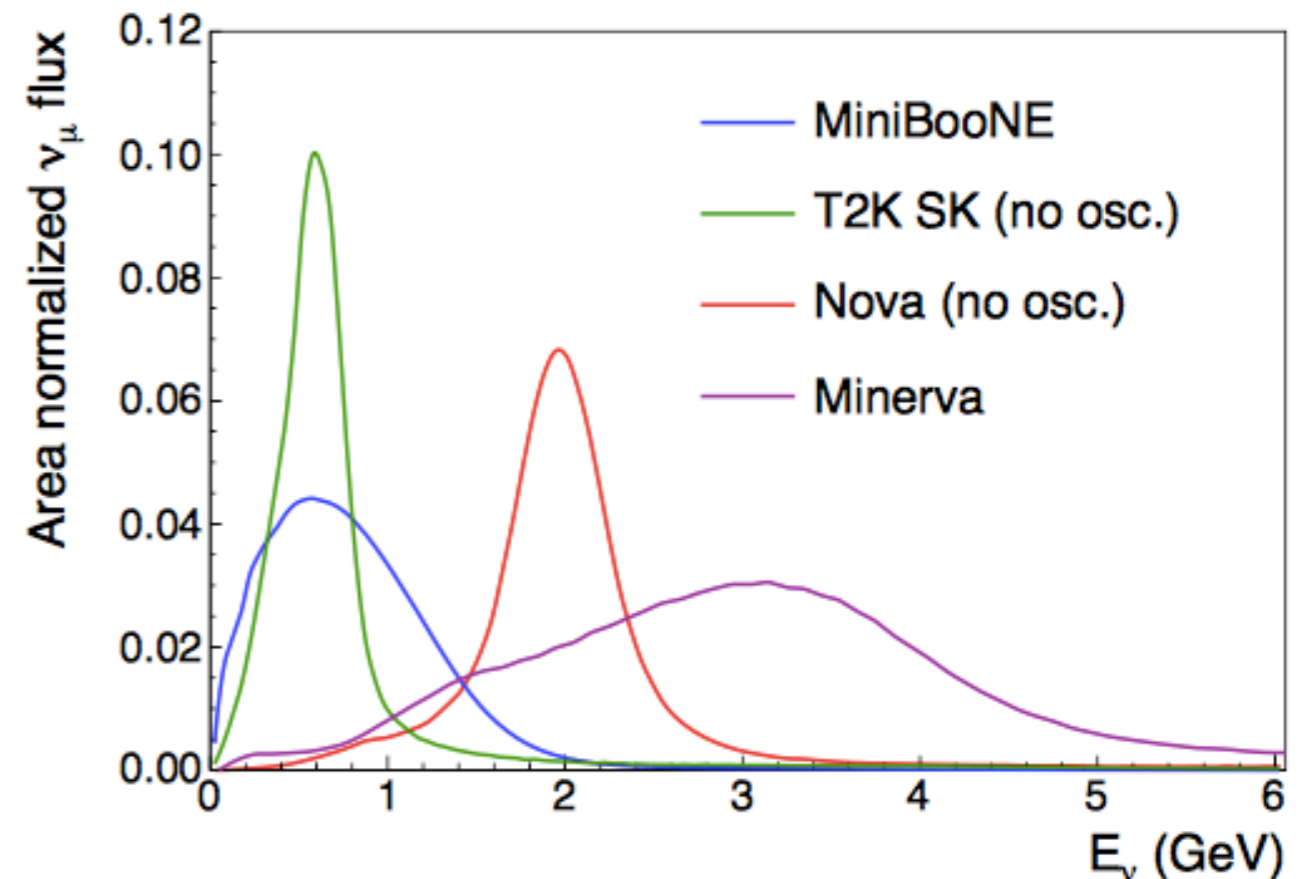


# The MINERvA Experiment



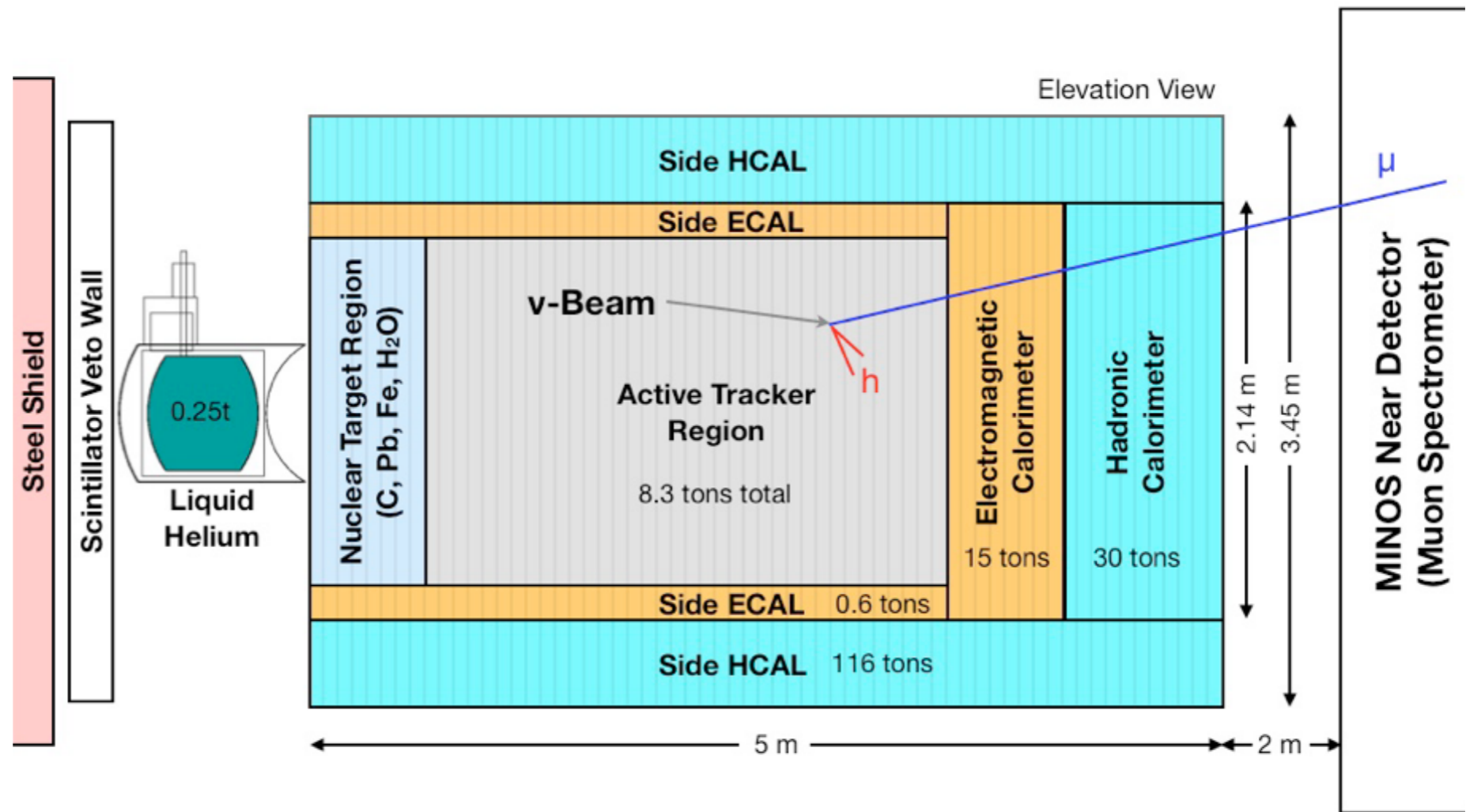
- Fully-active scintillator detector, designed specifically to measure cross sections
- Located in Fermilab's NuMI beam line

P. Rodrigues, Fermilab wine and cheese 11 Dec 2015



- Around  $3 \times 10^{20}$  POT of  $\nu_\mu$  and  $10^{20}$  of  $\bar{\nu}_\mu$  data at peak energy around 3 GeV (this talk)
- Since 2013: taking data at peak energy around 6 GeV

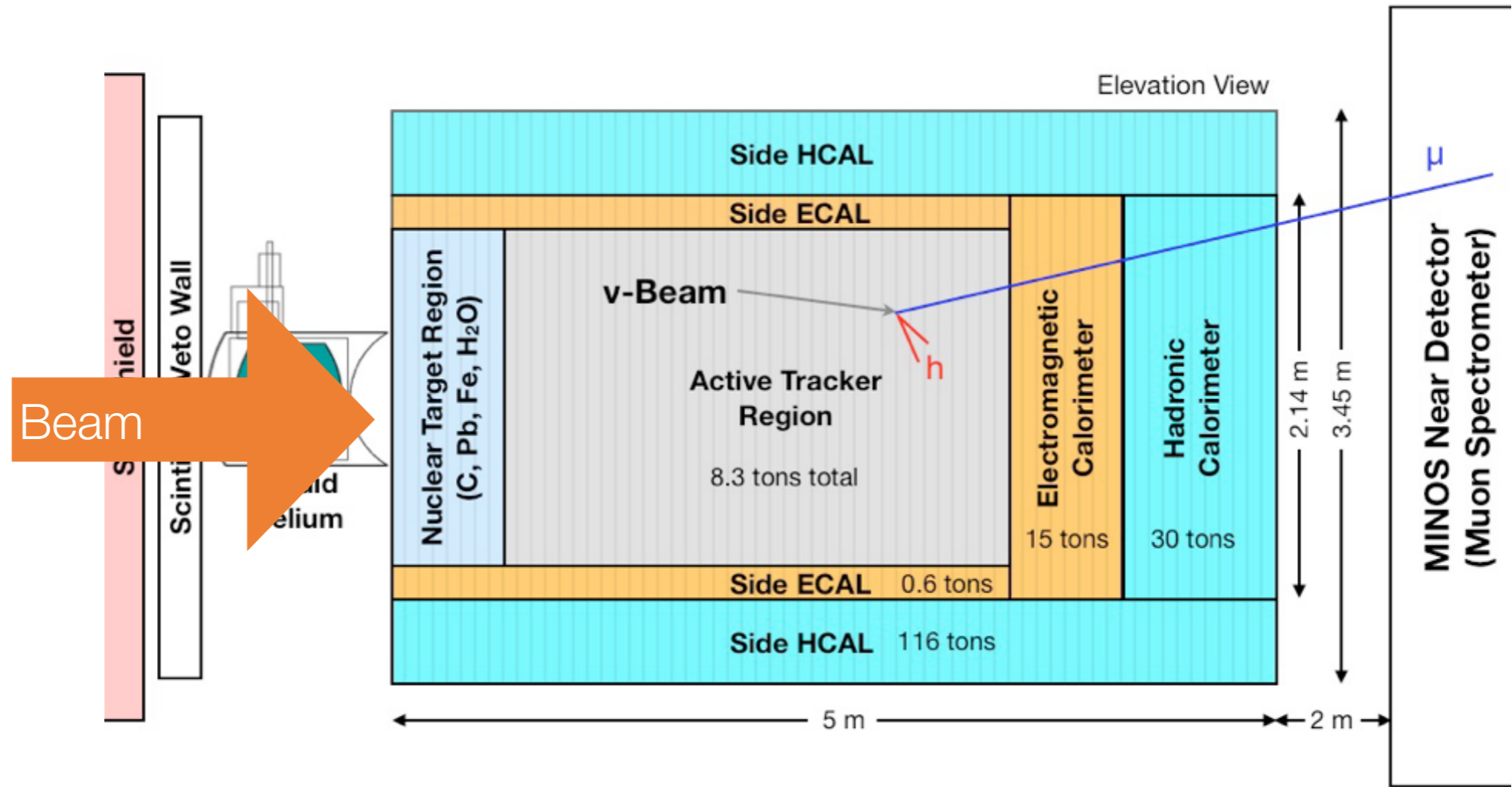
# The MINERvA detector



Nucl. Inst. and Meth. A743 (2014) 130  
arXiv:1305.5199

All photographs: Reidar Hahn, Fermilab visual media services

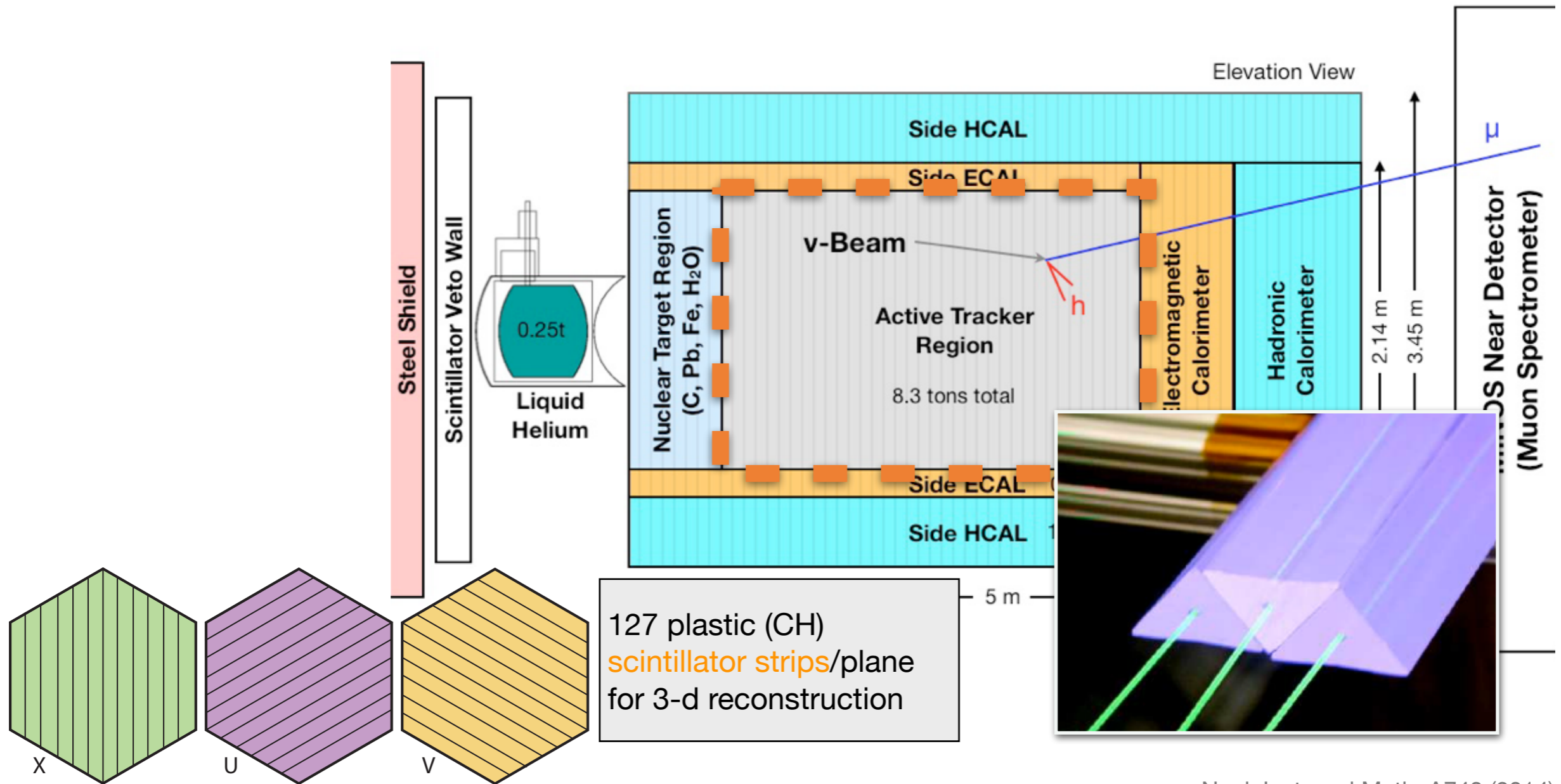
# The MINERvA detector



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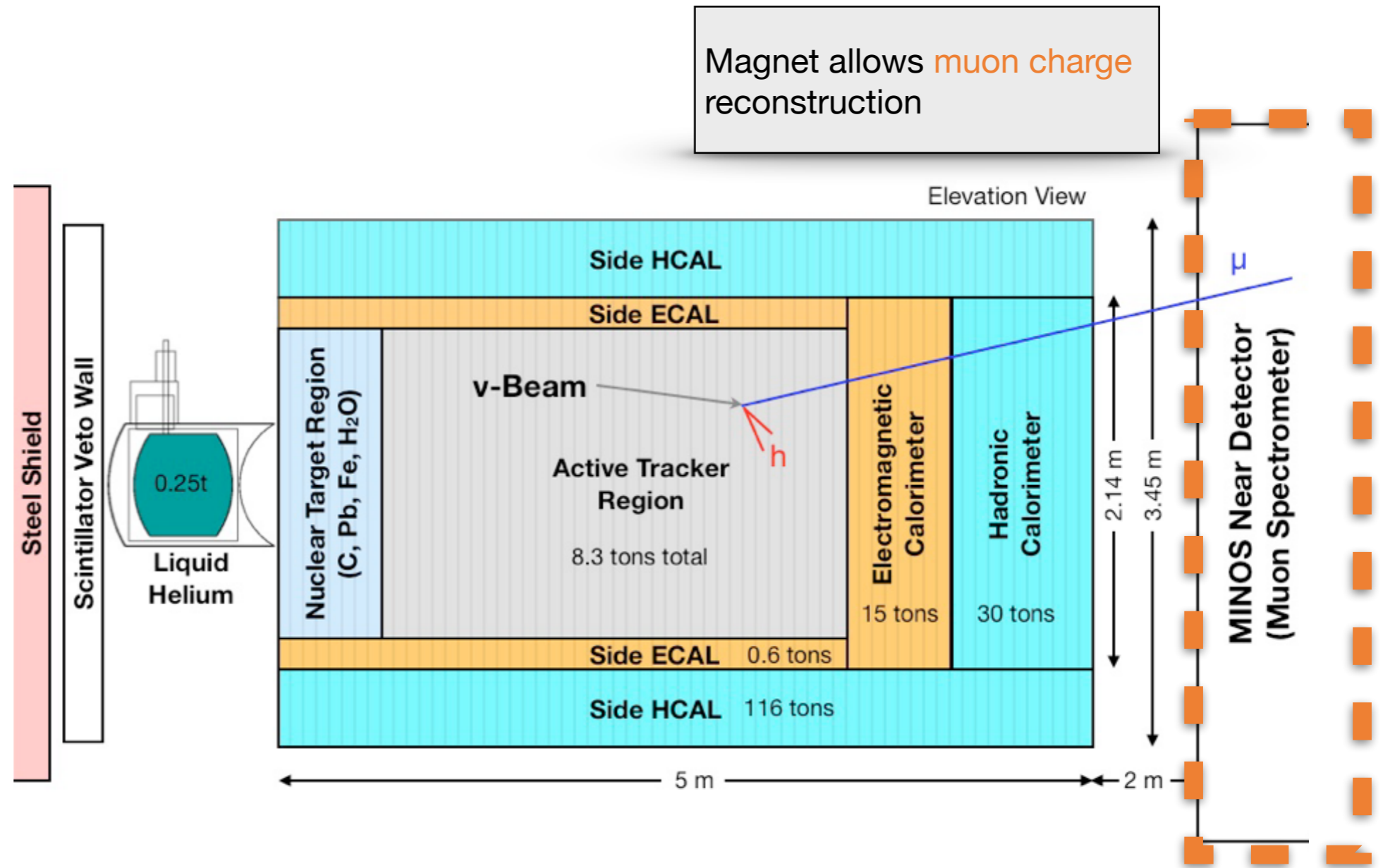
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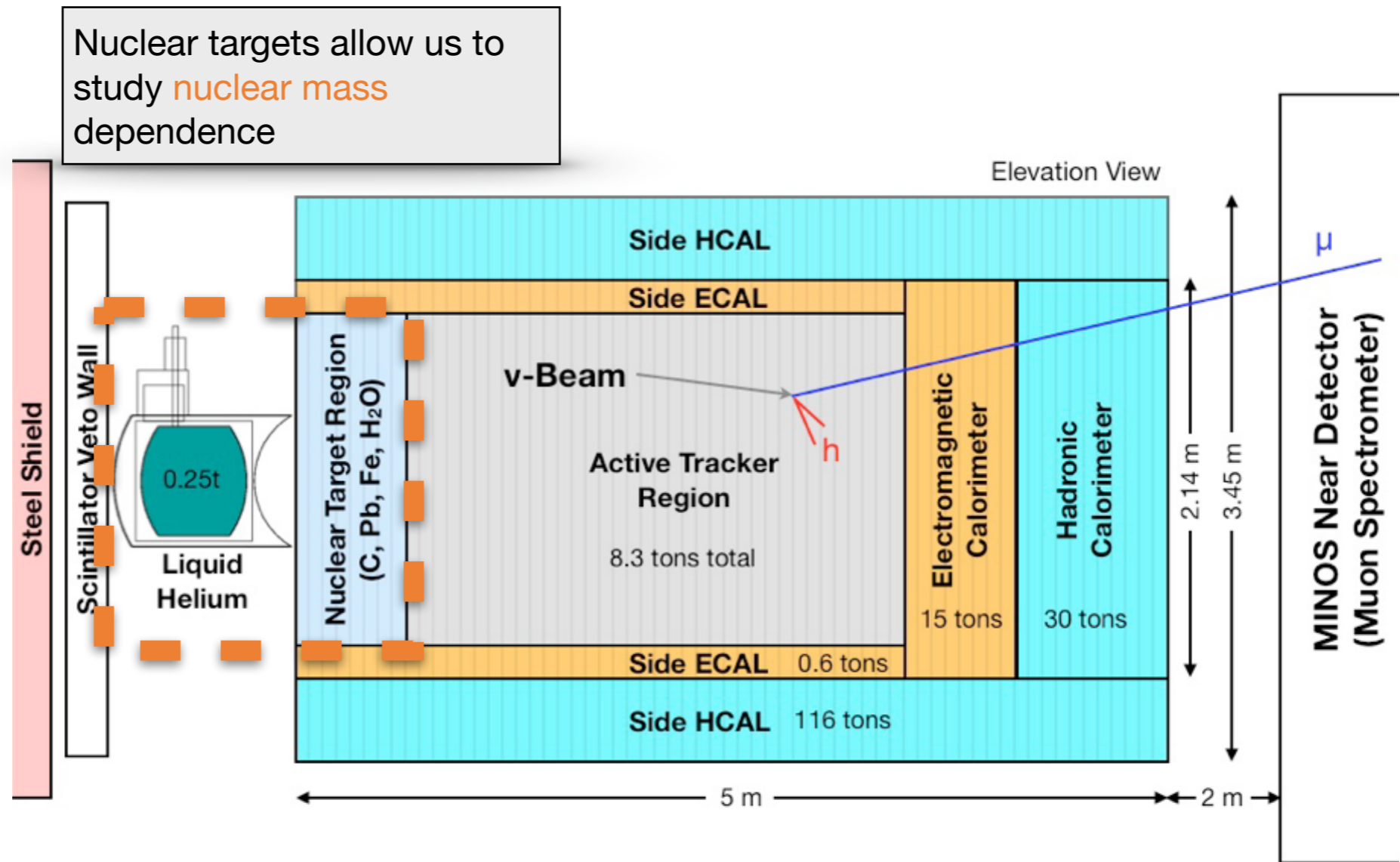
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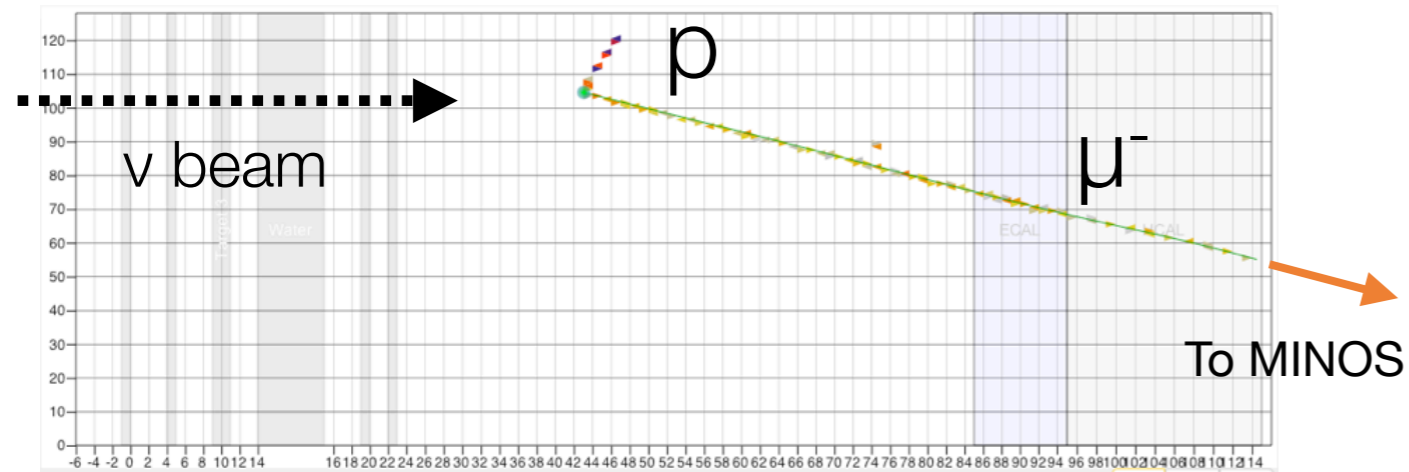
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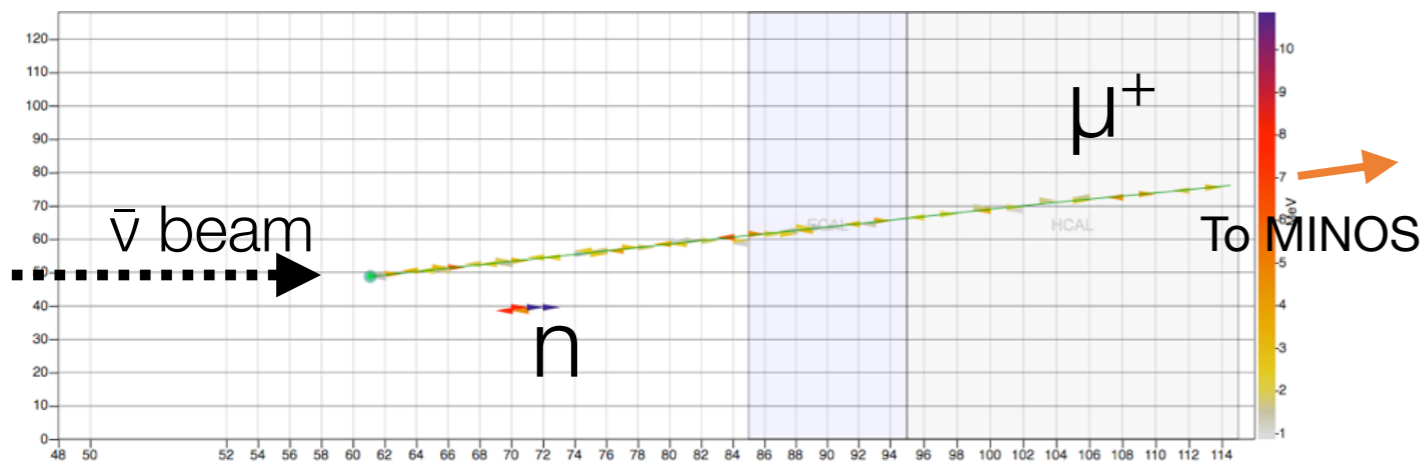


# Quasi-elastic scattering at MINERvA

Neutrino scattering  $\nu + n \rightarrow \mu^- + p$



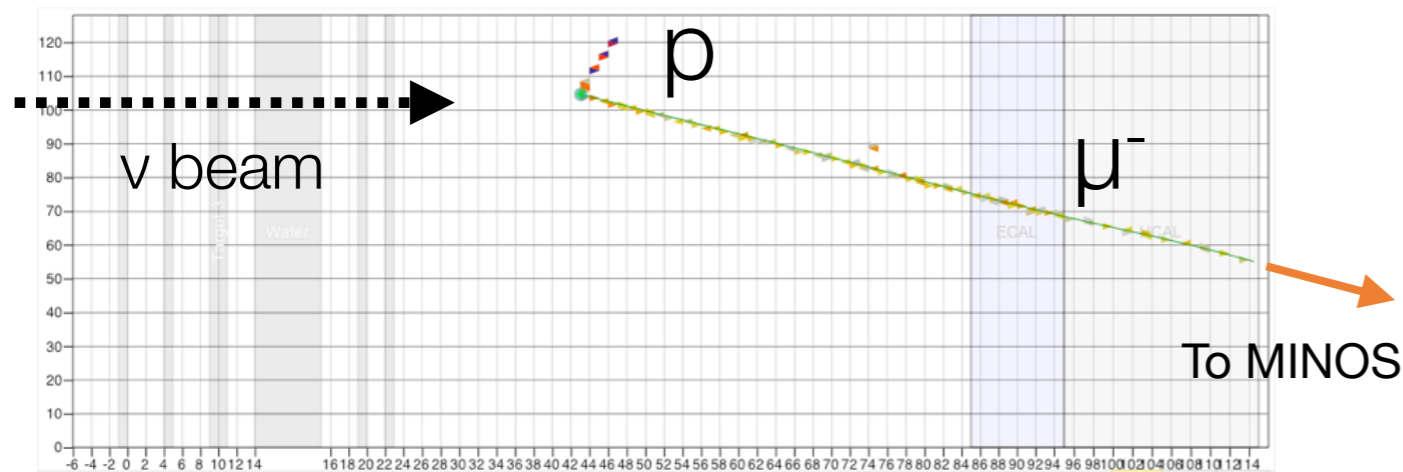
Antineutrino scattering  $\bar{\nu} + p \rightarrow \mu^+ + n$





# Quasi-elastic scattering at MINERvA

Neutrino scattering  $\nu + n \rightarrow \mu^- + p$

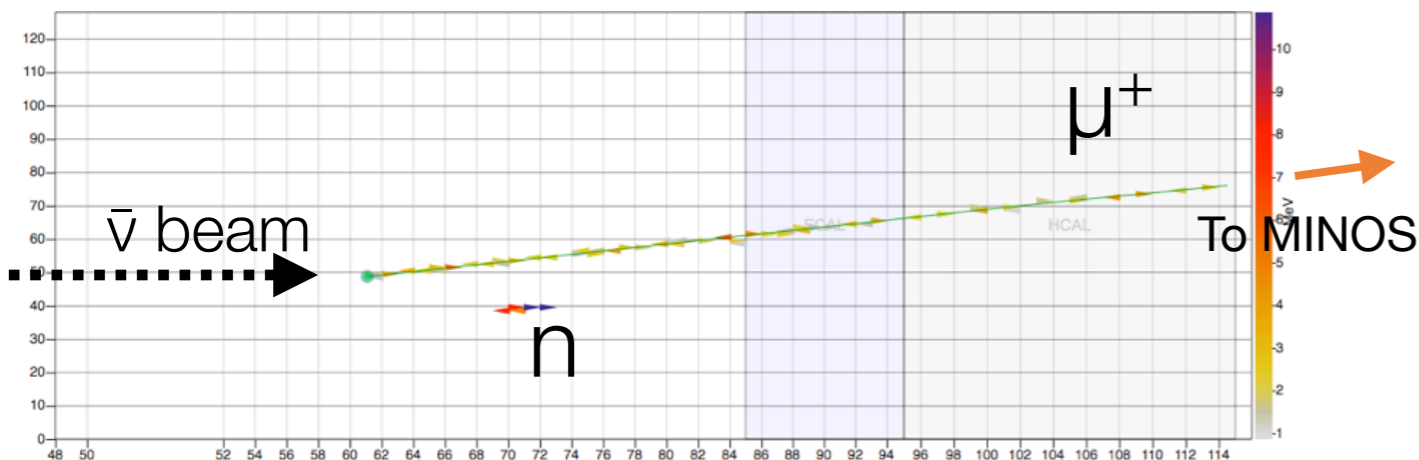


## Muons - matched to MINOS

- 😊 Good energy and angle reconstruction (but misleading if not true CCQE)
- 😊 Charge reconstruction eliminates wrong-sign background
- 😞 Limited energy and angle acceptance due to geometry
- 😞 No information about hadronic system and what happens near the interaction vertex

$$E_{\nu}^{QE} = \frac{m_p^2 - (m_n - E_b)^2 - m_{\mu}^2 + 2(m_n - E_b)E_{\mu}}{2(m_n - E_b - E_{\mu} + p_{\mu} \cos \theta_{\mu})}$$

Antineutrino scattering  $\bar{\nu} + p \rightarrow \mu^+ + n$

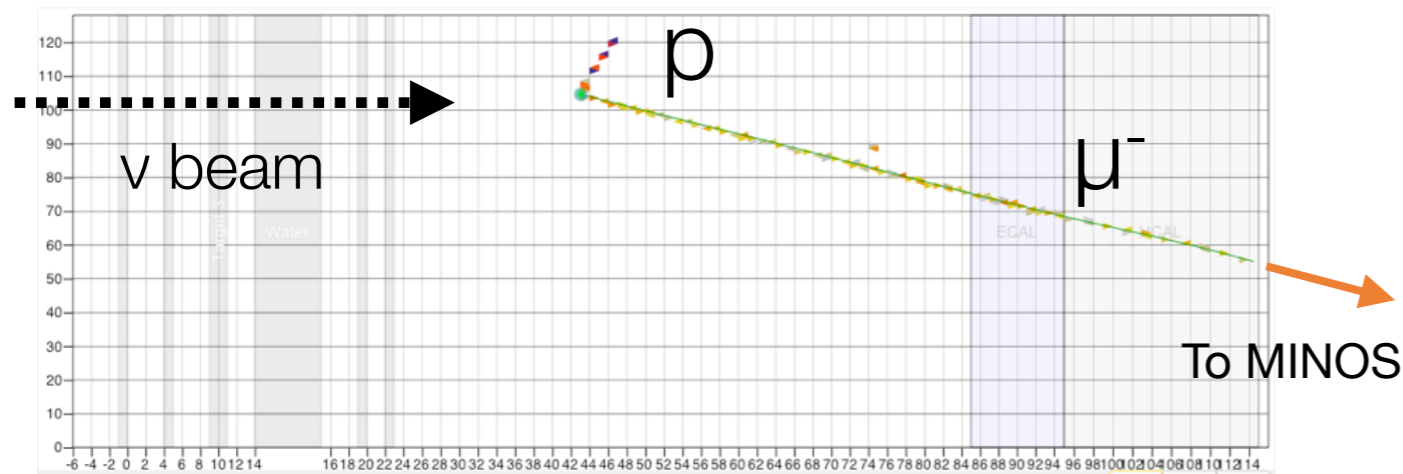
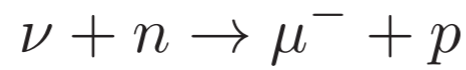


$$Q_{QE}^2 = 2E_{\nu}^{QE} (E_{\mu} - p_{\mu} \cos \theta_{\mu}) - m_{\mu}^2$$

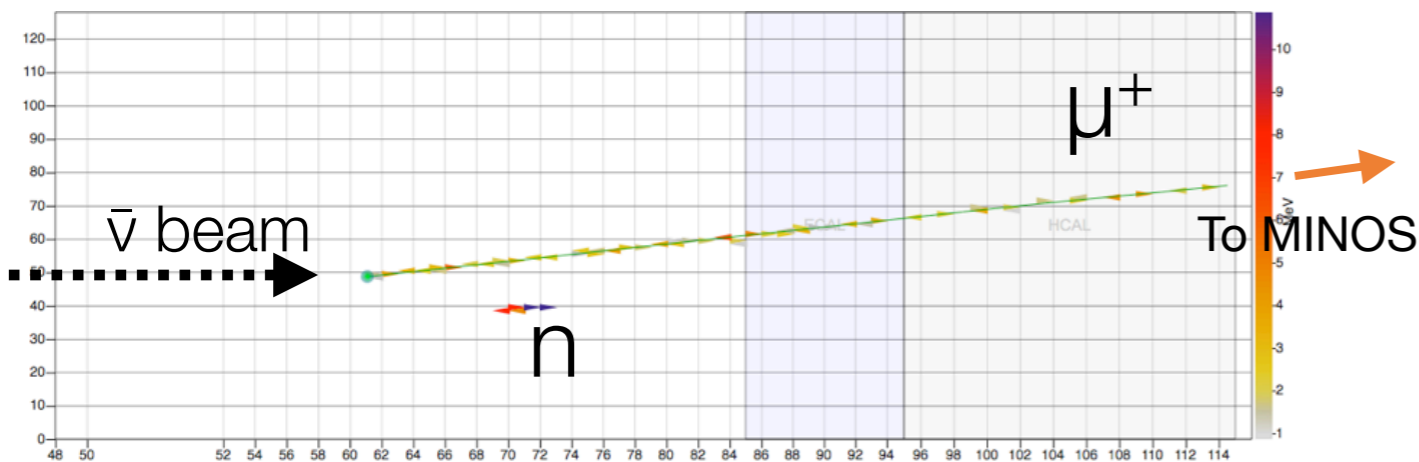
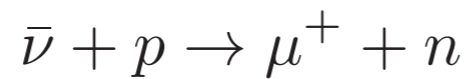


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Neutrino scattering



Antineutrino scattering



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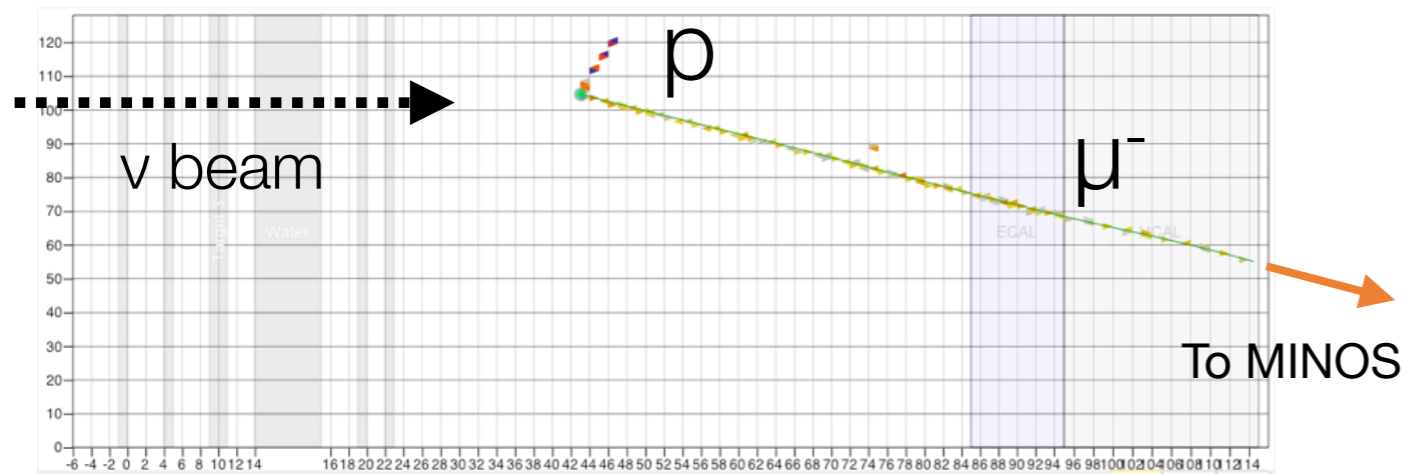
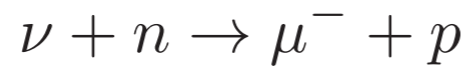
## Protons

- 😊 Provide information about post-FSI hadronic system
- 😞 Neutrino mode only (for true CCQE)
- 😞 Harder to reconstruct (confusion with pions etc)

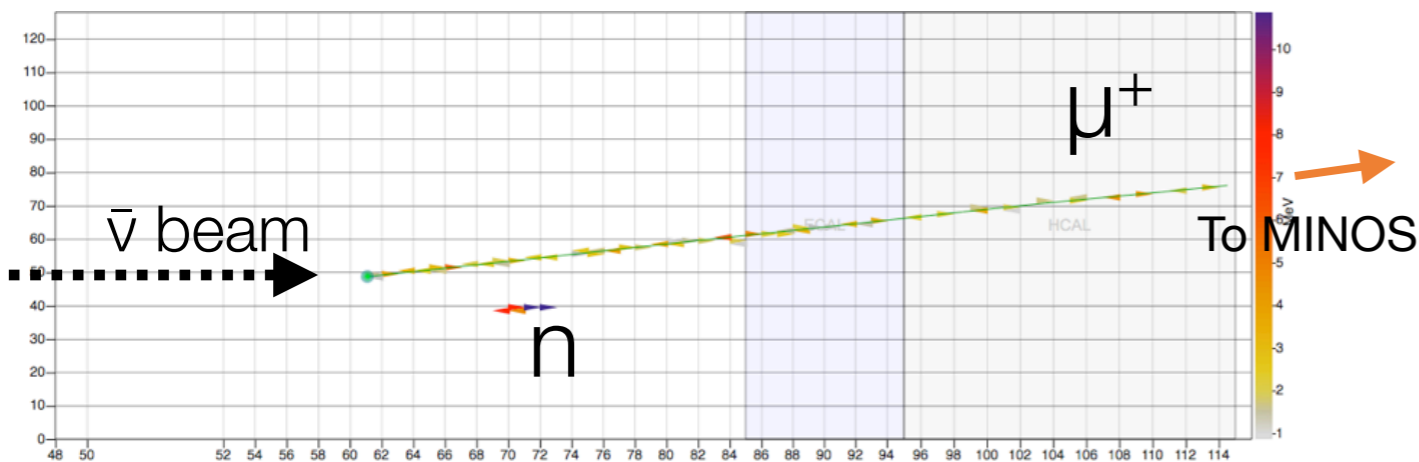
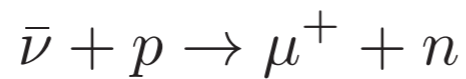
# Quasi-elastic scattering at MINERvA



## Neutrino scattering



## Antineutrino scattering



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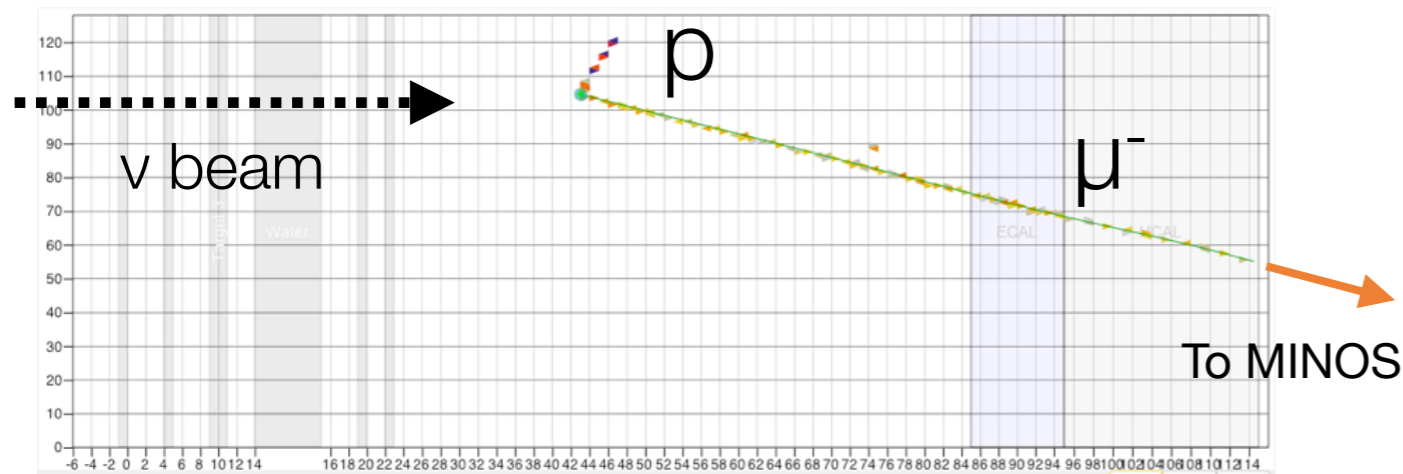
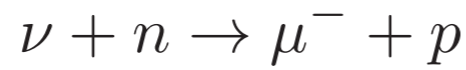
## Neutrons

- 😞 Antineutrino mode only (for true CCQE)
- 😊 We can count them...
- 😞 ...but not reconstruct their energy

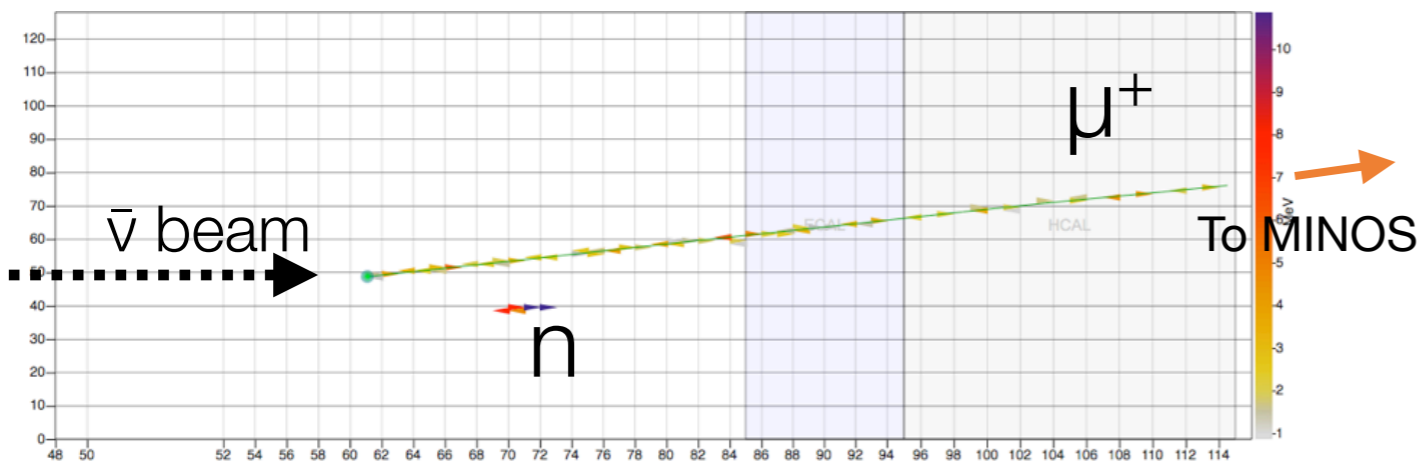
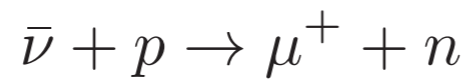
# Quasi-elastic scattering at MINERvA



## Neutrino scattering



## Antineutrino scattering



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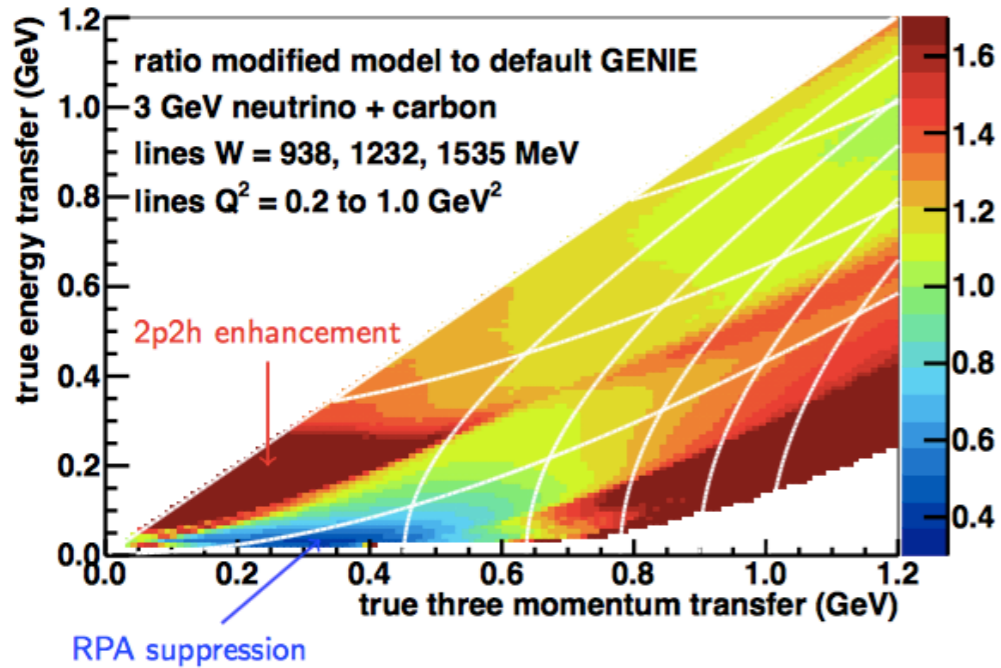
## Pions

- 😞 None in true CCQE but may be produced by FSI or from RES interactions. Can mimic protons.

# Our strategy

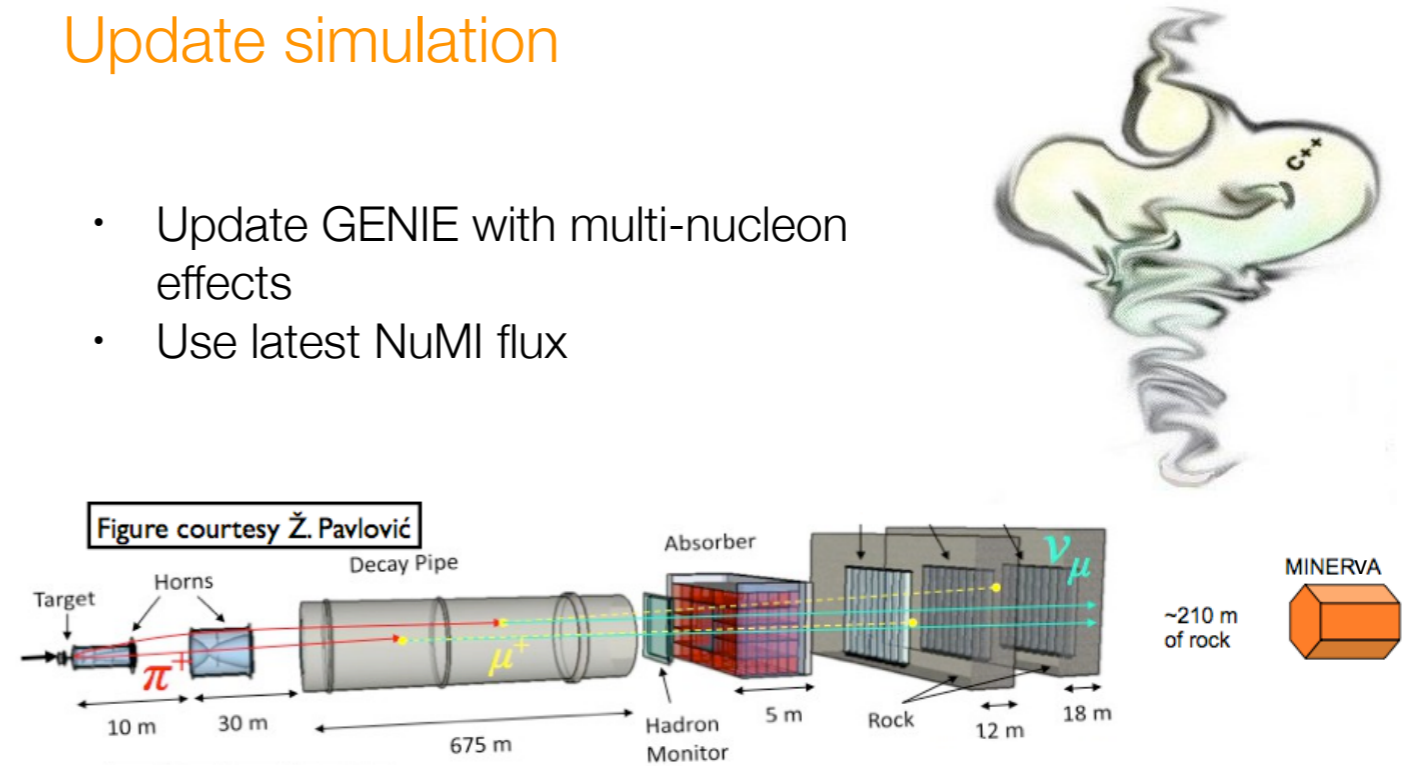


## Evaluate multi-nucleon effects

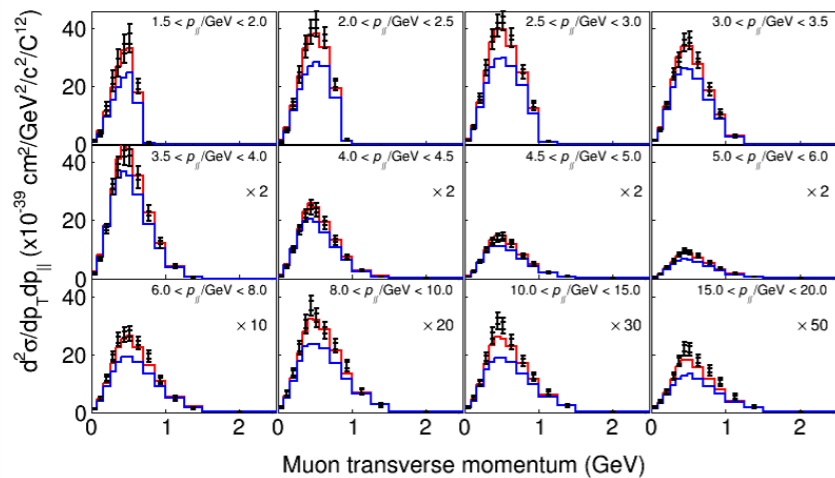


## Update simulation

- Update GENIE with multi-nucleon effects
- Use latest NuMI flux

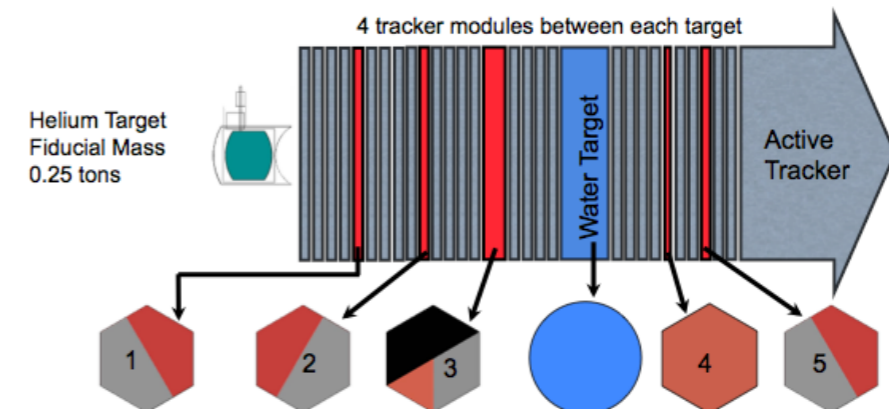


## Double-differential $\nu_\mu$ and $\bar{\nu}_\mu$ cross sections using muon kinematics



## Nuclear dependence of CCQE rates using muon and proton kinematics

Phys.Rev.Lett. 119, 082001 (2017)

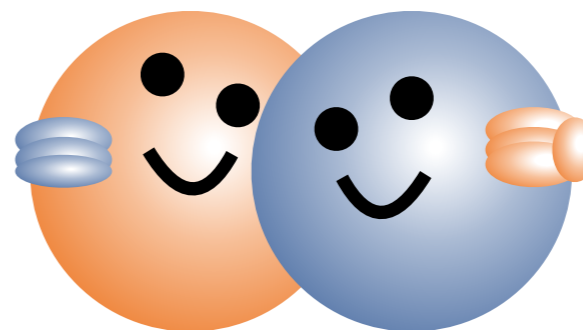




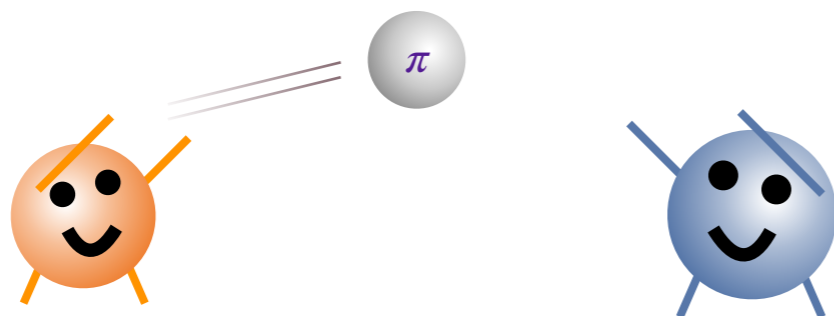
# Multi-nucleon correlation effects

Correlations can be **short range**...

- Bodek-Ritchie tail to RFG
- Included in our default simulation



... **medium range**...



Meson exchange currents (MEC)

... or **long range**...



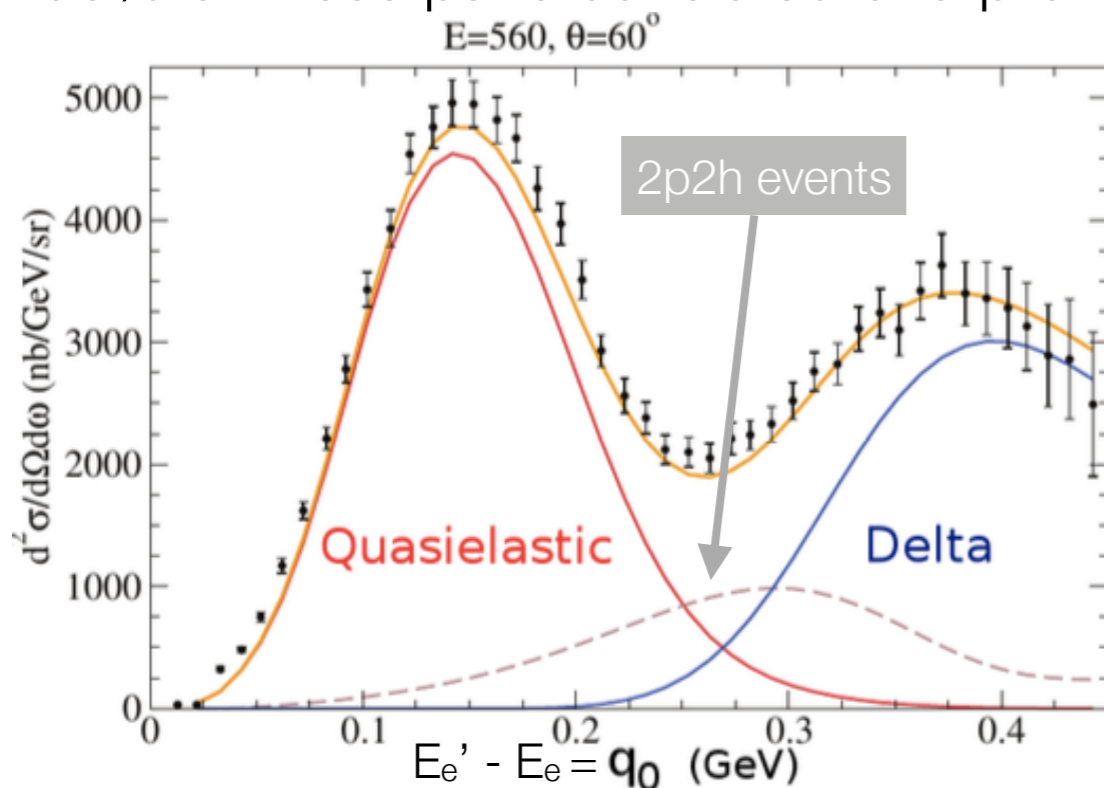
Random phase approximation (RPA)

# Multi-nucleon effects: beyond the Fermi Gas model

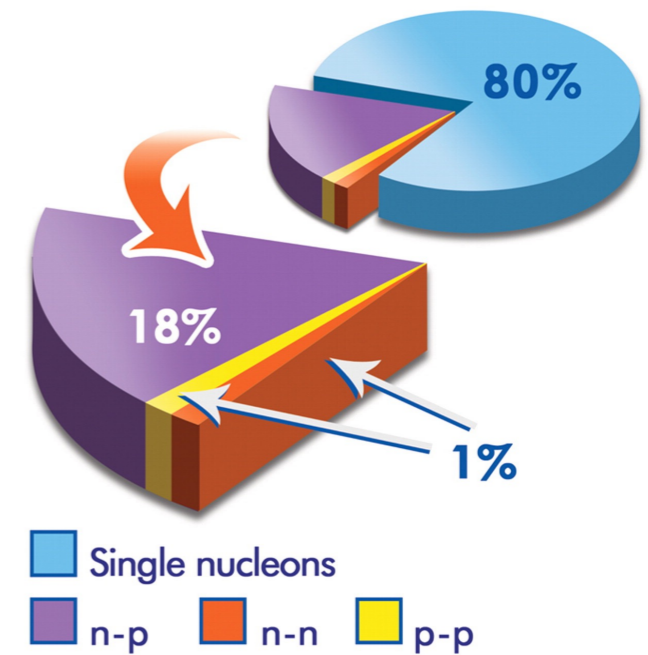


Electron-scattering experiments found that, approximately 20% of the time, electrons scattered from **correlated pairs** of nucleons instead of single nucleons.

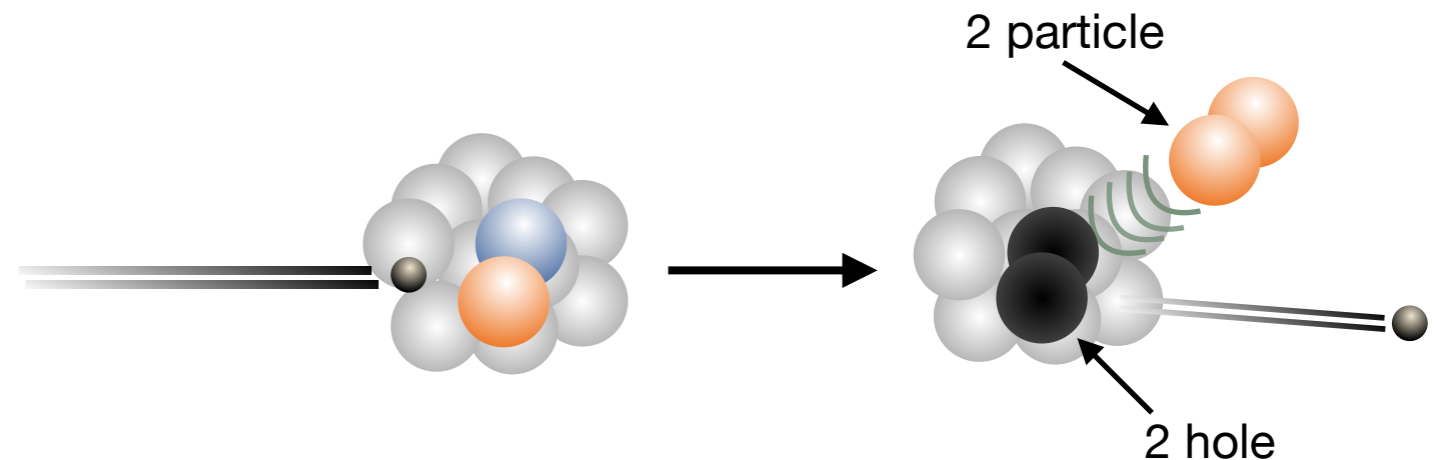
90% of these pairs consisted of a proton and a neutron.



Adapted from G. D. Megias, NuFact 2015



R. Subedi et al. Science, 320(5882):1476–1478, 2008



- The CCQE hypothesis **reconstructs  $E_v$  incorrectly** if scattering from correlated pairs
- The final state may change as the partner nucleon is ejected (“**2 particle, 2 hole**”)

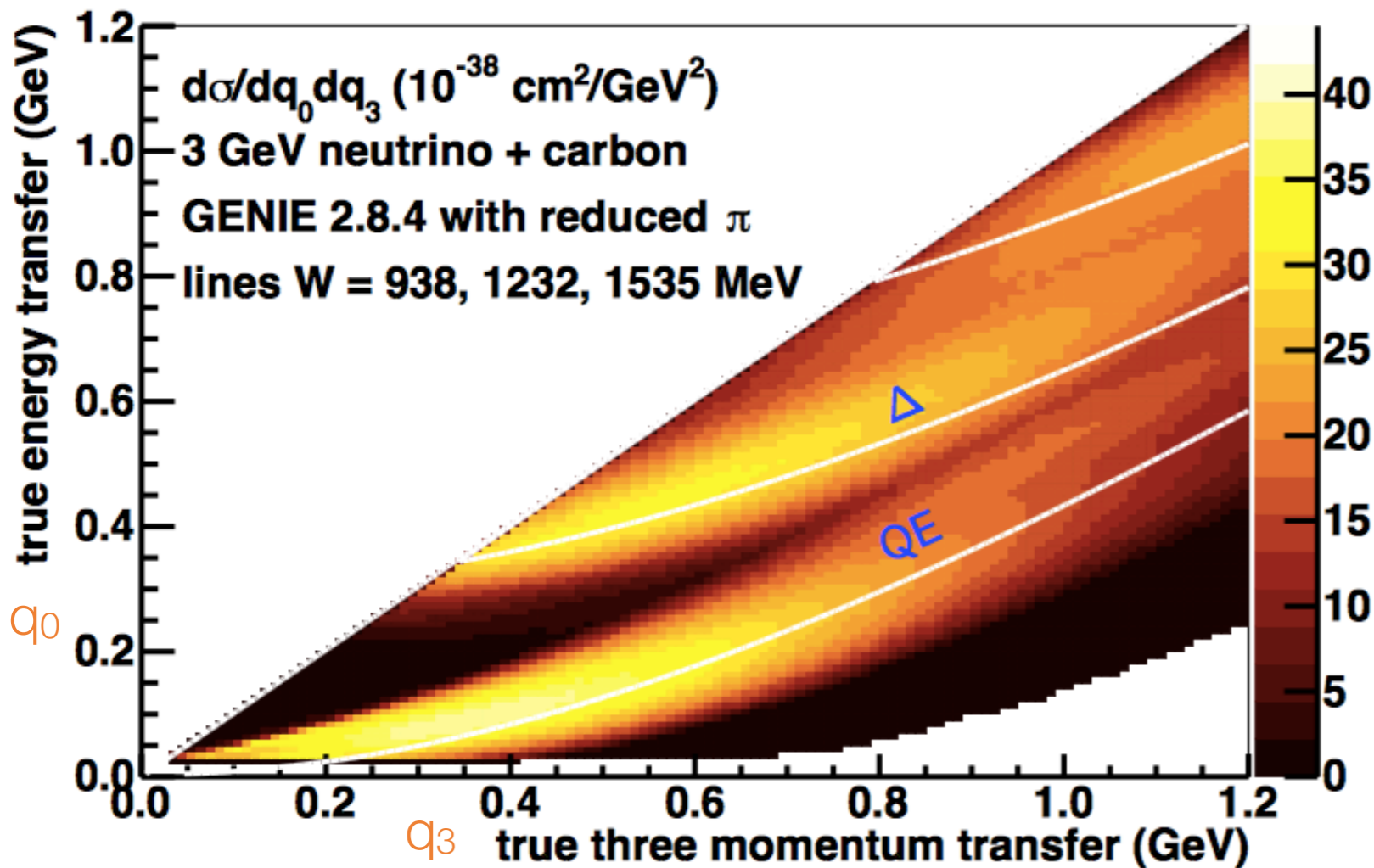




# Looking at multi-nucleon processes

Simulation with GENIE v2.8.4

Nucl.Instrum.Meth.A614 (2010) 87-104



P. Rodrigues, Fermilab wine and cheese 11 Dec 2015

To reconstruct those variables:

$q_0$  = total hadronic (non muon) energy

Measured calorimetrically, but “available” energy may not include neutrons.

$$E_\nu = E_\mu + q_0$$

$$Q^2 = 2E_\nu(E_\mu - p_\mu \cos \theta_\mu) - m_\mu^2$$

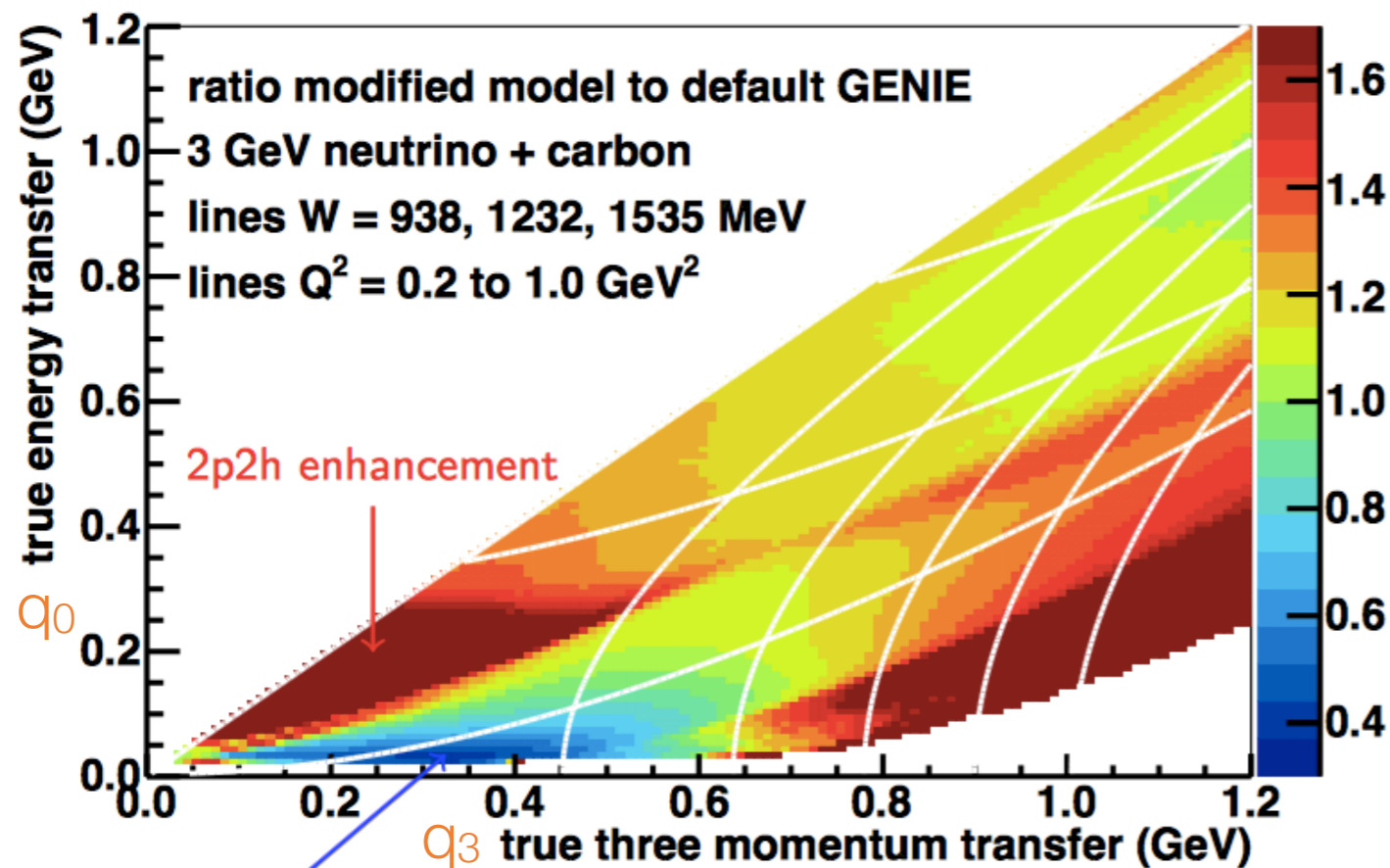
$$q_3 = \sqrt{Q^2 + q_0^2}$$

- Looking at **inclusive** cross section in terms of **energy transfer ( $q_0$ )** and **three-momentum transfer ( $q_3$ )** allows us to separate out interaction types
- Because of FSI, both resonant and QE contribute to the  $CC0\pi$  cross section

# Multi-nucleon processes affect the cross section in this phase space



Effect of IFIC Valencia model 2p2h and Nieves model RPA on default GENIE 2.8.4



RPA suppression P. Rodrigues, Fermilab wine and cheese 11 Dec 2015

2p2h effects such as meson exchange currents **enhance** the cross section, especially at higher energies and momentum transfers

Phys. Rev. D **89**, 073015 (2014)  
Phys. Rev. D **88**, 113007 (2013)  
arXiv:1601.02038 [hep-ph]

RPA (screening due to W polarisation) **suppresses** cross section at low energy and momentum transfer

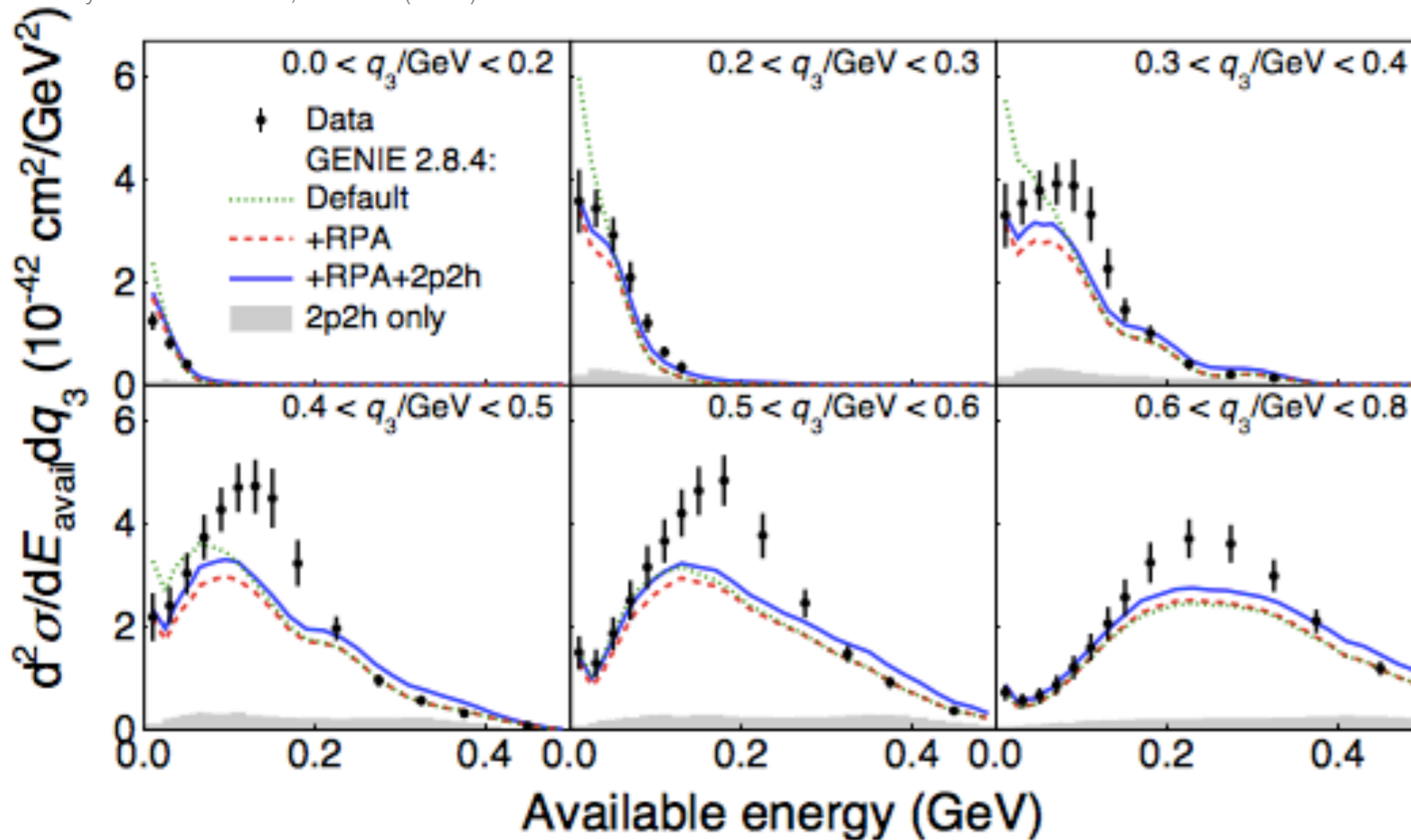
Phys. Rev. C **70**, 055503 (2004)

# RPA and 2p2h give better agreement than nominal\* GENIE in this phase space



Phys. Rev. Lett. 116, 071802 (2016)

GENIE  $\pi$  production modified



\* “Nominal” GENIE actually has non-resonant pion production rates tuned to deuterium and MINERvA data  
 Phys. Rev. D 90, 112017 (2014)

Available energy =  $q_0$  - neutron energy (unreconstructable)

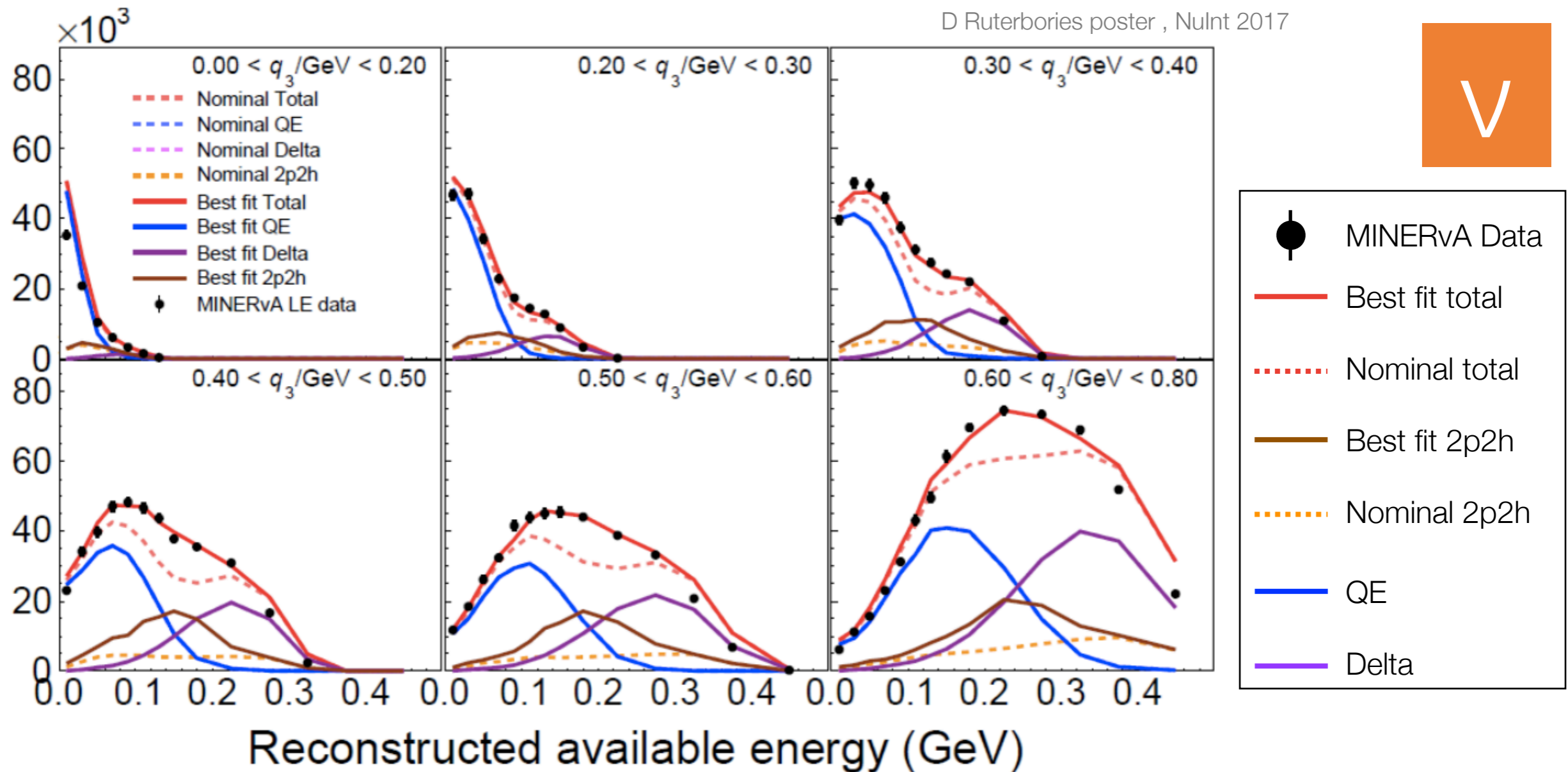
- Adding **RPA** significantly improves agreement, especially at low energy
- Adding **2p2h** also helps, but it is insufficient in the mid-energy “dip” region
- This region also has higher **proton multiplicity** (identified by Bragg peak at  $>20\text{MeV}$ ) than simulation





# More 2p2h agrees better still

D Ruterbories poster, NuInt 2017

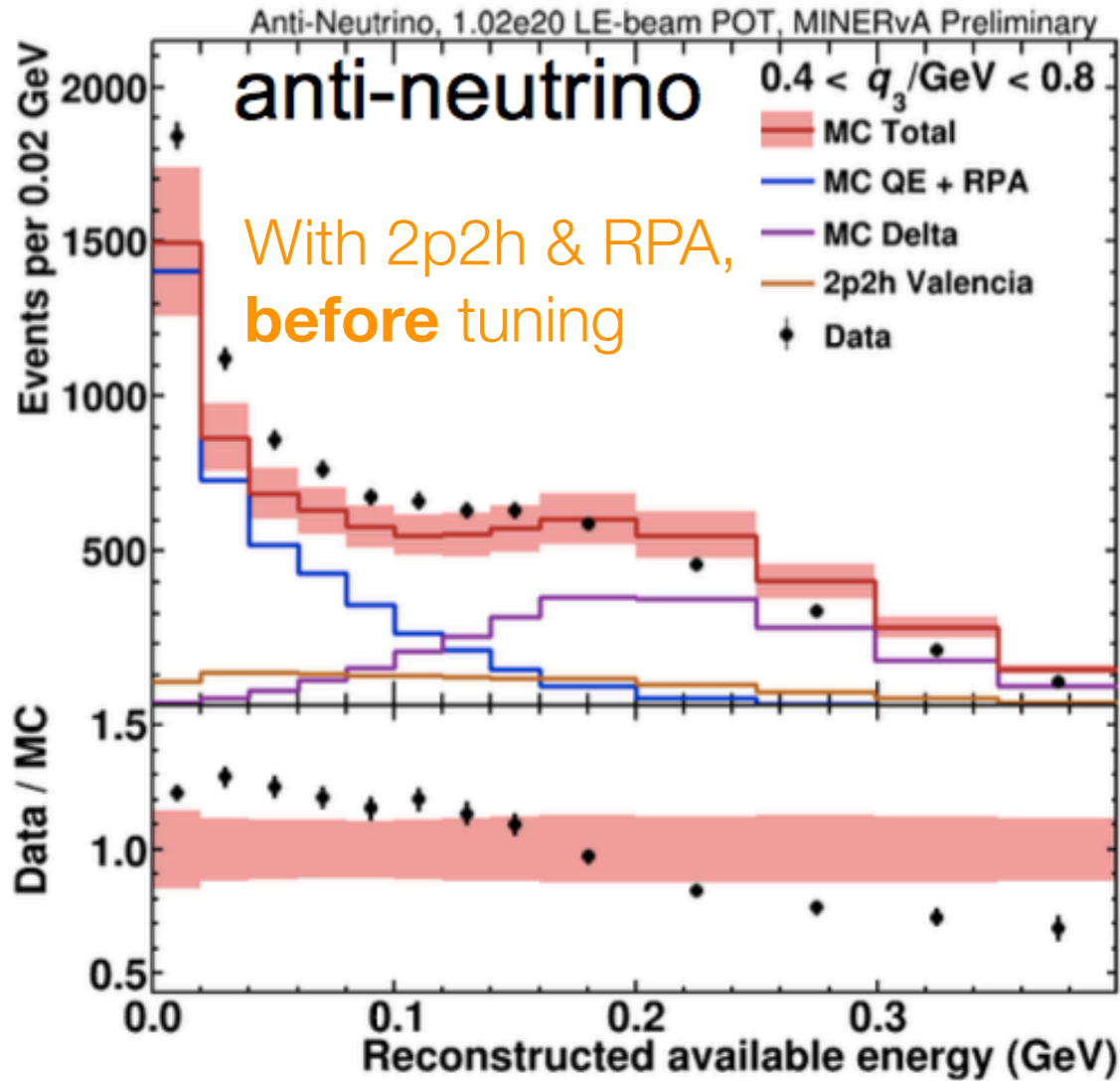


- **Weighting up the 2p2h** contribution with a 2-d Gaussian multiplier in  $q_0$ - $q_3$  space improves the fit
- The increase is due to additional events from  $np$  pairs ( $pp$  final state)
- Total increase is around **60%**, but concentrated in dip region between QE and  $\Delta$

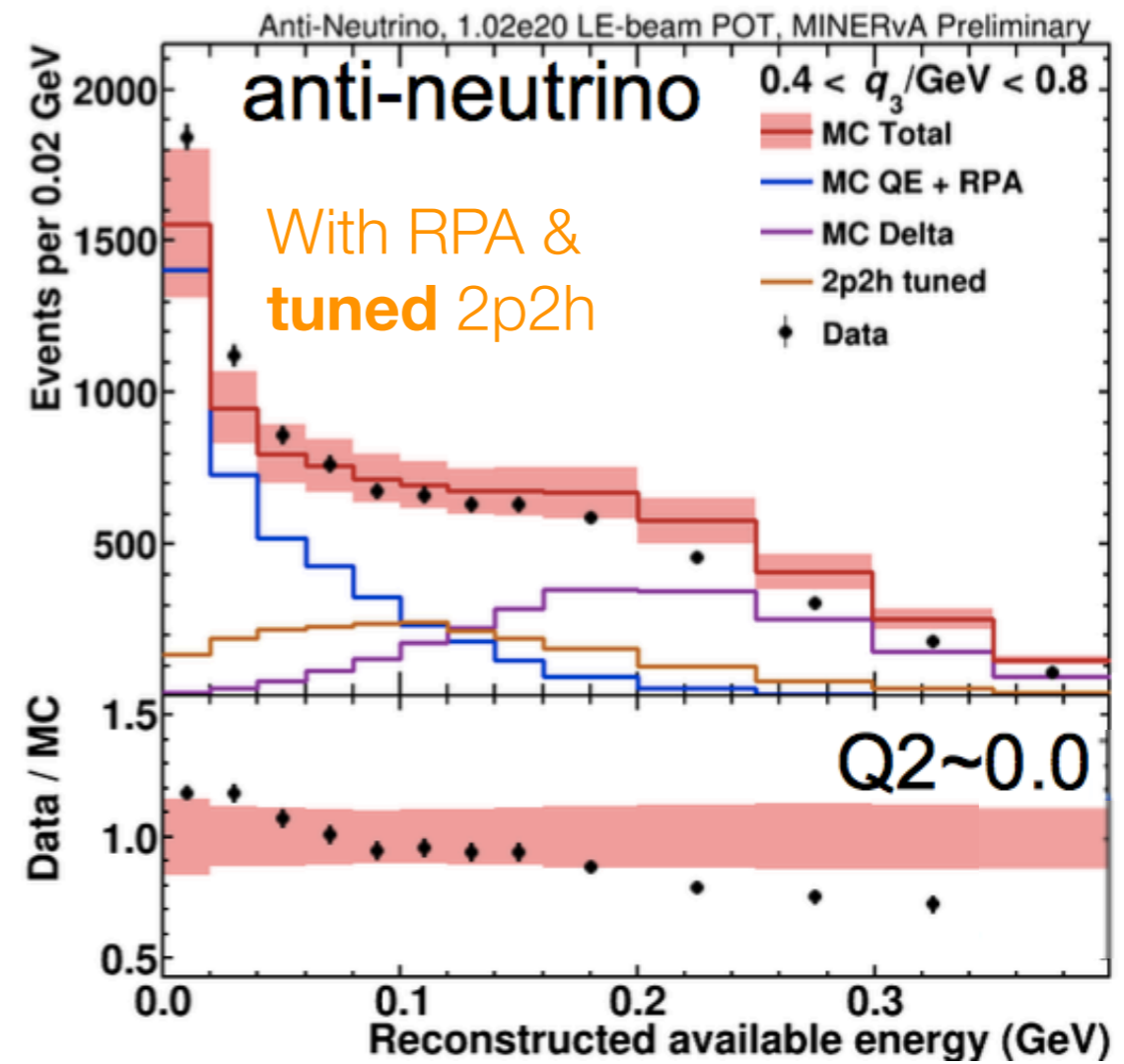


# Try with antineutrino events

X2 = 101 for 37 bins



X2 = 86 for 37 bins

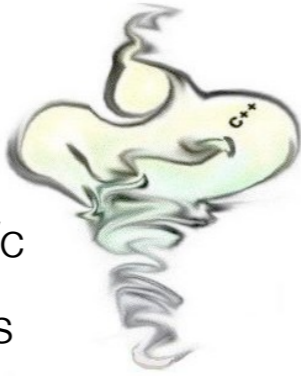


- Applying to antineutrino event counts also gives an improvement
- Available energy is not such a good quantity for  $\bar{\nu}$  as we can't measure neutron energy
- This introduces uncertainty when trying to convert to a cross section

# Tuning our simulation with the study results



## GENIE v 2.8.4

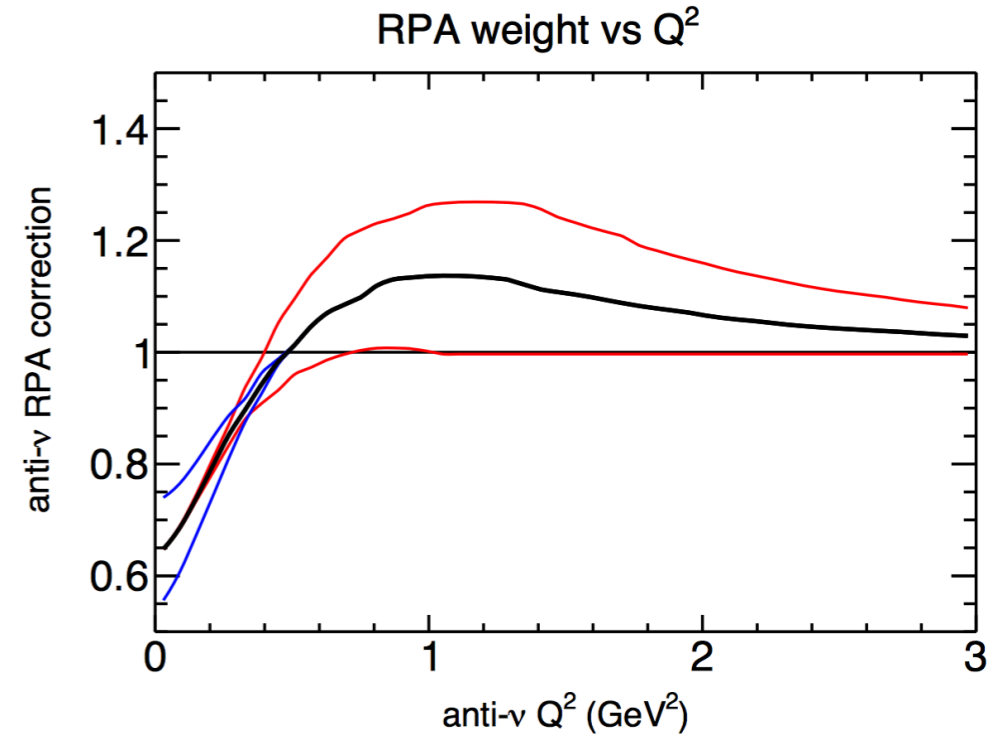


- RFG model,  $k_F=0.221$  GeV/c
- BBBA05 vector form factors
- Dipole axial form factor,  $M_A=0.99$  GeV/c<sup>2</sup>,
- Bodek-Ritchie tail for short-range correlations
- Rein-Sehgal resonant model

Nucl.Instrum.Meth.A614 (2010) 87-104

## Reweight quasi-elastic events to add RPA (Valencia model)

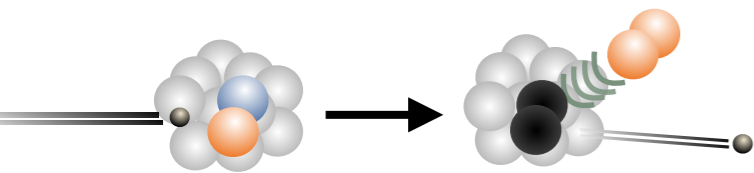
- RPA weight
- Uncertainty between relativistic/non-relativistic calculation
- Model uncertainty compared to muon capture data



Phys. Rev. Lett. 116, 071802 (2016)

## Add multi-nucleon interactions

- Valencia IFIC model
- Tuned to match best fit to MINERvA data



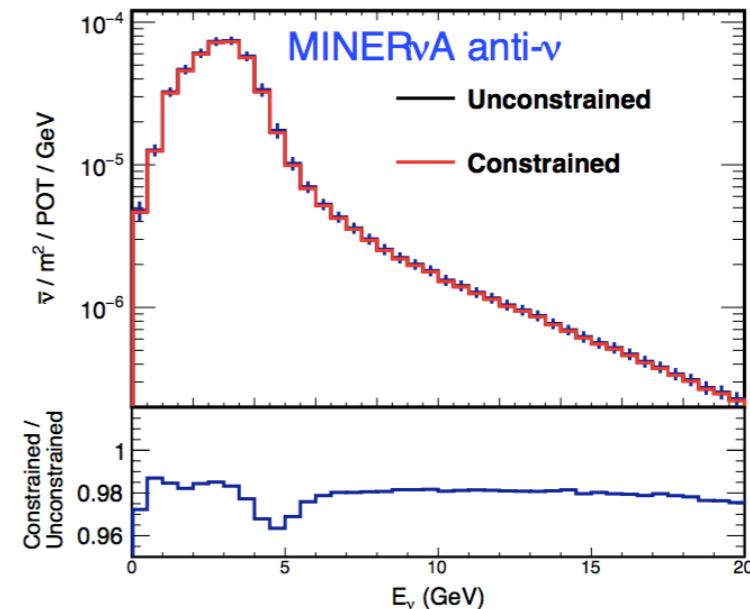
Phys. Rev. Lett. 116, 071802 (2016)

## Reweight non-resonant pion production

- GENIE overestimates by 43% compared to bubble chamber experiments
- Scale down accordingly

Eur Phys J. C 76:474 (2016)

## Updated flux measurement



- PPFX gen-2 NuMI flux
- Constrained by  $\nu$ -e scattering rate

Phys. Rev. D 94, 092005 (2016)

# Calculating a double-differential cross section: variables

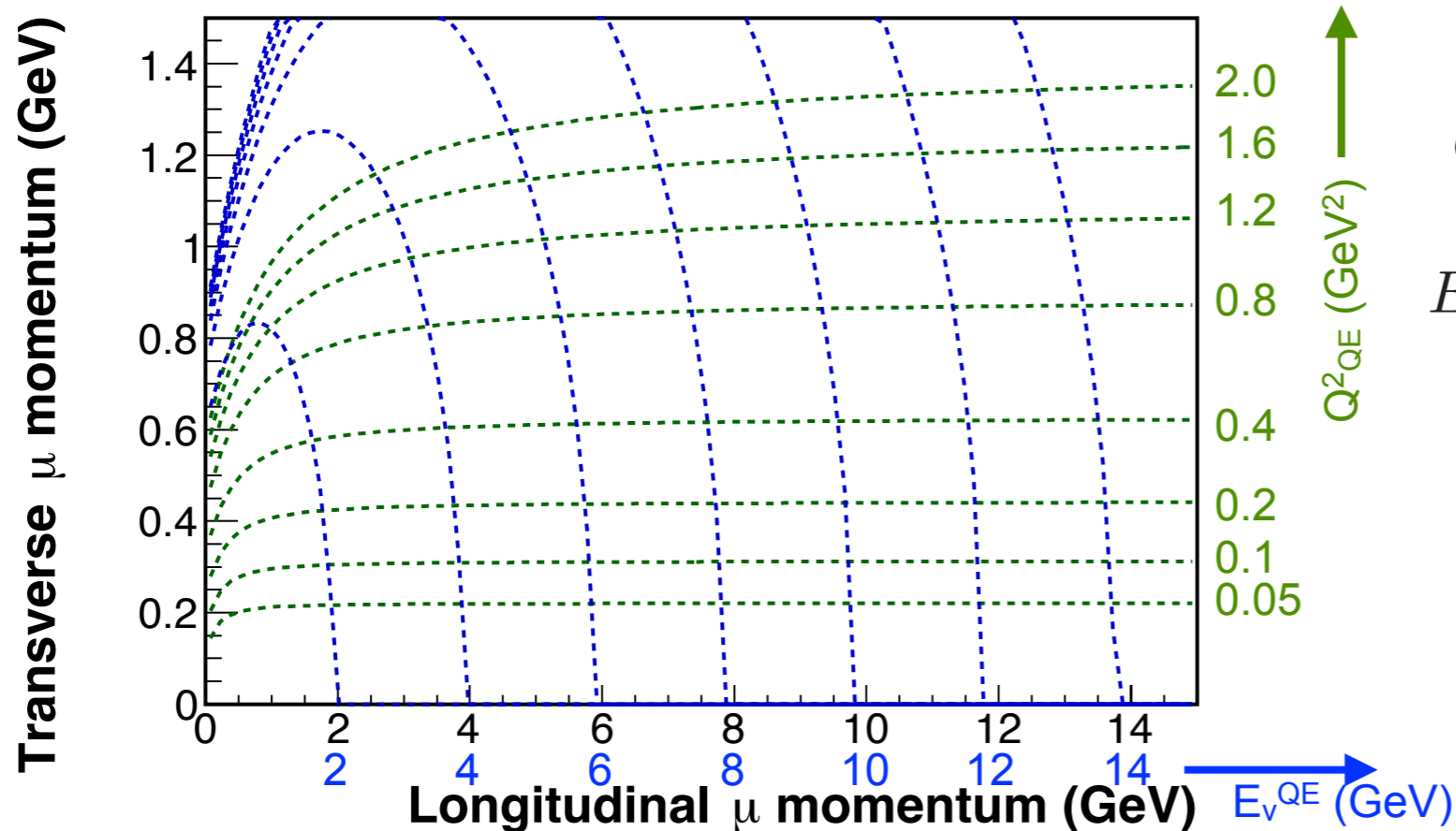


## Muon $p_T$ and $p_{||}$

- measurable
- good phase space coverage

## $Q^2_{QE}$ and $E_\nu^{QE}$

- physics effects depend on these
- but reconstruction introduces model dependence



$$Q^2_{QE} = 2E_\nu^{QE} (E_\mu - p_\mu \cos \theta_\mu) - m_\mu^2$$

$$E_\nu^{QE} = \frac{m_p^2 - (m_n - E_b)^2 - m_\mu^2 + 2(m_n - E_b)E_\mu}{2(m_n - E_b - E_\mu + p_\mu \cos \theta_\mu)}$$

$$Q^2_{QE} \sim p_T$$

$$E_\nu^{QE} \sim p_{||}$$

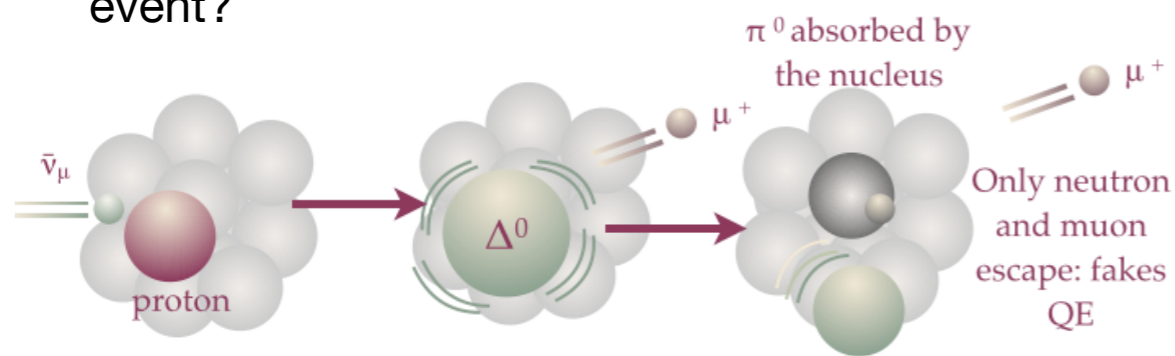
(Formulas for neutrino mode; switch neutron and proton for antineutrino)

# Defining our signal: or What is CCQE anyway?

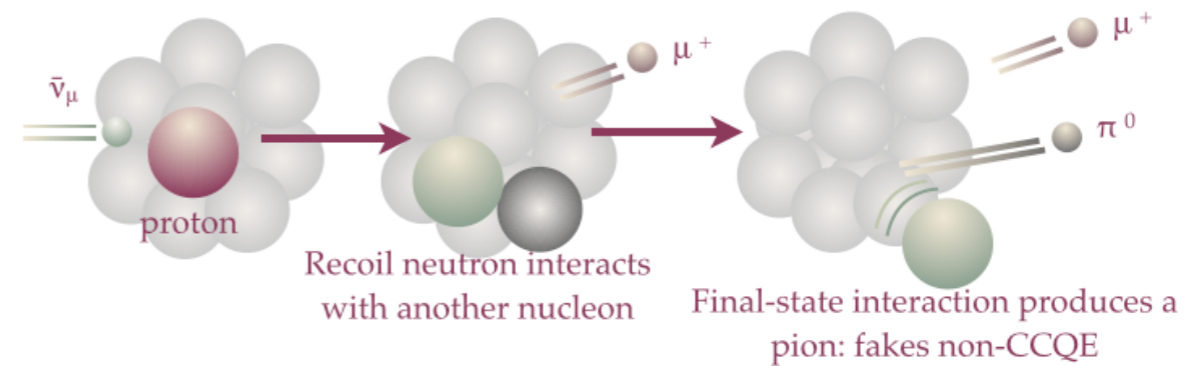


We know that a true CCQE event produces a muon and single nucleon, but what about...?

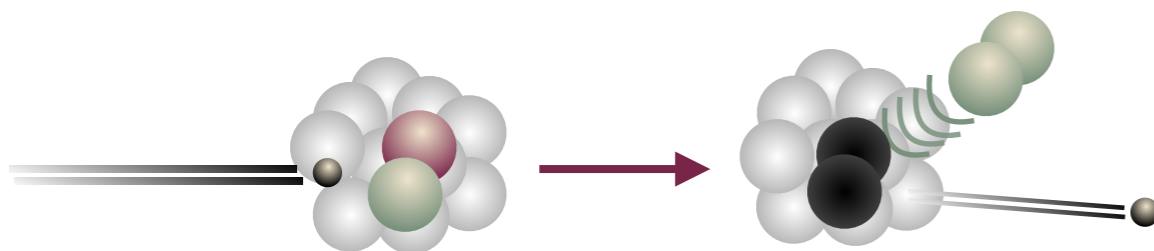
Resonant events where pion is absorbed in FSI, leaving a final state identical to a CCQE event?



CCQE events where FSI produces pions in the final state?

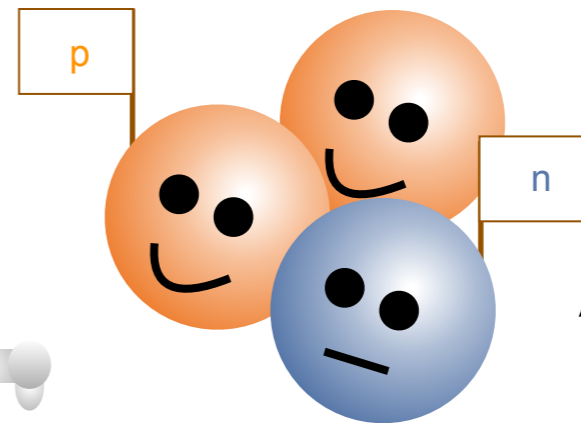
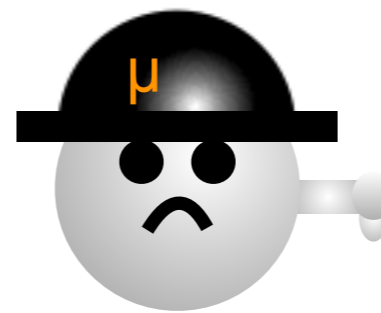


2p2h events with CCQE scattering from a correlated pair of nucleons?



To reduce model dependence, and follow the lead of other experiments, we choose a signal definition that is based on what we can observe in the final state: **CC0π**

1 negative **muon**

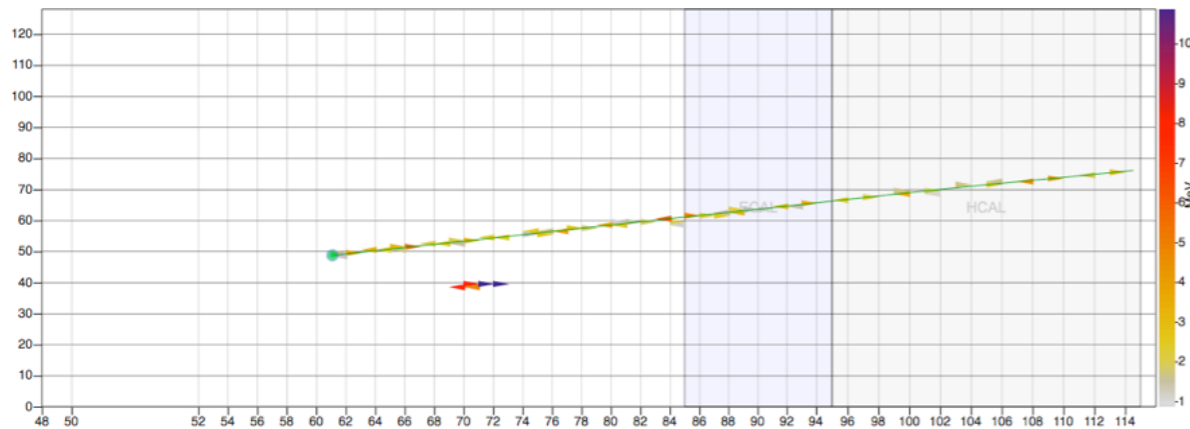
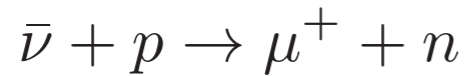


Any number of **nucleons**

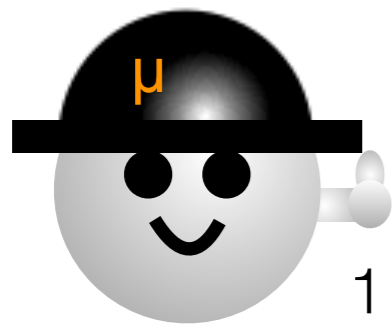
Signal definition for neutrino "QE-like"



# Signal definition: the antineutrino dilemma



- Antineutrino CCQE events have **no non-muon tracks** and little “recoil” energy in the final state
- But other CC0π  $\bar{\nu}$  events (2p2h, RES+FSI) could contain protons, which we can detect in MINERvA if over 120 MeV
- We need a signal that mimics what we can actually identify, with good acceptance



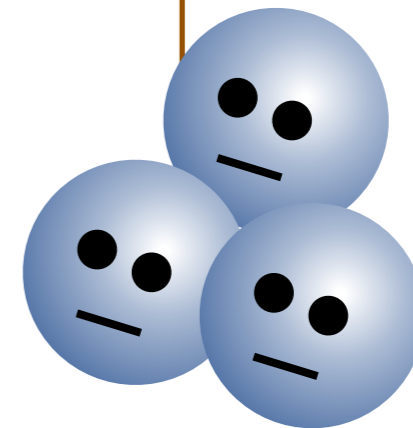
1 positive **muon**

z z z



Only **low-energy protons** (below 120 MeV)

n

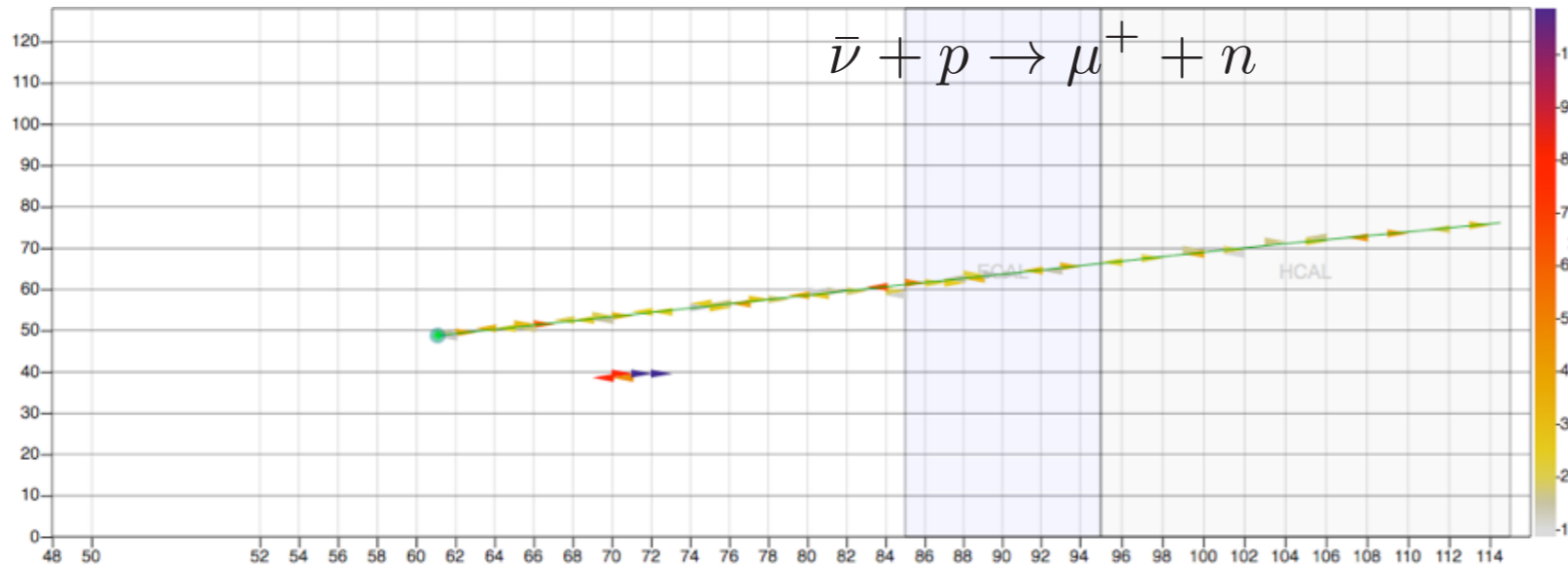


Any number of **neutrons**

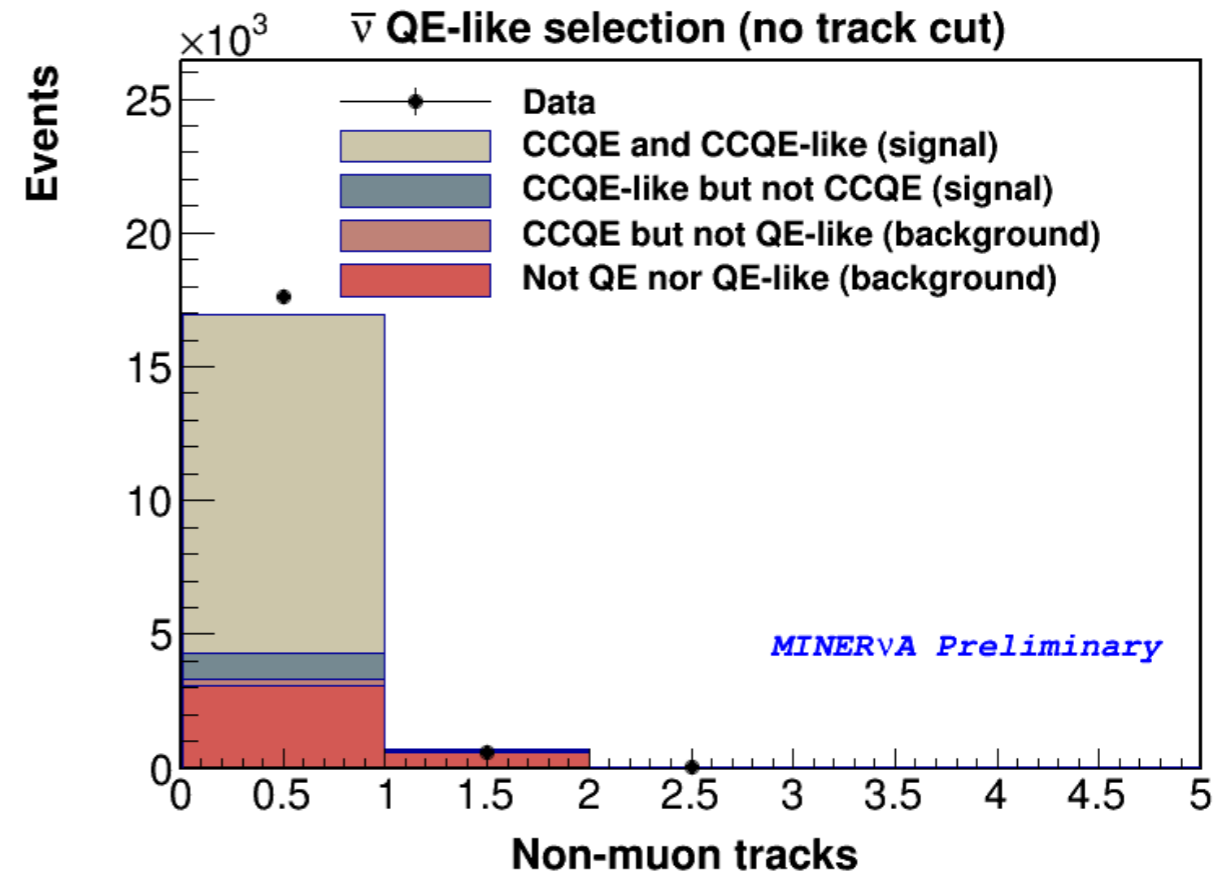
Signal definition for antineutrino “QE-like”

- Due to MINOS match requirement, we also require a **muon angle**  $< 20^\circ$

# Selecting antineutrino events

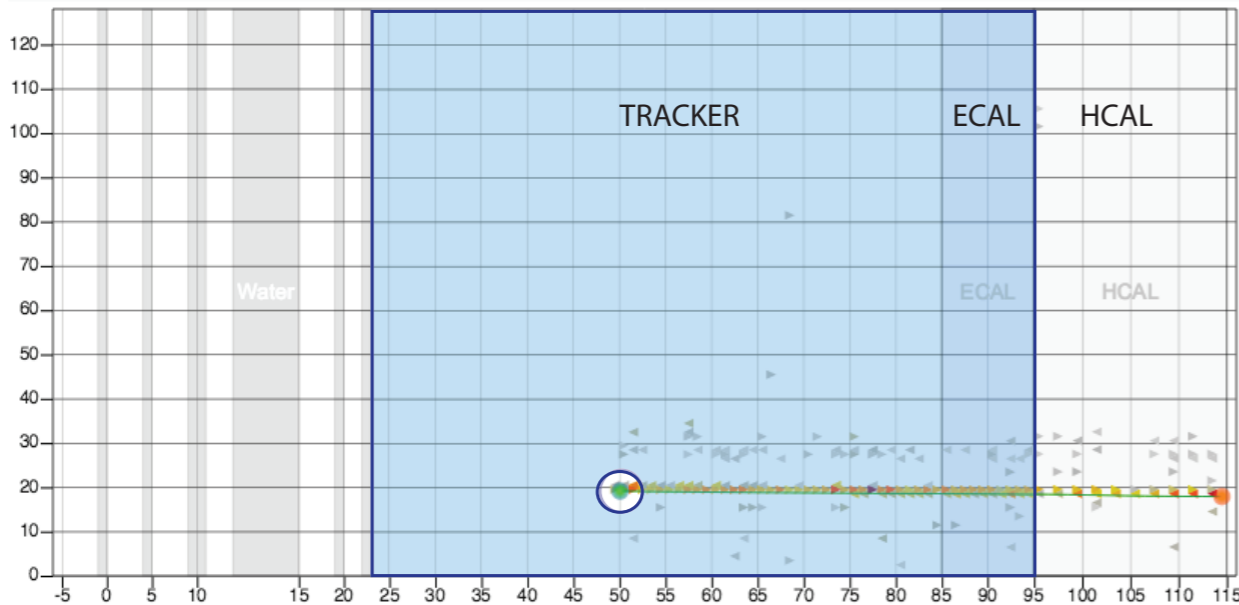


- 1 muon track matched in MINOS as  $\mu^+$
- No other tracks





# Selecting antineutrino events



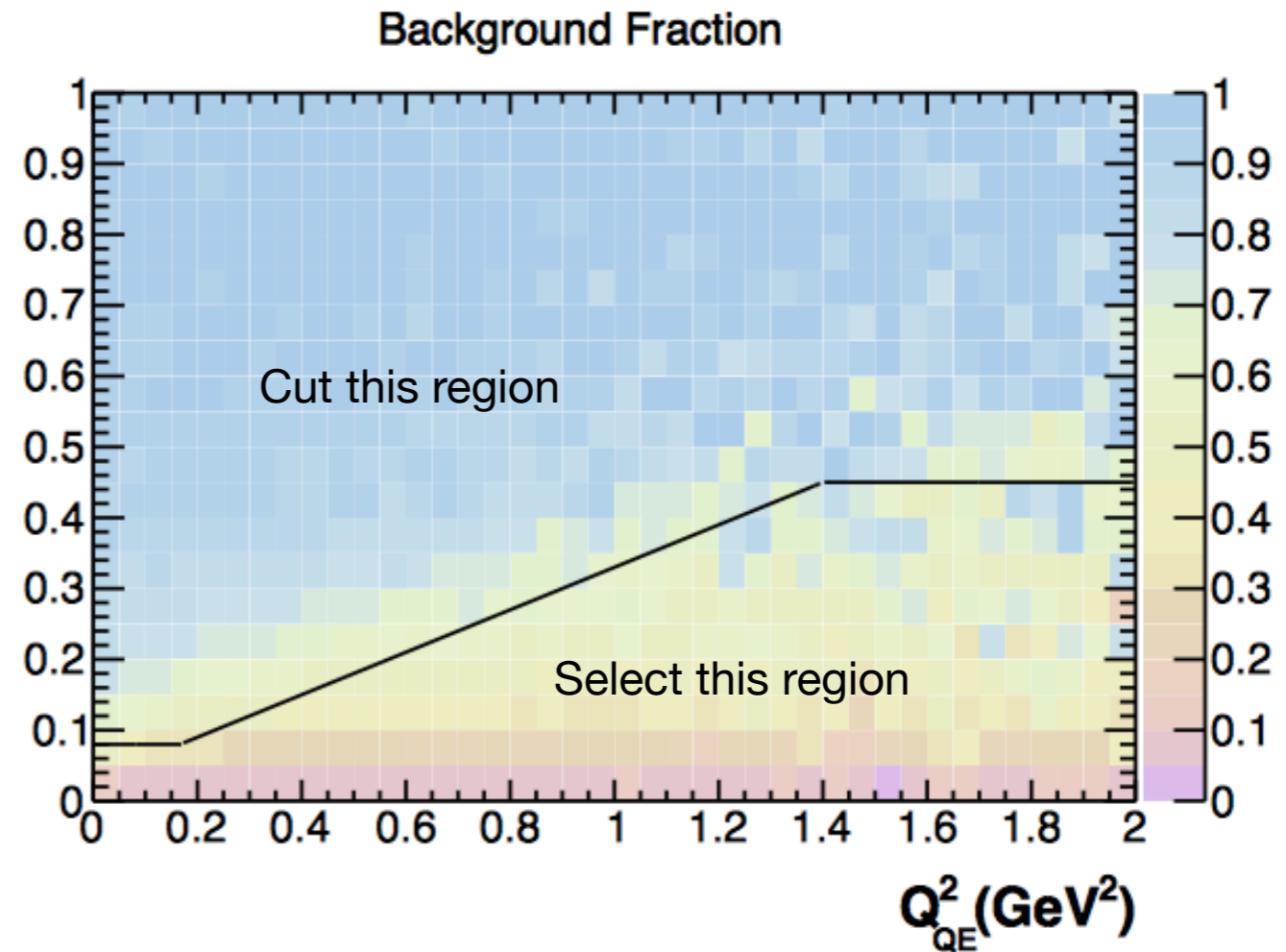
Recoil = total energy deposited in blue area

(10cm sphere around vertex area ignored as it contains non-trackable low-energy protons)

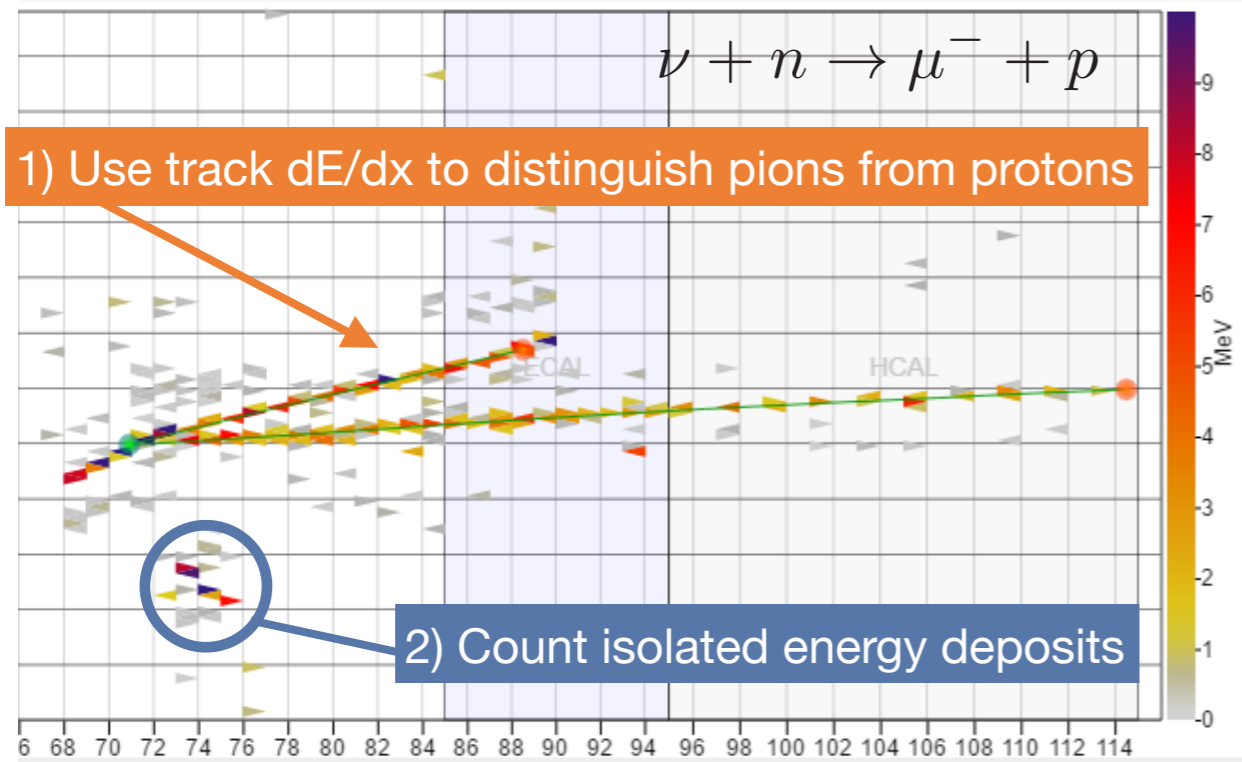


- 1 muon track matched in MINOS as  $\mu^+$
- No other tracks
- $Q^2$ -dependent cut on recoil energy

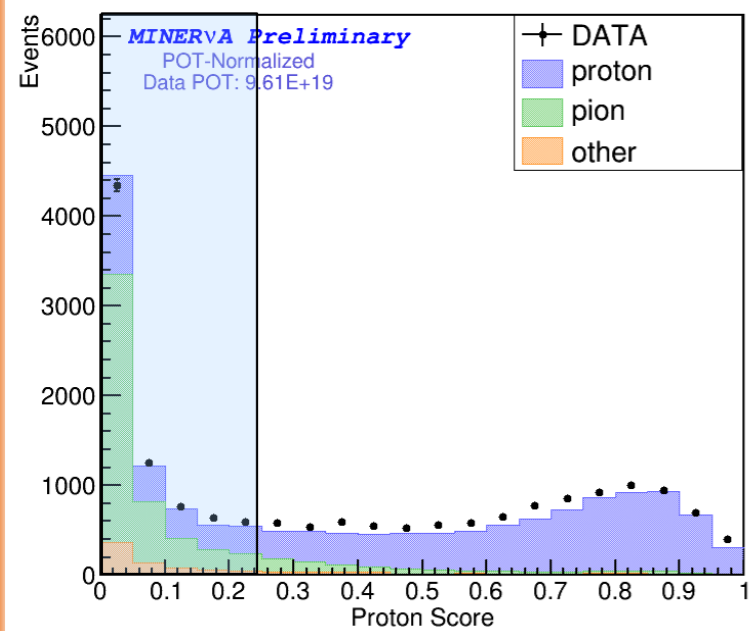
Recoil (GeV)



# Selecting neutrino events

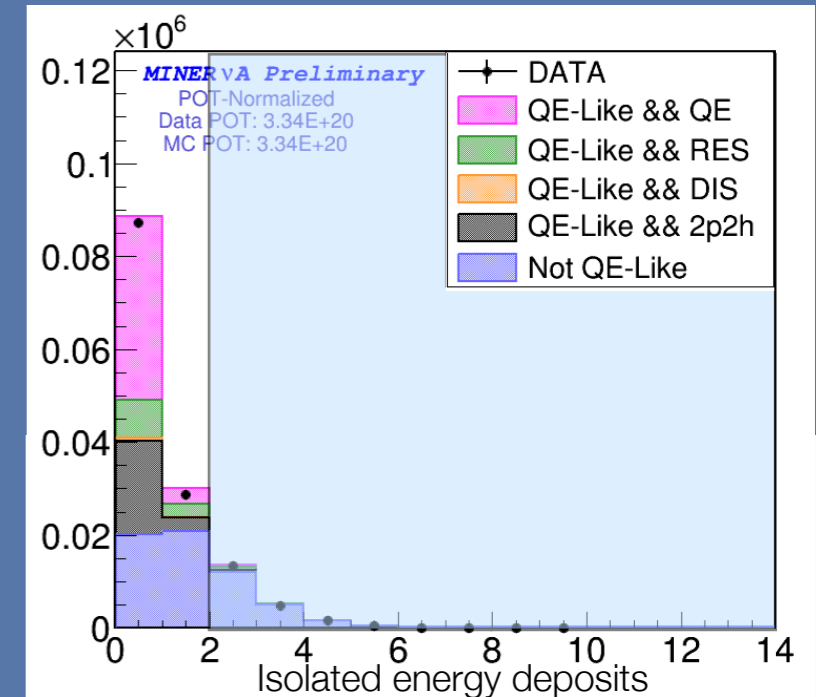


Neutrino events have an additional track: different strategy!

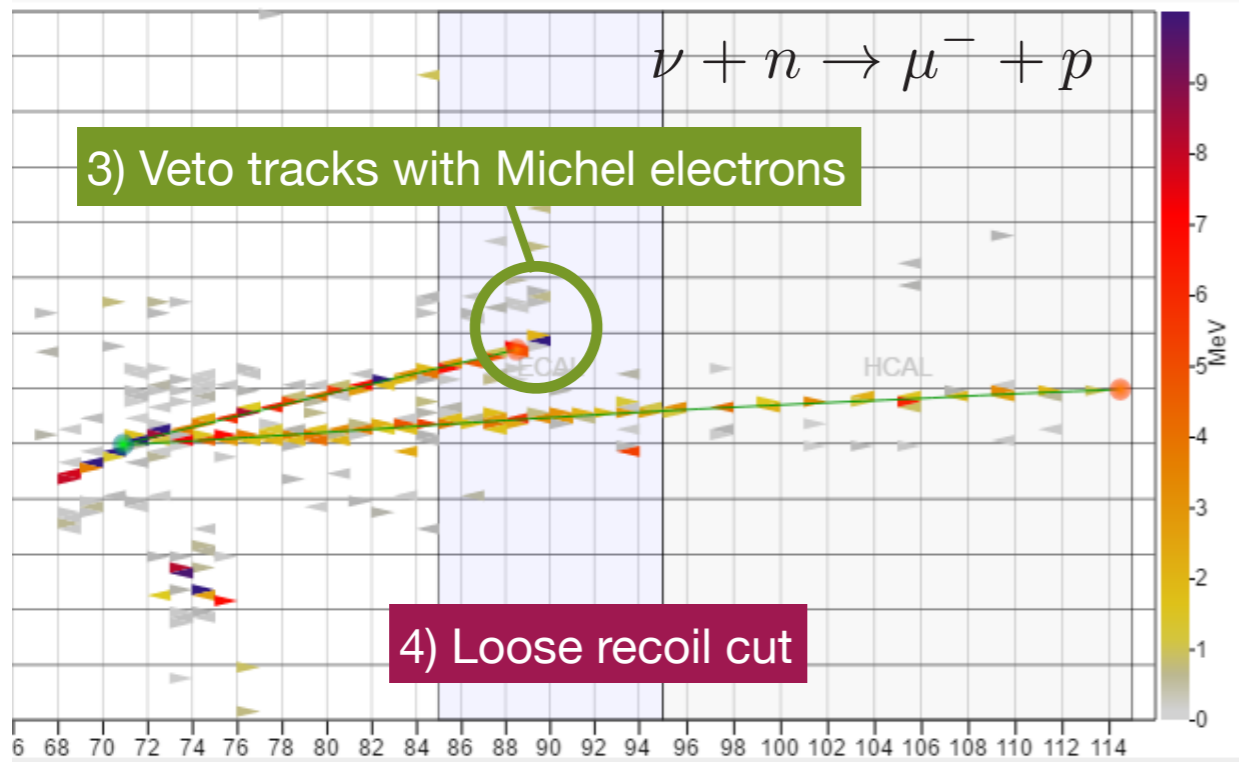


1) Proton score  
Depends on dE/dx and on  $Q^2_{QE}$  - cut loosens at high  $Q^2$

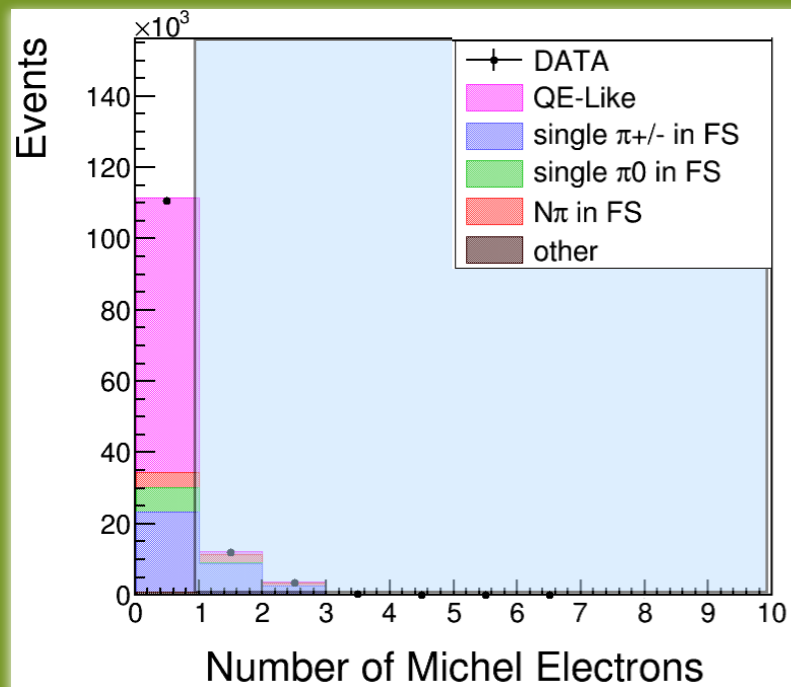
2) Maximum 1 isolated energy deposit



# Selecting neutrino events

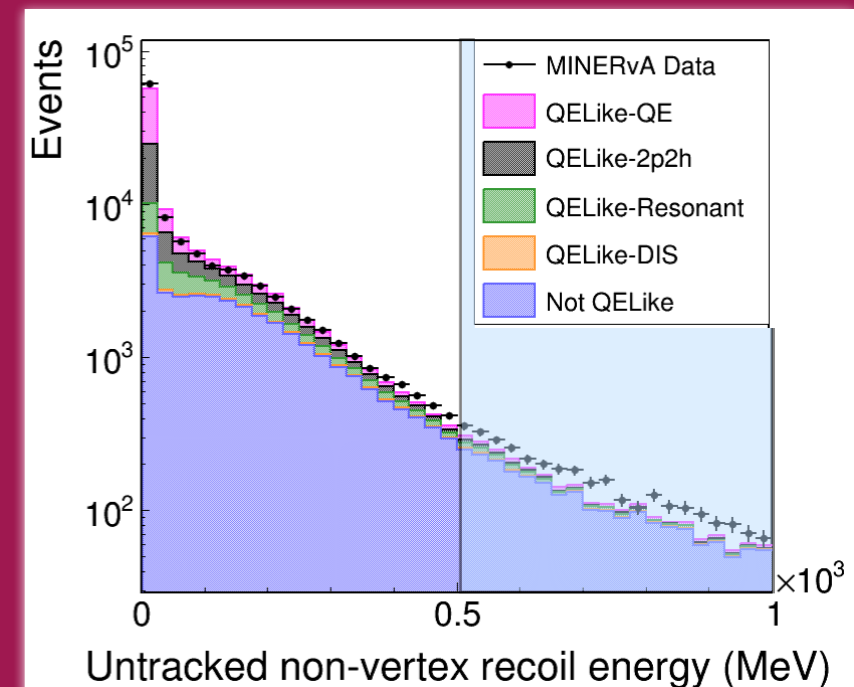


Neutrino events have an additional track: different strategy!



3) Michel electrons  
Delayed electron at the end of a short track is characteristic of charged pion decay chain : veto it

4) Reject events with recoil energy > 500MeV



# Calculating a cross section:

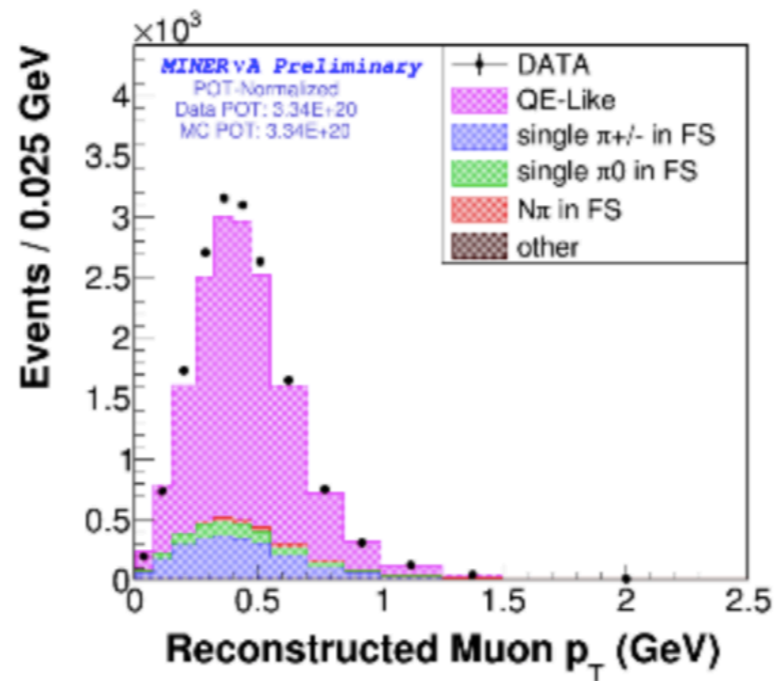
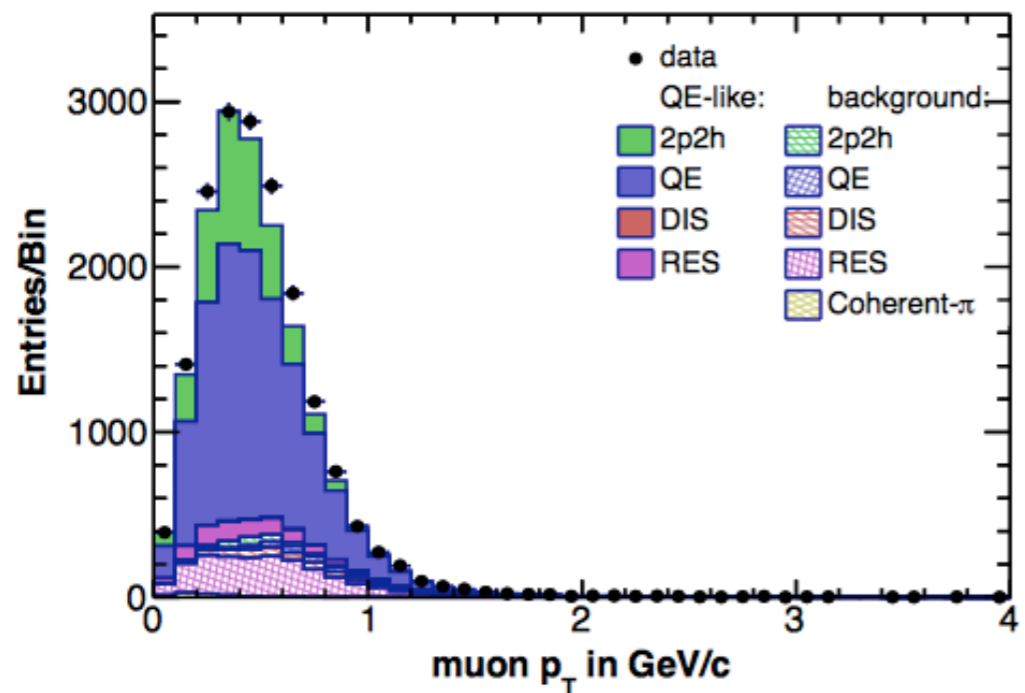
## 1) Select events that pass cuts



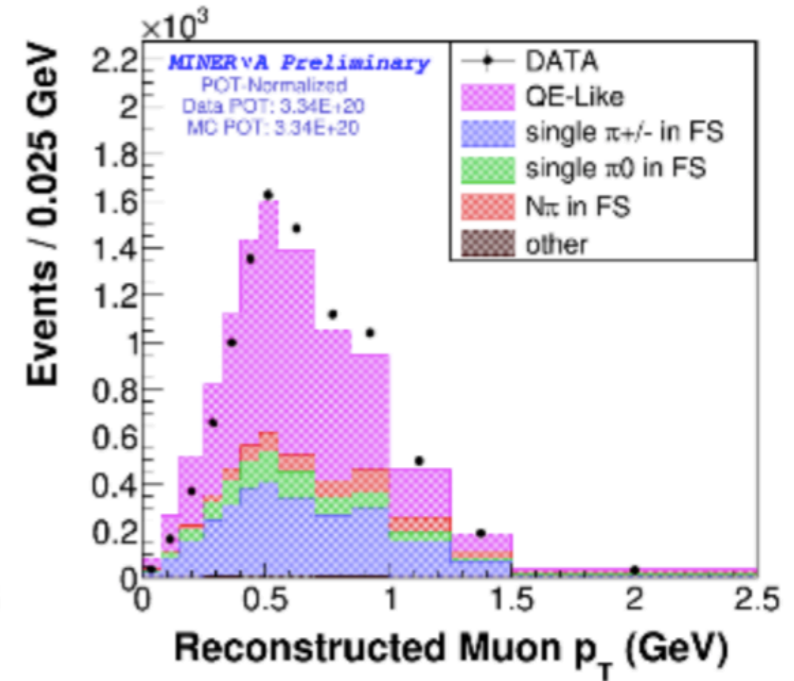
$$\left( \frac{d^2\sigma}{dx dy} \right)_{ij} = \frac{\sum_{\alpha\beta} U_{\alpha\beta ij} (N_{\text{data},\alpha\beta} - N_{\text{data},\alpha\beta}^{\text{bkgd}})}{\epsilon_{ij}(\Phi T)(\Delta x_i)(\Delta y_j)}$$



MINERvA anti-ν, POT normalized



1-track



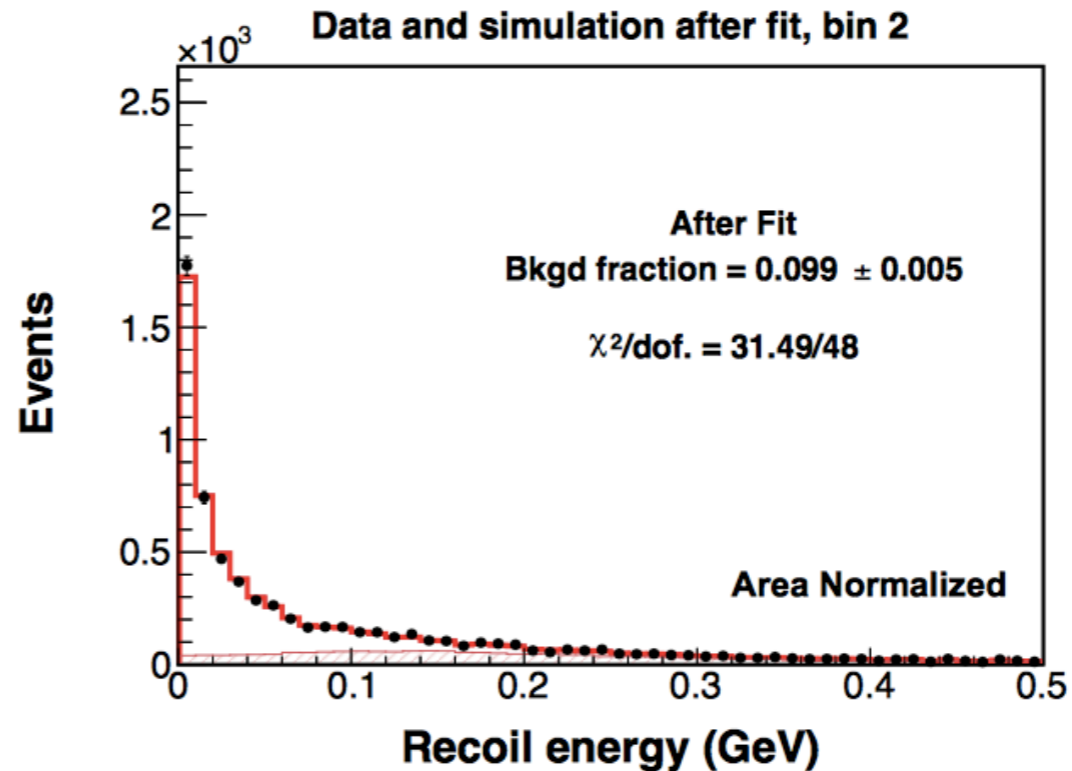
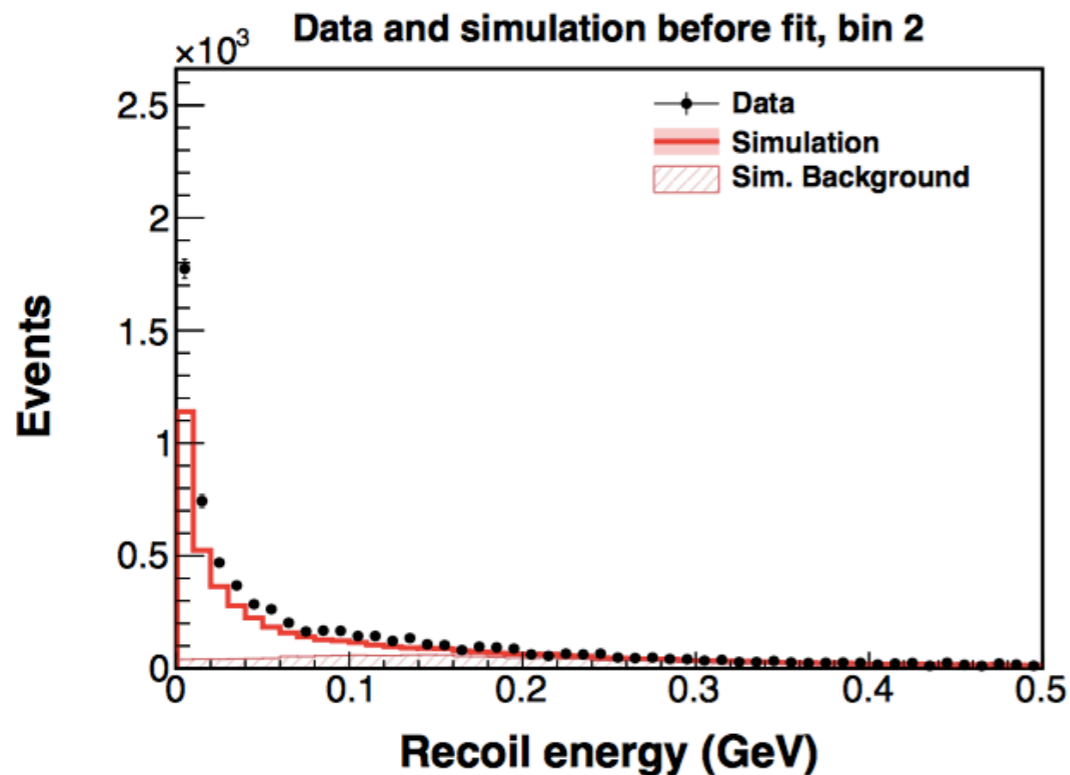
2-track

# Calculating a cross section:

## 2) Subtract scaled backgrounds: $\bar{\nu}$ mode



$$\left( \frac{d^2\sigma}{dx dy} \right)_{ij} = \frac{\sum_{\alpha\beta} U_{\alpha\beta ij} (N_{\text{data},\alpha\beta} - N_{\text{data},\alpha\beta}^{\text{bkgd}})}{\epsilon_{ij} (\Phi T) (\Delta x_i) (\Delta y_j)}$$



To reduce bias from the simulation's relative signal and background normalization, we fit the shape of the recoil energy in each of 5 bins to the predicted shapes of signal and background to determine the background fraction in each bin

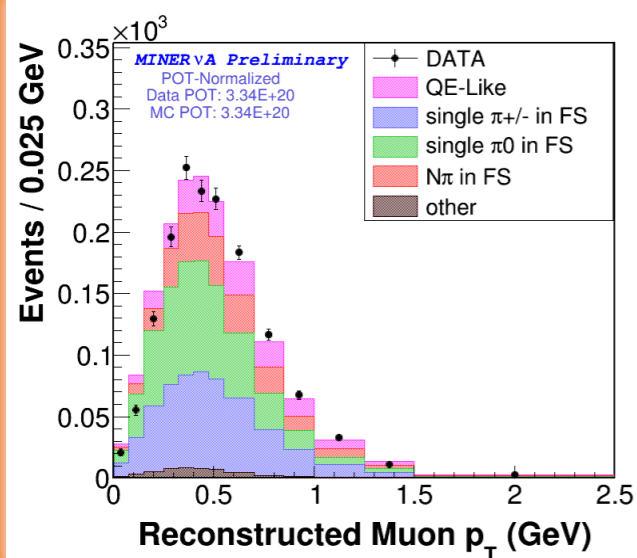
# Calculating a cross section:

## 2) Subtract scaled backgrounds: $\nu$ mode

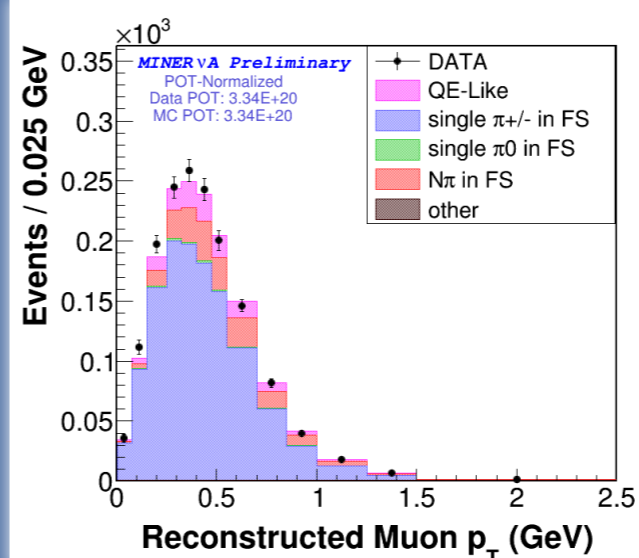


For neutrinos, we fit data to the shapes of  $p_T$  distributions in 3 background categories to get background scales. (Separate fits for 1- and 2-track samples).

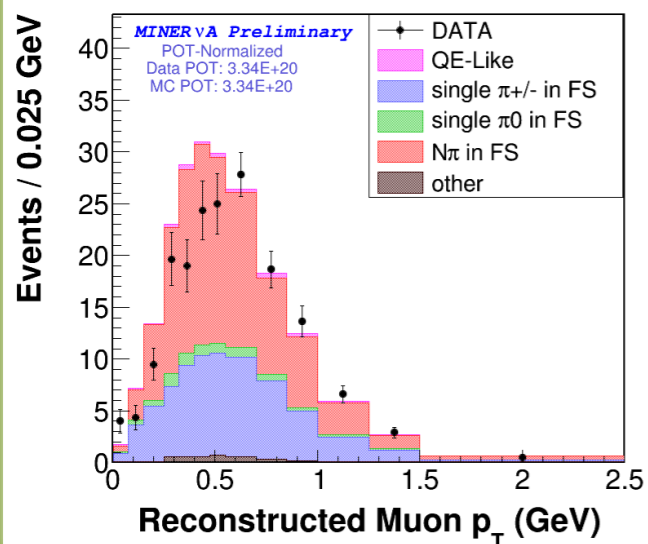
$\nu$



Events with Michel electrons



Events with more than 1 isolated energy deposit



Michel electrons and isolated deposits



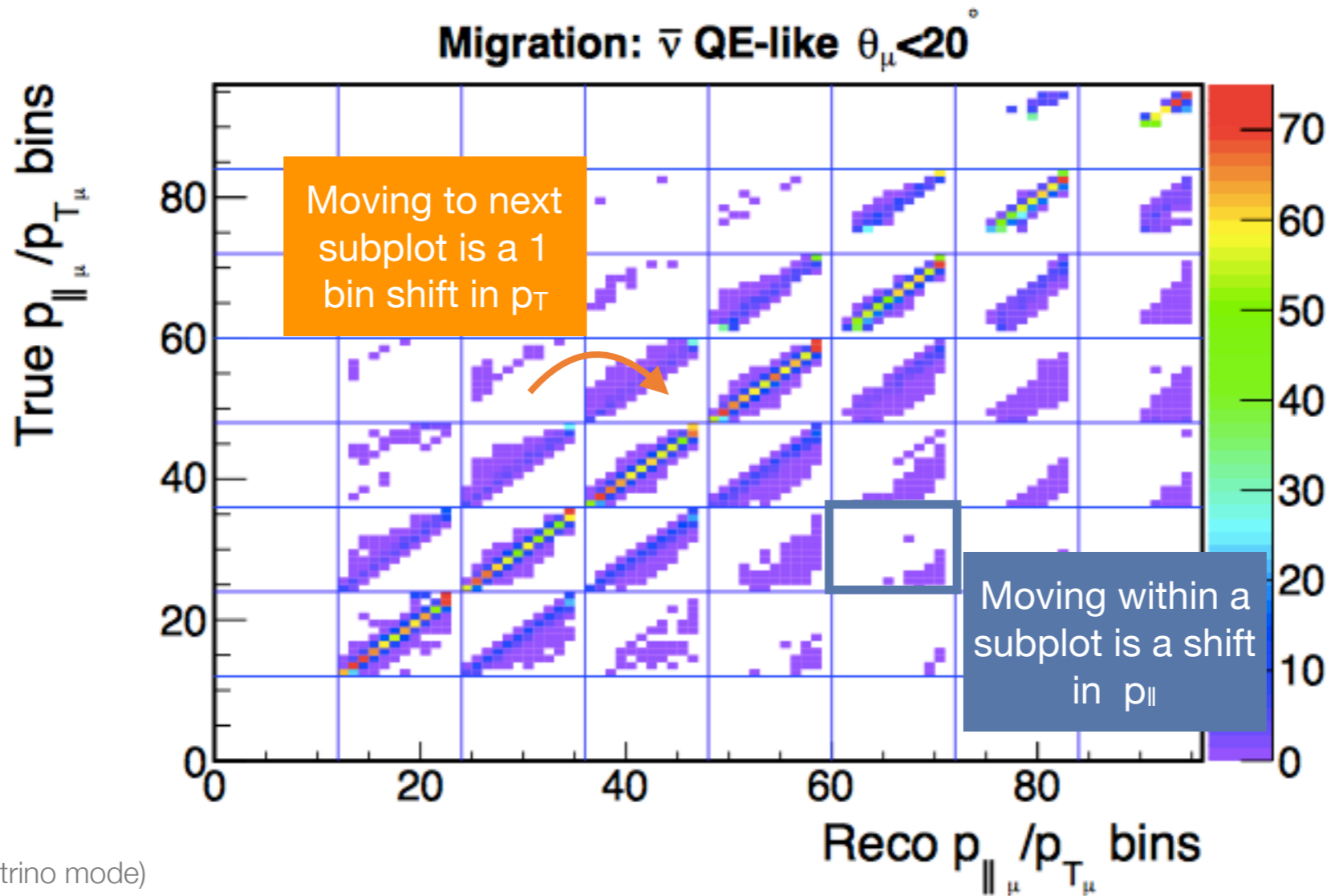


# Calculating a cross section:

## 3) Unsmearing



$$\left( \frac{d^2\sigma}{dx dy} \right)_{ij} = \frac{\sum_{\alpha\beta} U_{\alpha\beta ij} (N_{\text{data},\alpha\beta} - N_{\text{data},\alpha\beta}^{\text{bgd}})}{\epsilon_{ij}(\Phi T)(\Delta x_i)(\Delta y_j)}$$



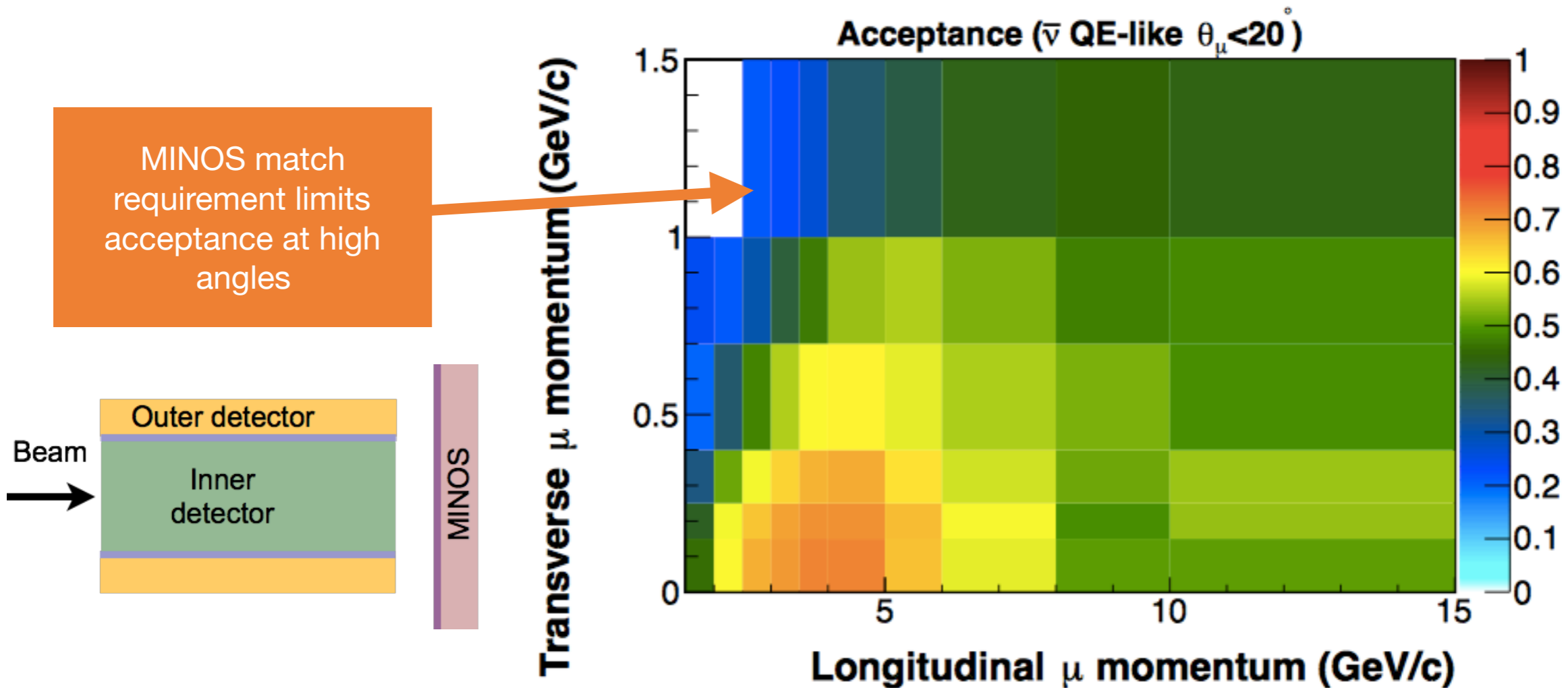
(Migration matrix for antineutrino mode)

# Calculating a cross section:

## 4) Efficiency and acceptance correction



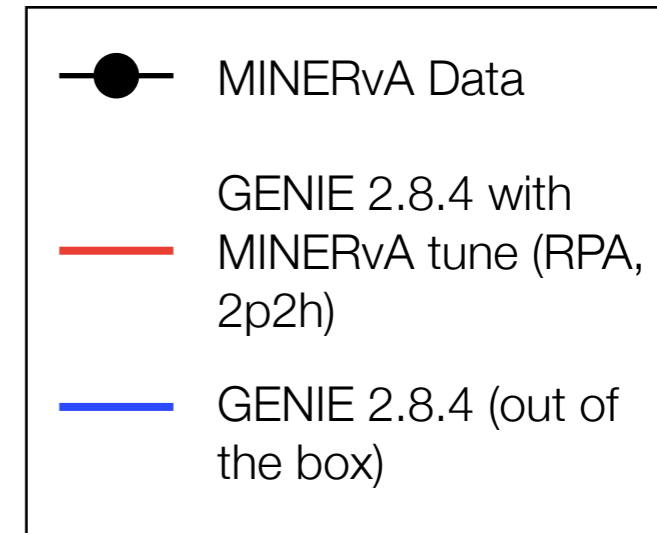
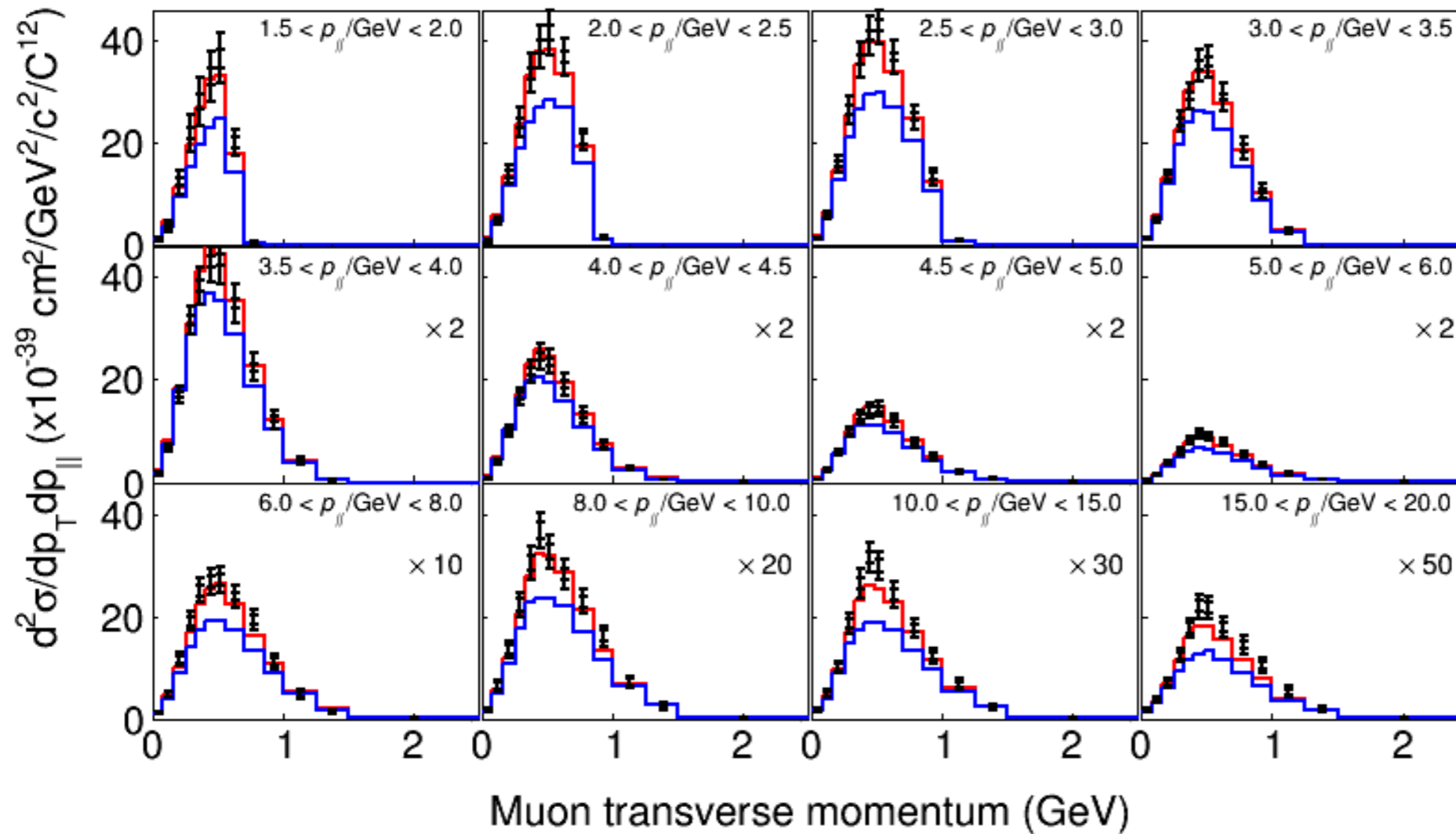
$$\left( \frac{d^2\sigma}{dx dy} \right)_{ij} = \frac{\sum_{\alpha\beta} U_{\alpha\beta ij} (N_{\text{data},\alpha\beta} - N_{\text{data},\alpha\beta}^{\text{bgd}})}{\epsilon_{ij} (\Phi T) (\Delta x_i) (\Delta y_j)}$$



(Plot for antineutrino mode)

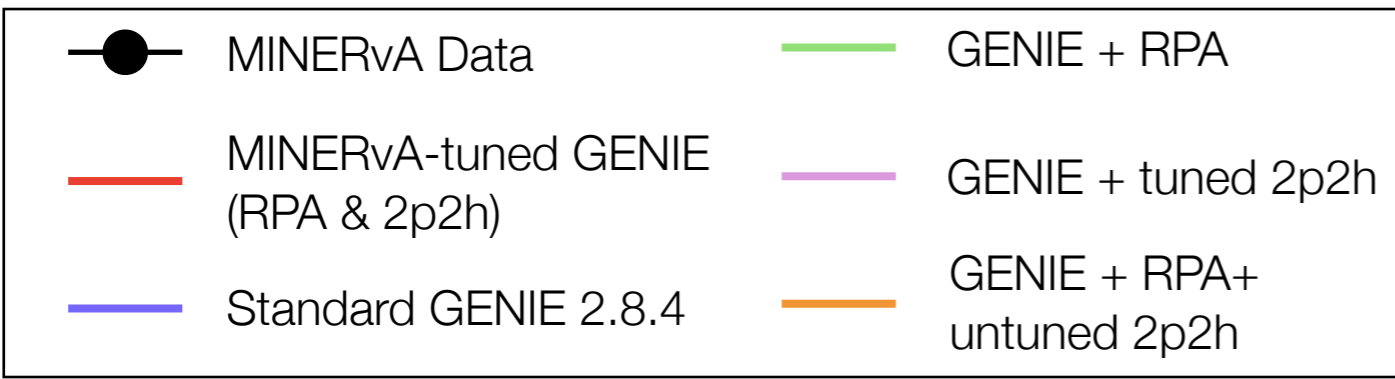
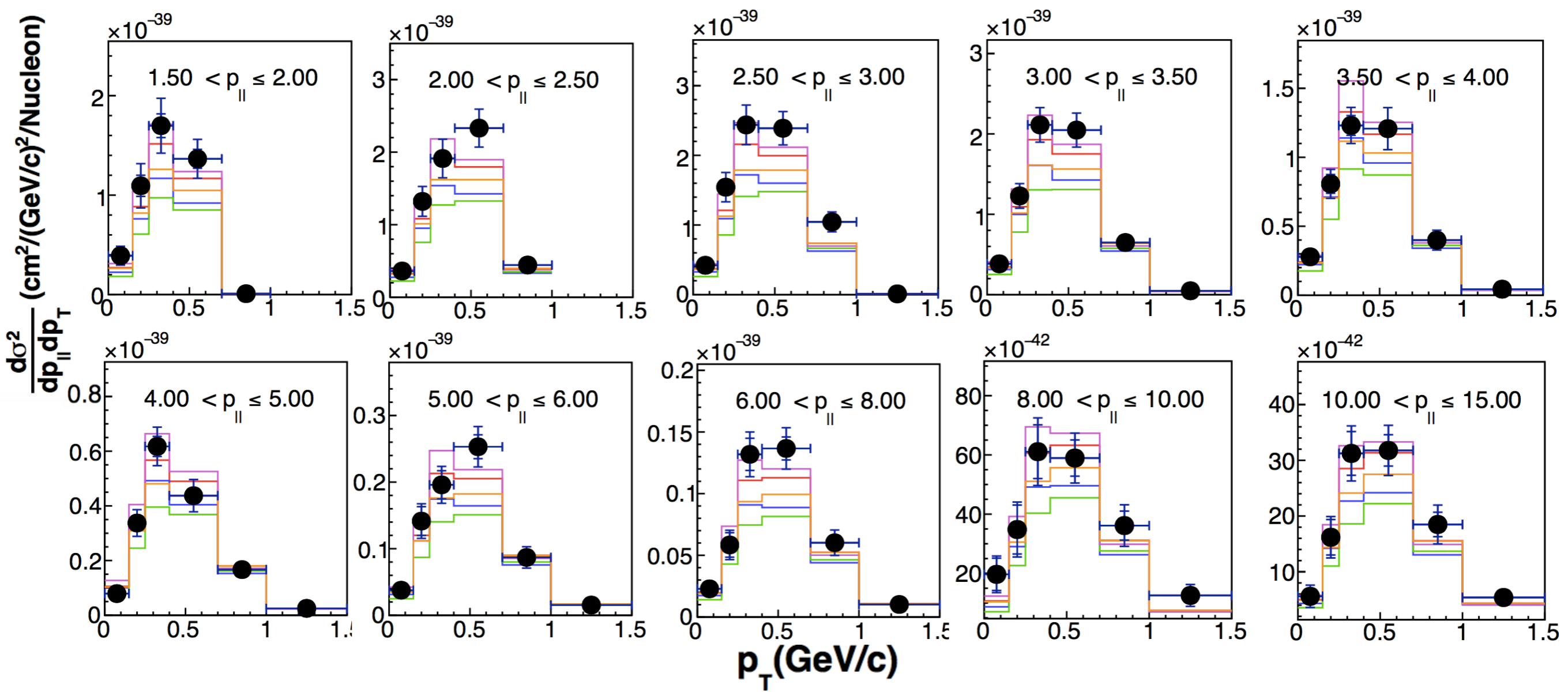
Overall efficiency x acceptance = 50.6%

# Double-differential cross section - neutrino mode



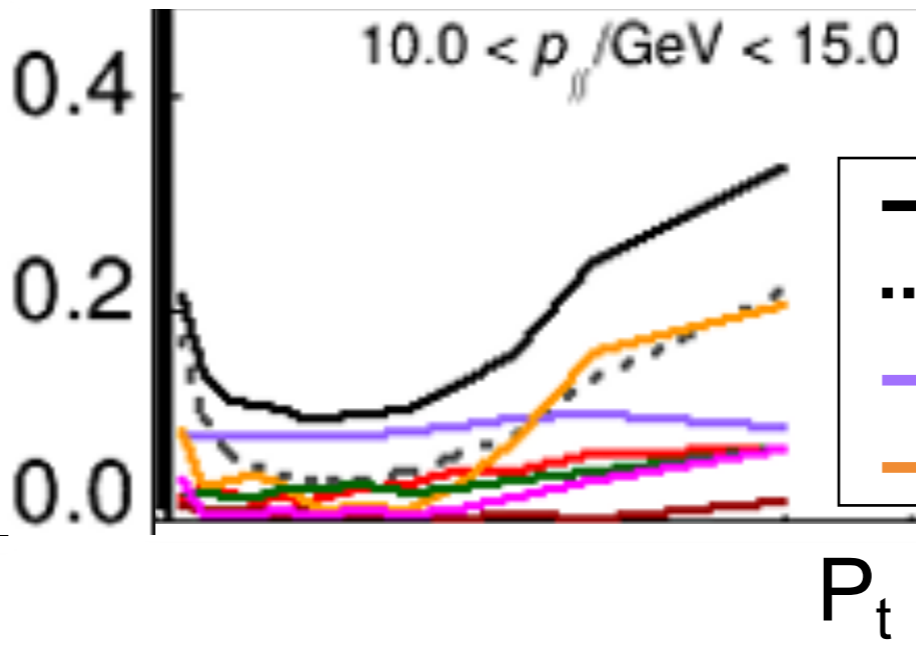
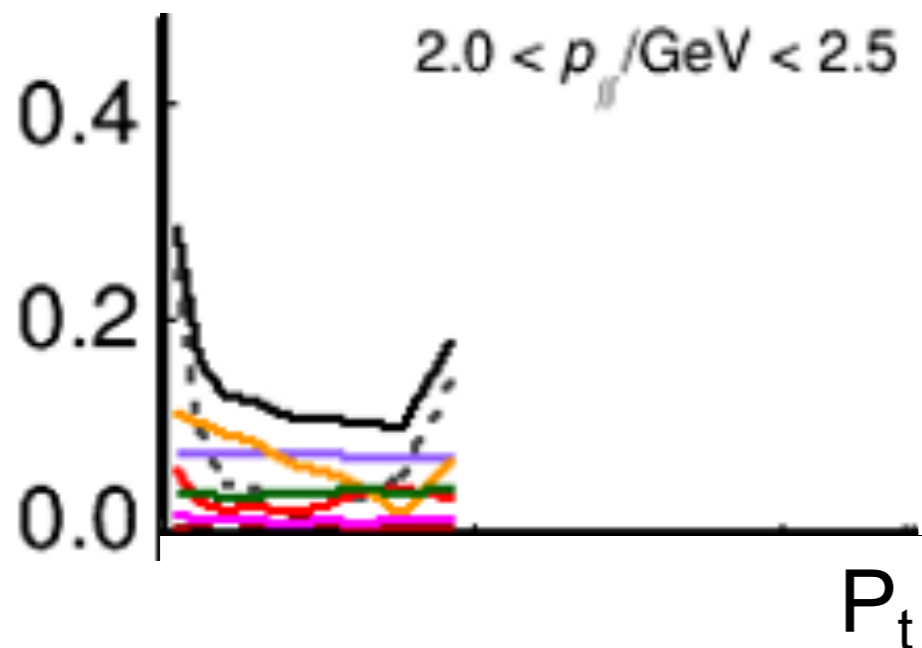
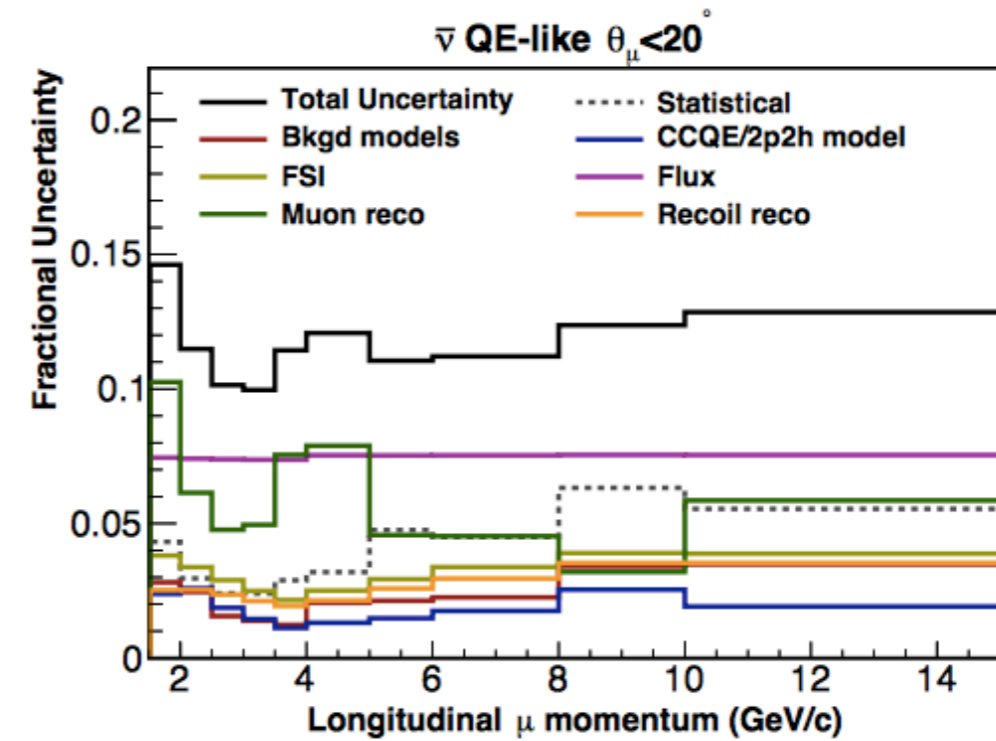
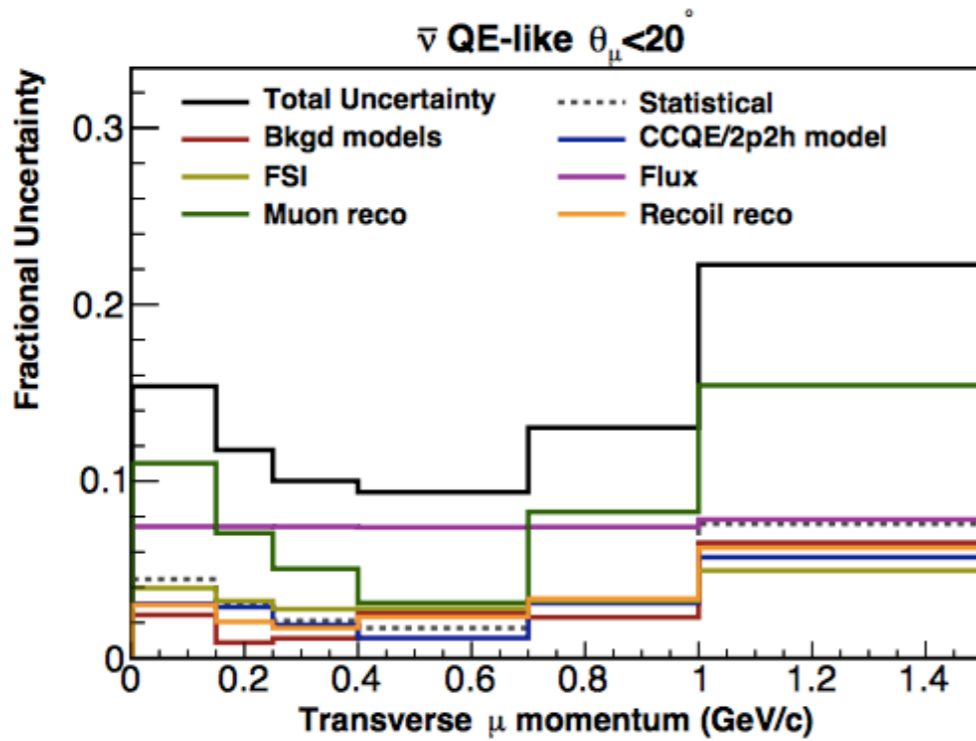
(Remember this was tuned to neutrino-mode data)

# Double-differential cross section - antineutrino mode

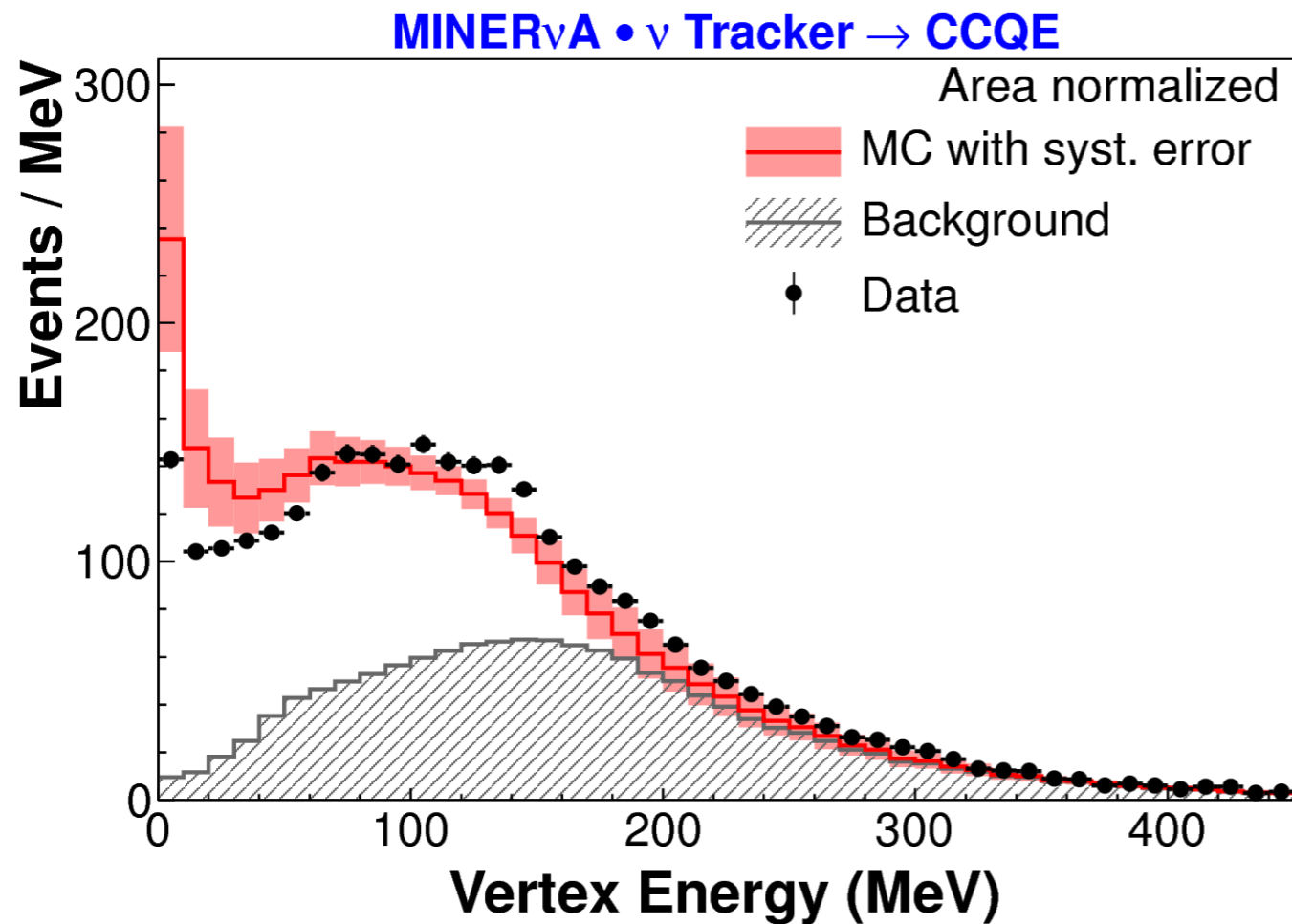


- Applying the tuning to  $\bar{\nu}$  mode also improves fit
- Untrackable neutrons in final state make this more challenging
- Additional uncertainty evaluated based on whether additional strength is from  $np$  or  $nn$  initial states

# Systematic uncertainty



# Vertex energy distributions: 2013



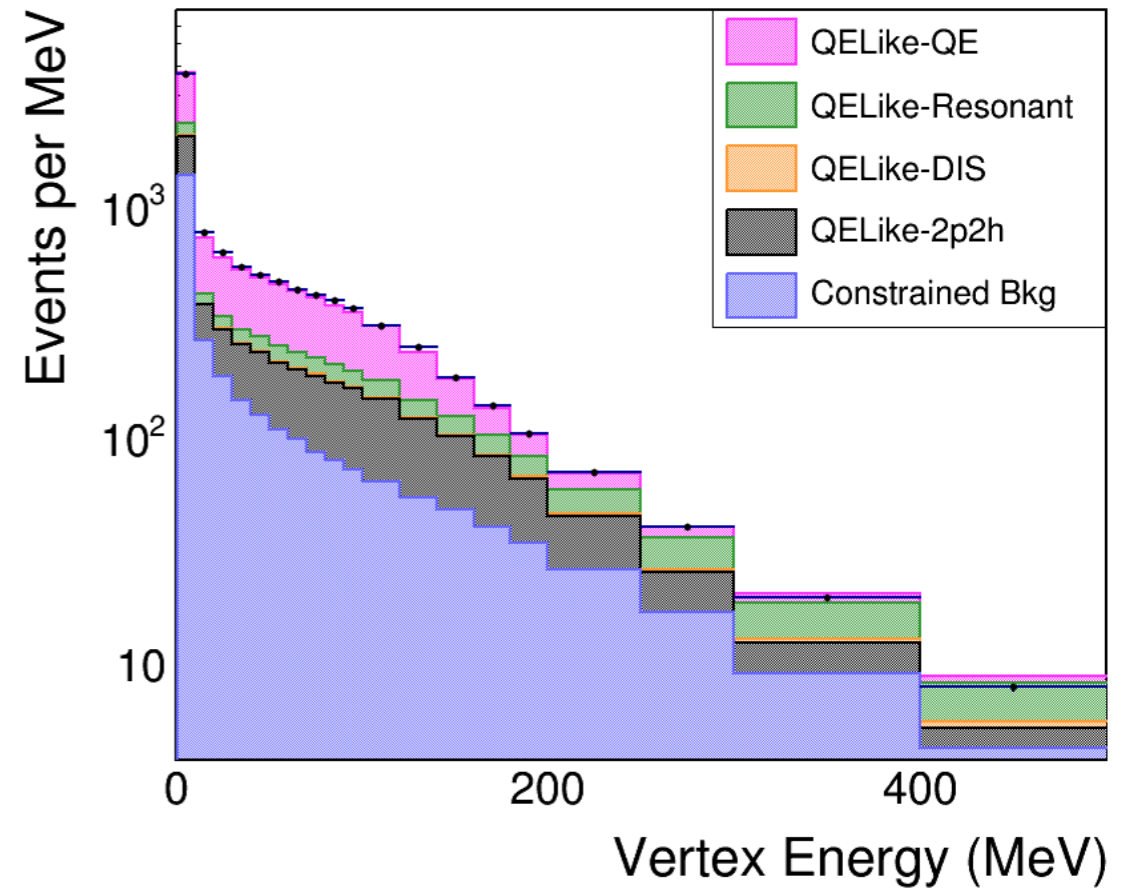
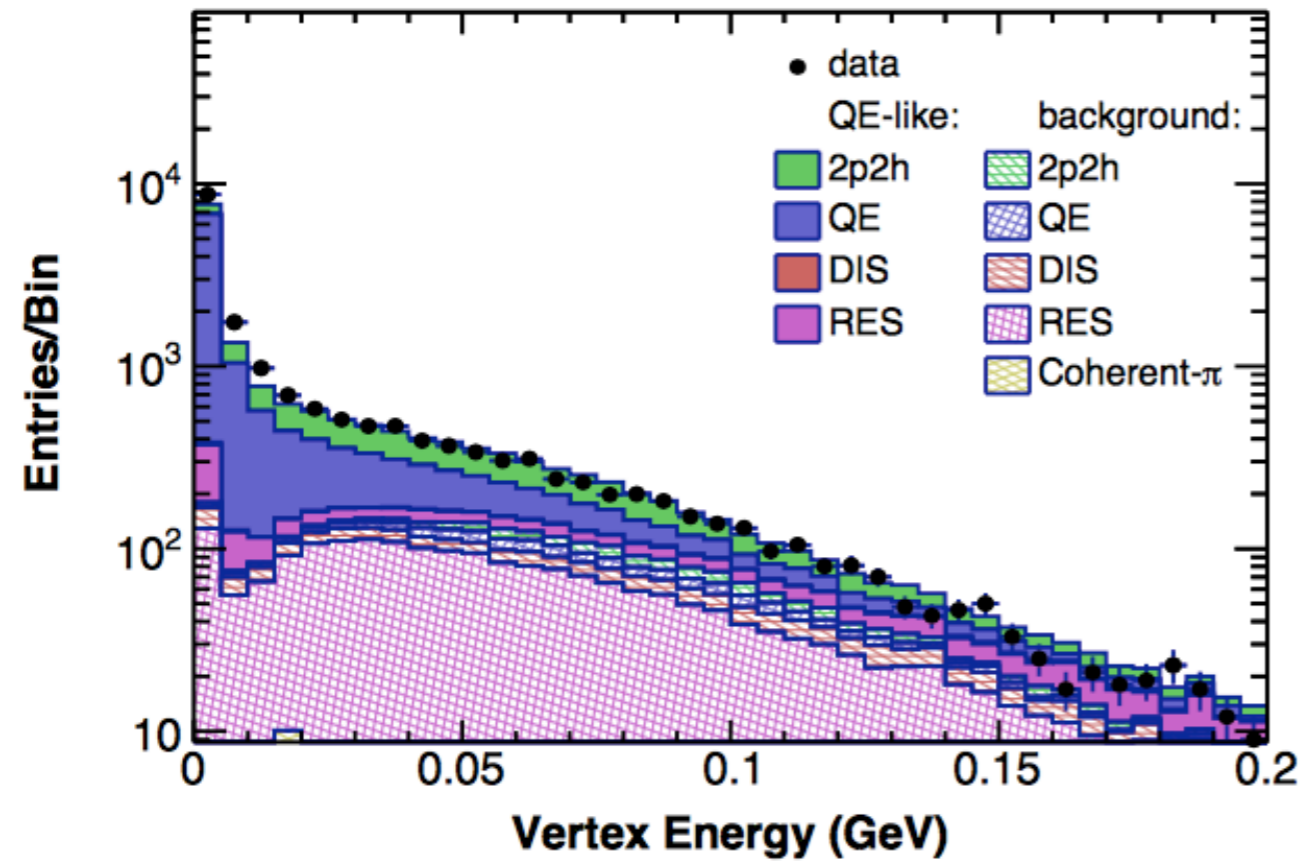
$\nu$  - 2013

In 2013, the energy distribution around the vertex was markedly different from our simulation (GENIE 2.6.2, no 2p2h or RPA)

# Vertex energy: 2017

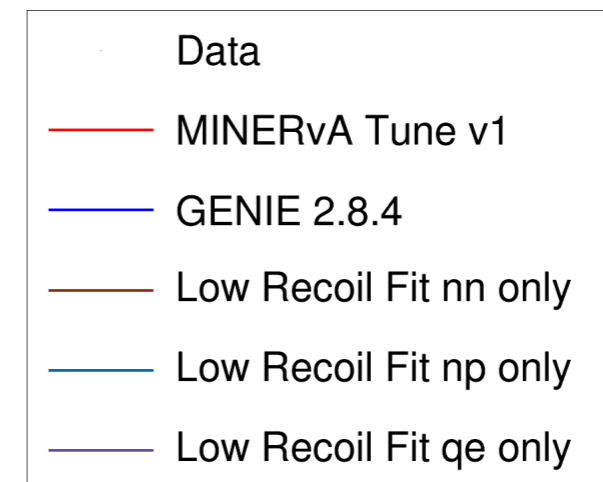
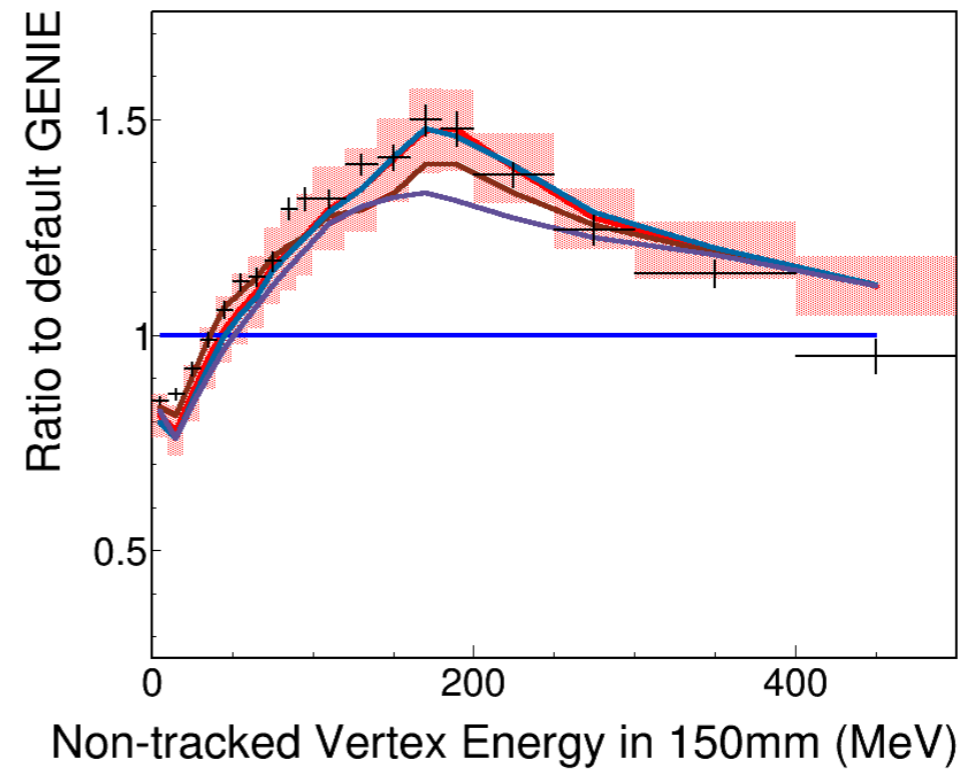
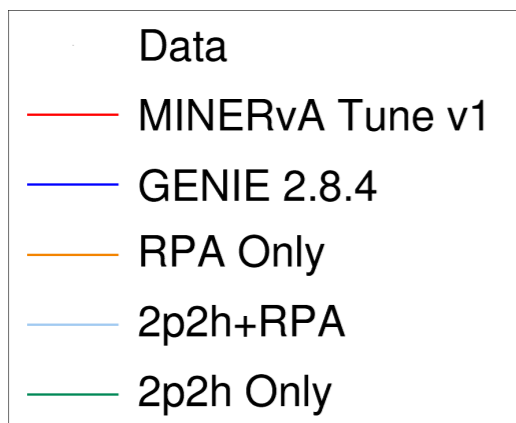
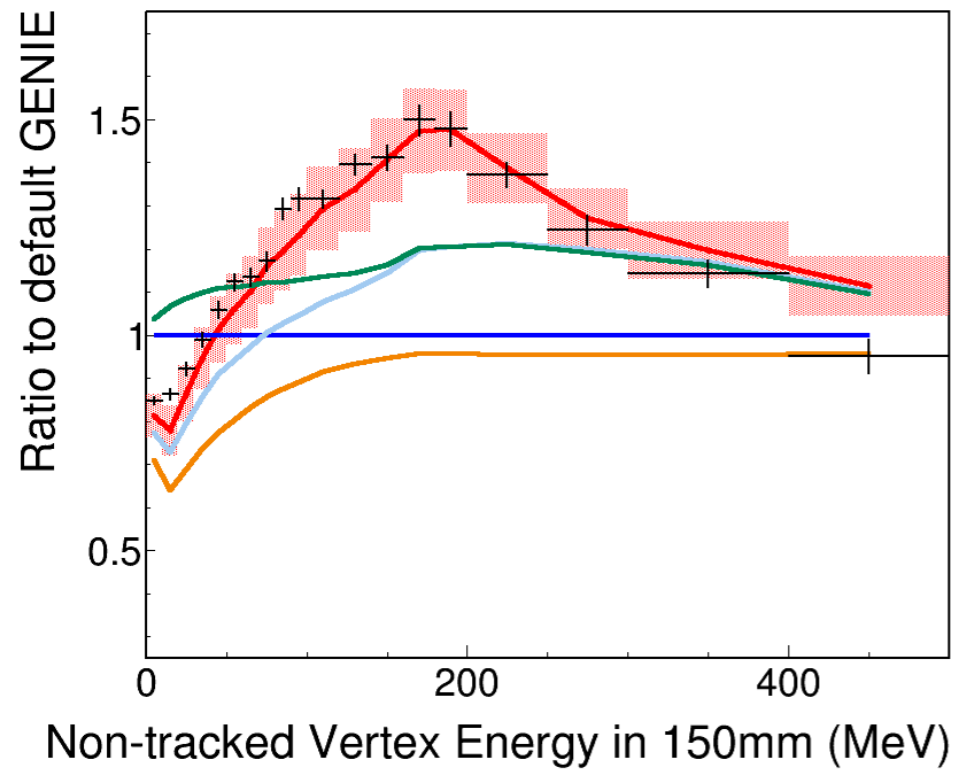


MINERvA anti- $\nu$



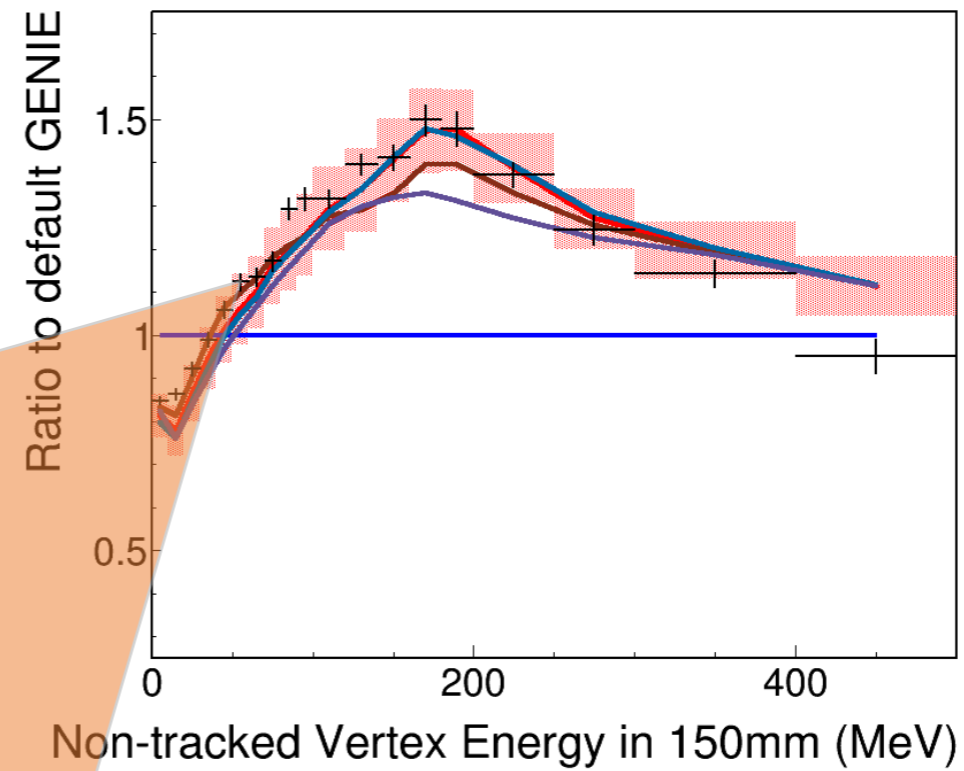
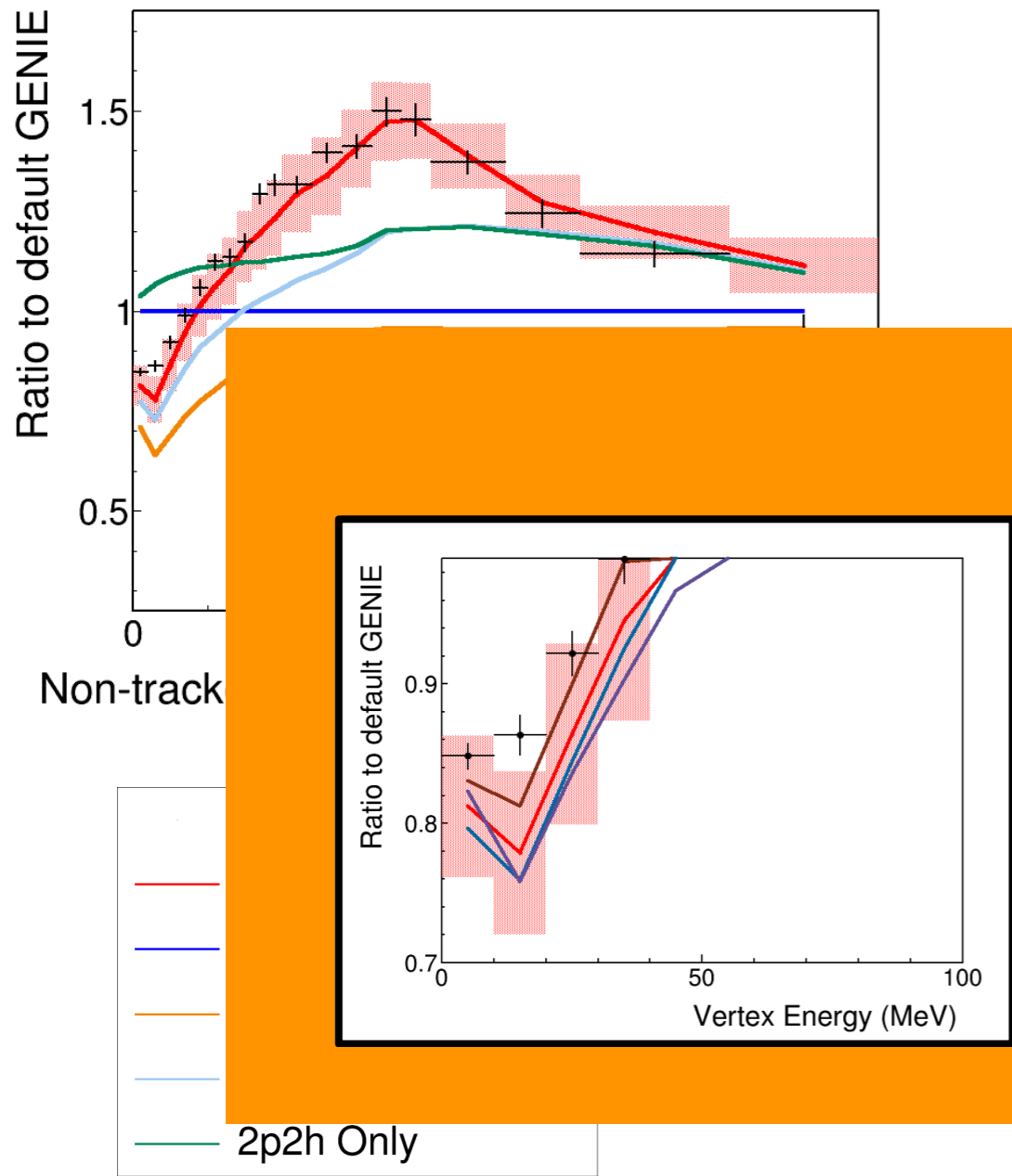
The tuned GENIE does a much better job of modelling this distribution, but is there more we can learn?

# Vertex energy



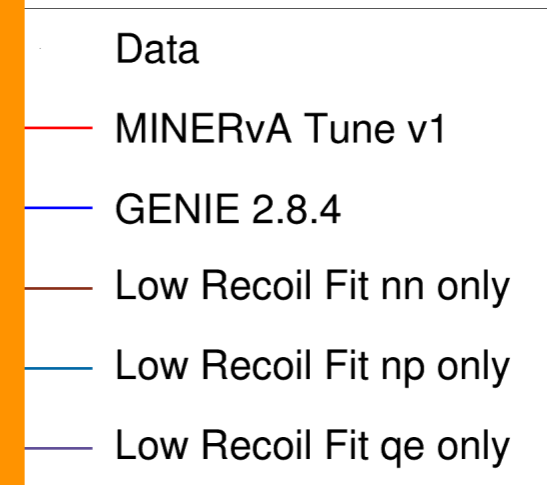
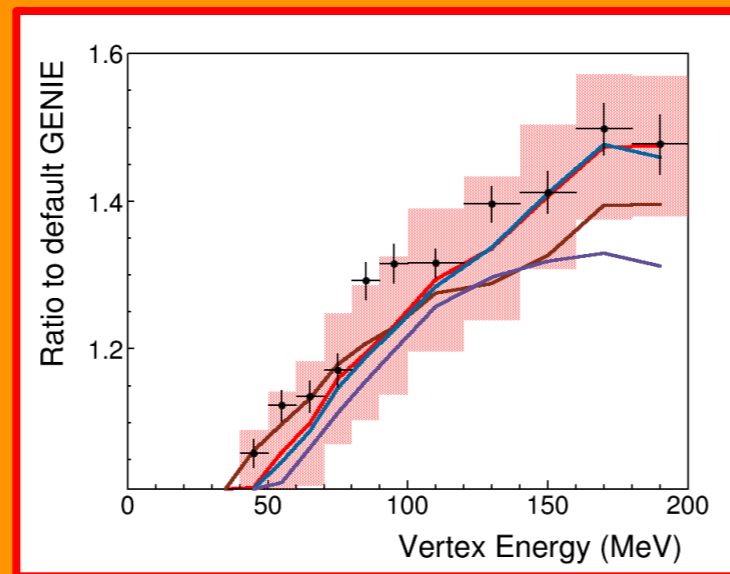
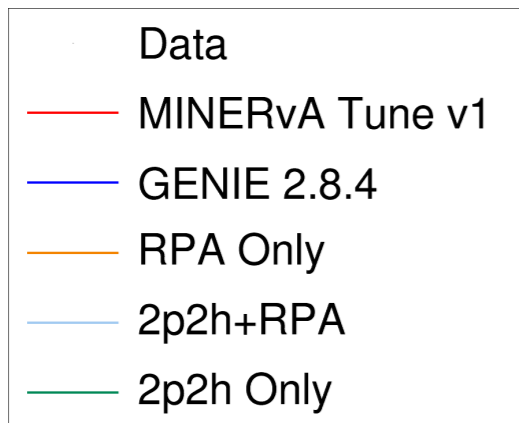
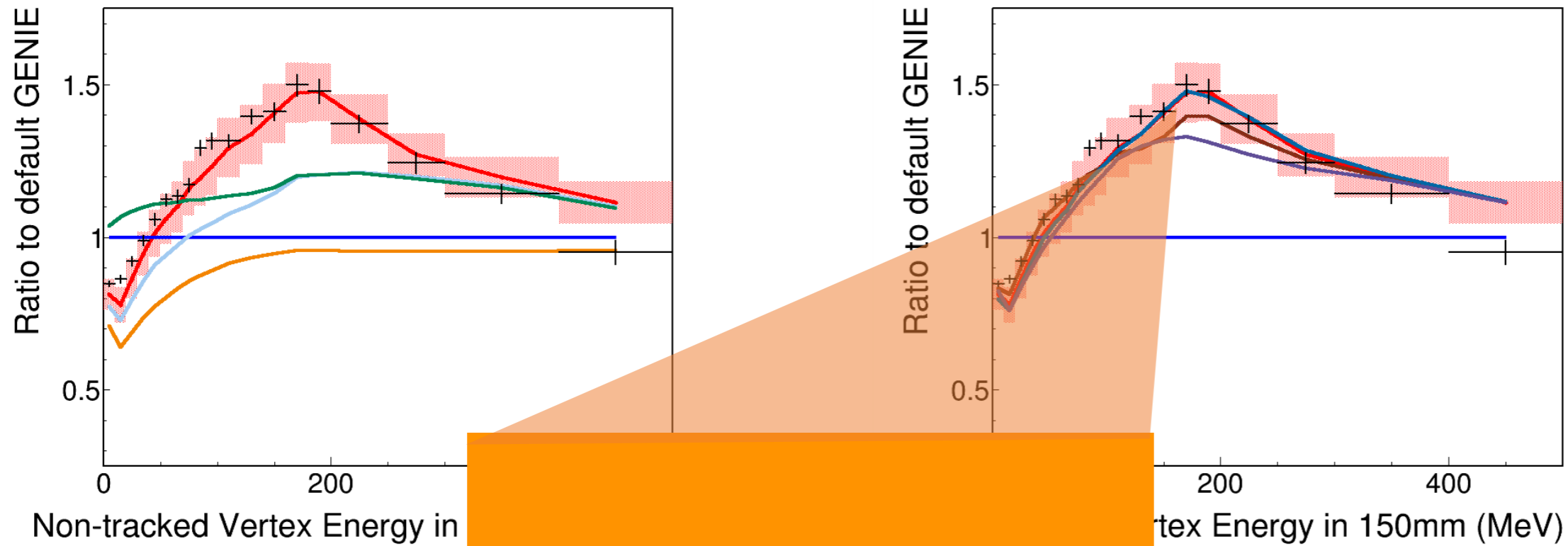


# Vertex energy



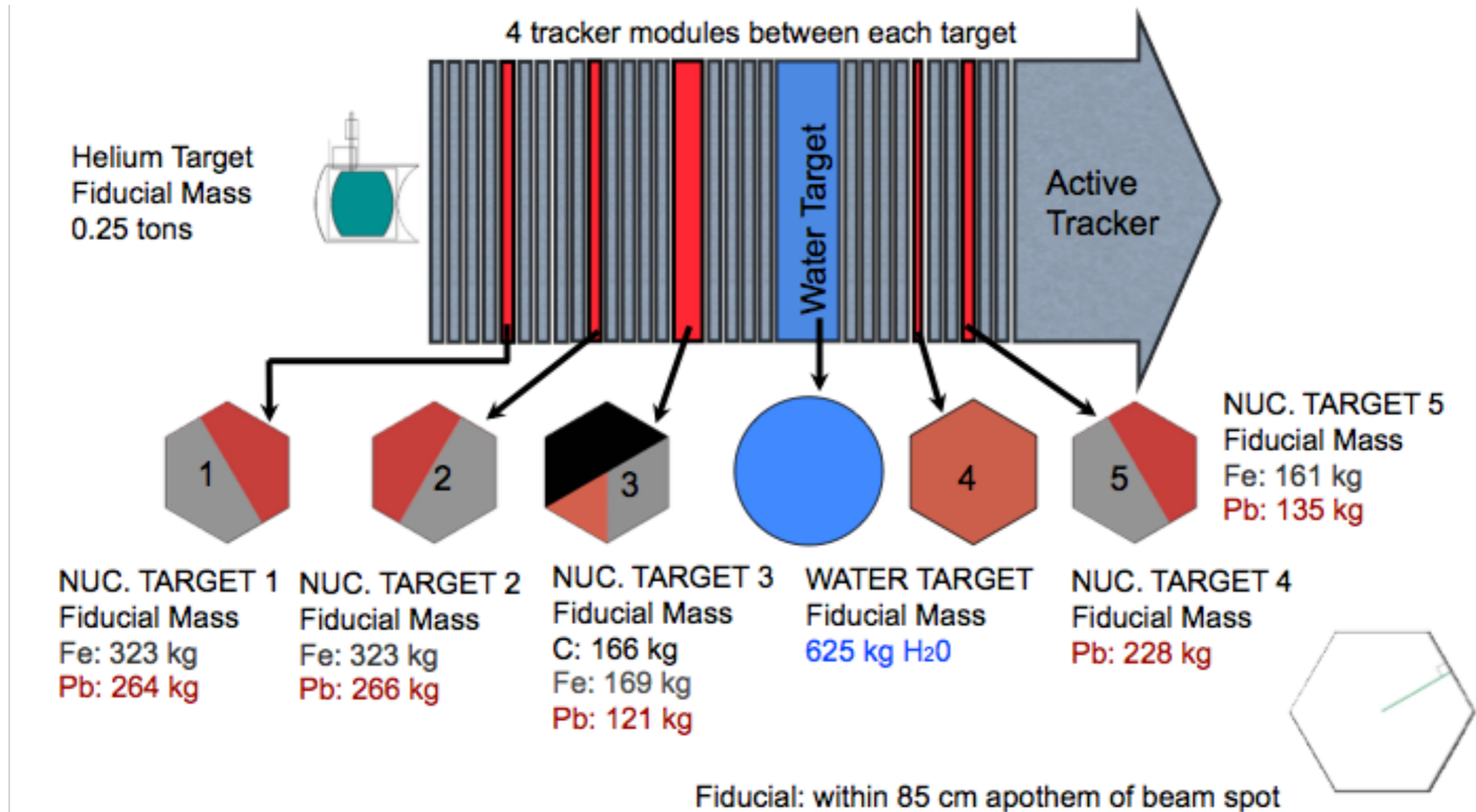
- Data
- MINERvA Tune v1
- GENIE 2.8.4
- Low Recoil Fit nn only
- Low Recoil Fit np only
- Low Recoil Fit qe only

# Vertex energy



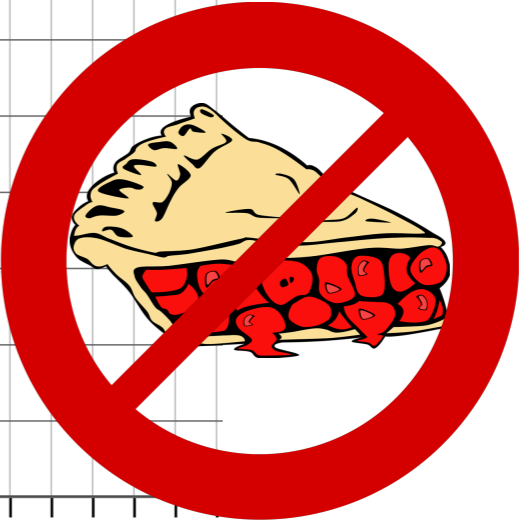
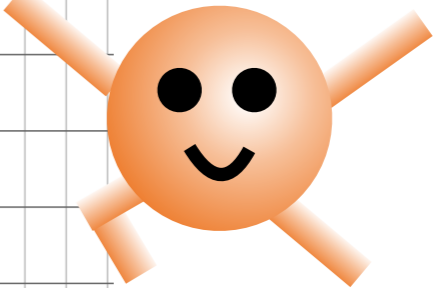
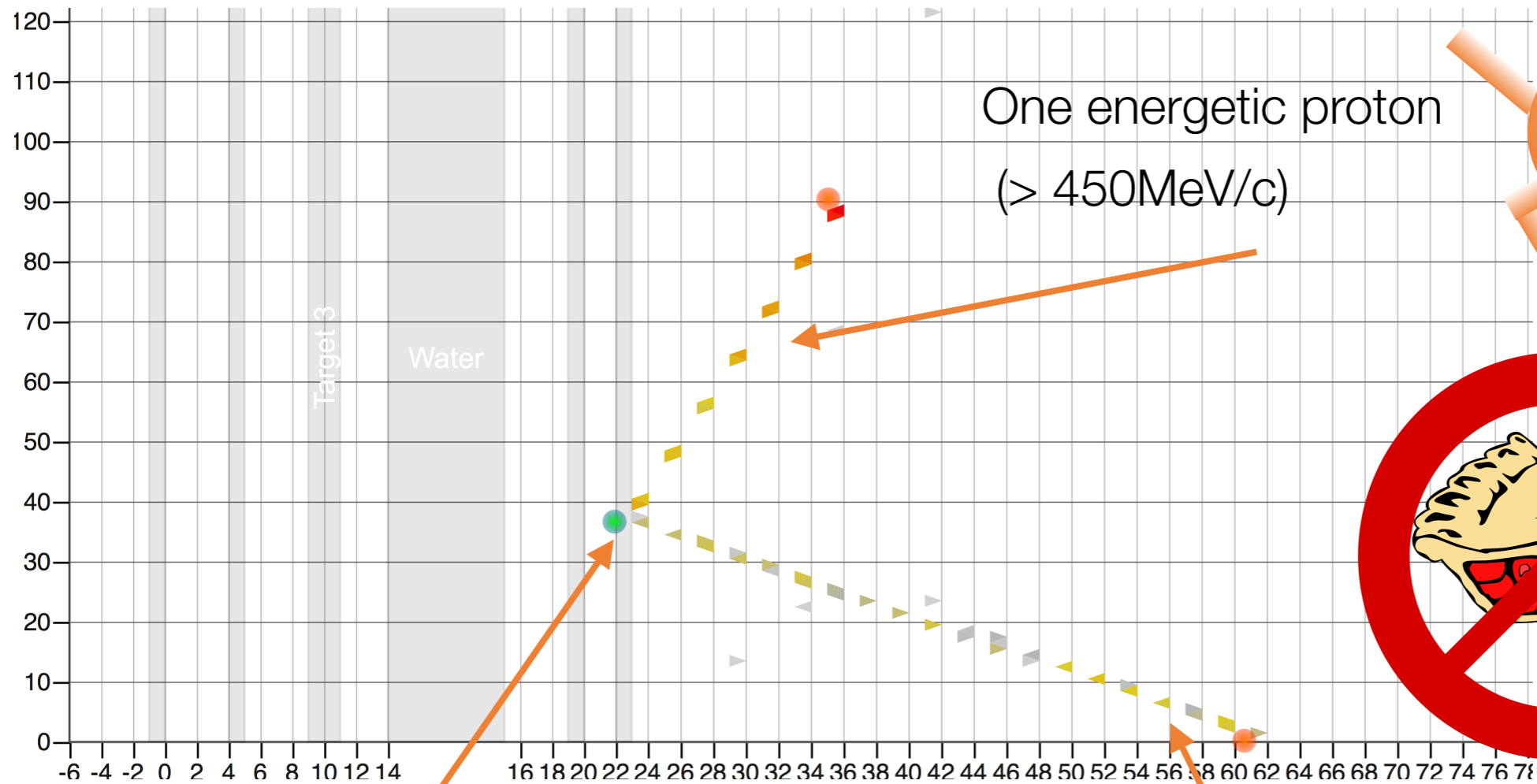
Model is robust to these variations

# Something different: Nuclear targets



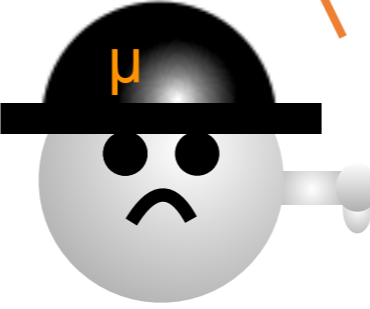
- Iron
- Lead
- Carbon (graphite)
- Water
- Helium
- Tracker modules are polystyrene scintillator (CH)<sub>n</sub>

# Signal for nuclear target analysis (neutrino mode only)



No pions

Vertex in the nuclear target of choice

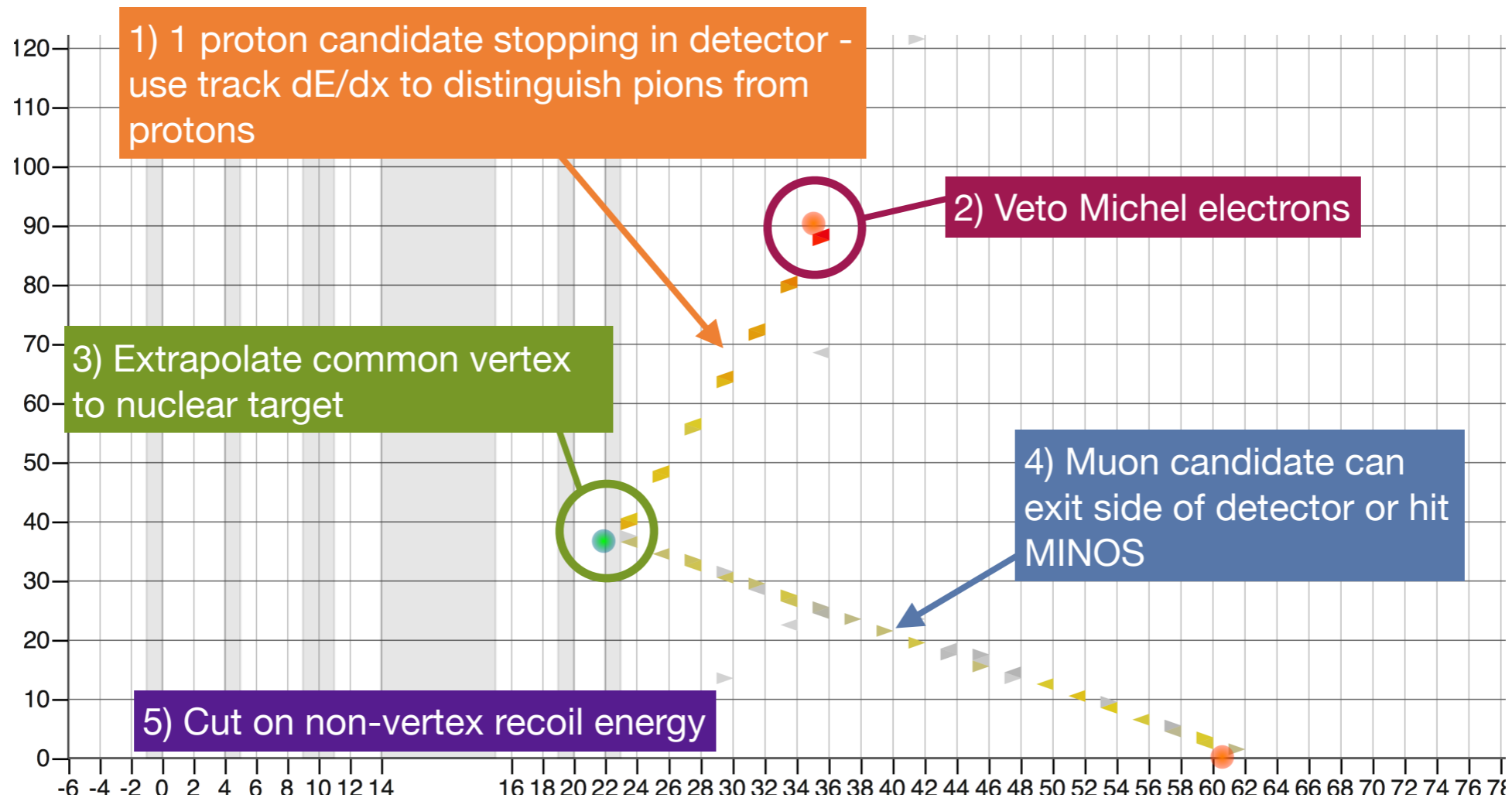


One negative muon (no angle requirement but no MINOS charge match either)

By ensuring we have a trackable proton, we can remove the MINOS-matched muon requirement



# Nuclear targets - event selection



Calculate  $d\sigma/dQ^2$  using  $Q^2$  calculated from **proton kinematics** in quasi-elastic hypothesis

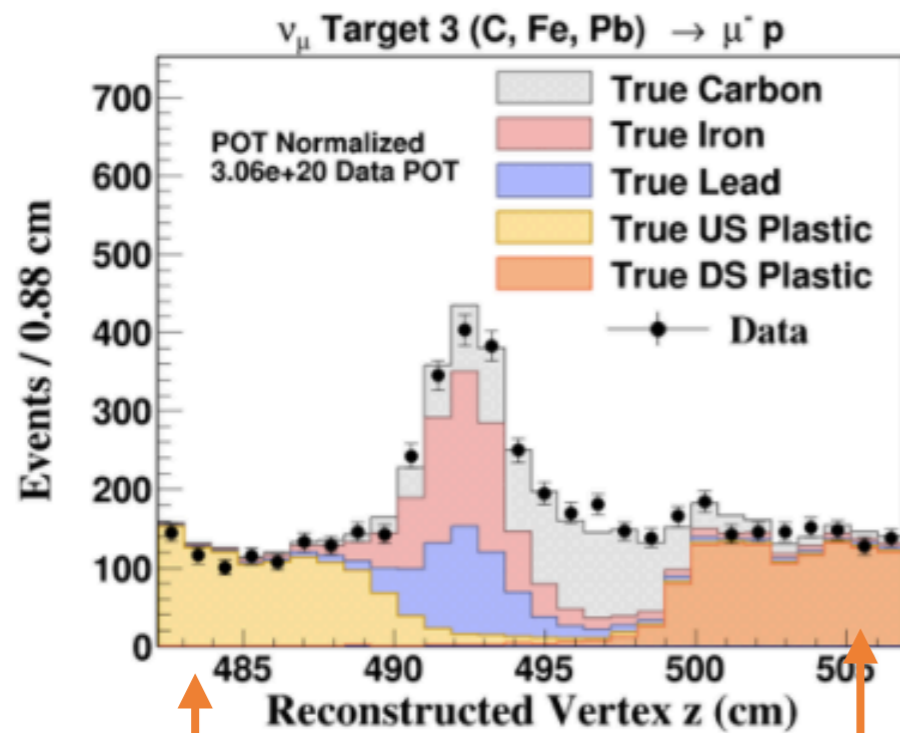
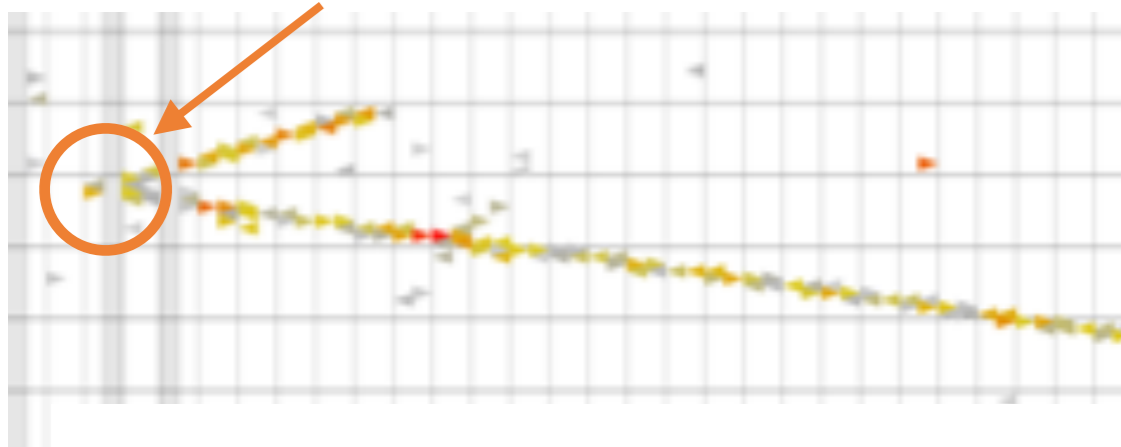
$$Q^2 = (M')^2 - M_p^2 + 2M'(T_p + M_p - M')$$

$M' = M_n - E_b$   
 $E_b$  is the binding energy  
 $T_p$  is the proton kinetic energy  
 $M_n$  is the mass of the neutron  
 $M_p$  is the mass of the proton

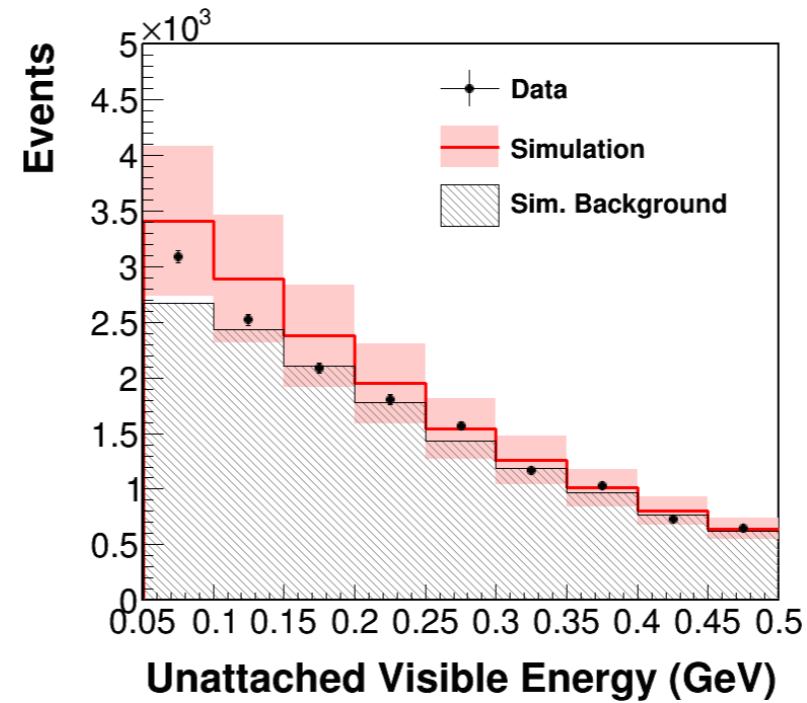


# Backgrounds

Scattering from **scintillator** may be reconstructed on the targets

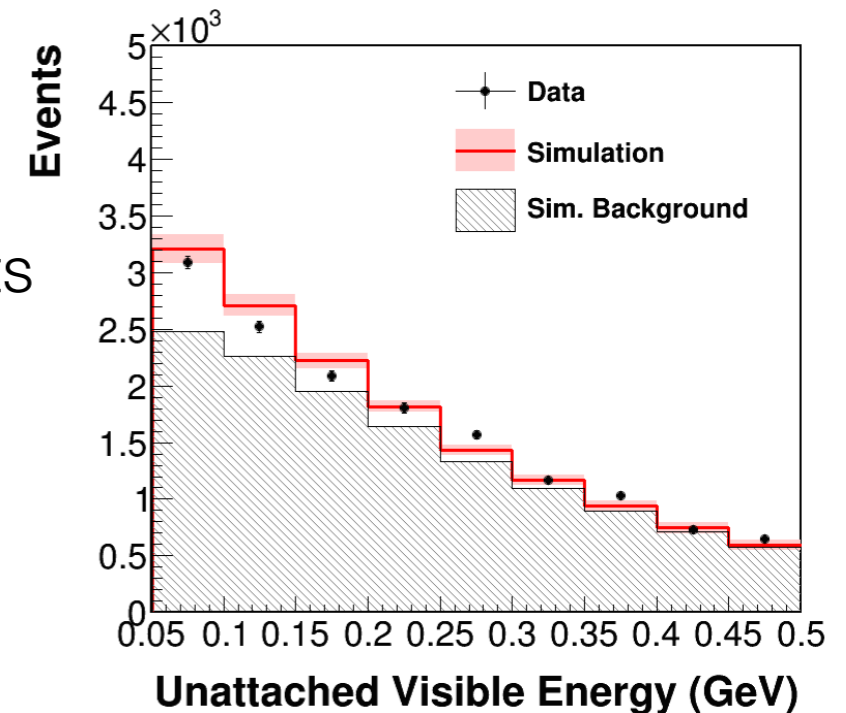


Determine scintillator background scale by looking at upstream and downstream sidebands



Events from backgrounds with **pions** are tuned with a fit in recoil energy, as with the scintillator analysis

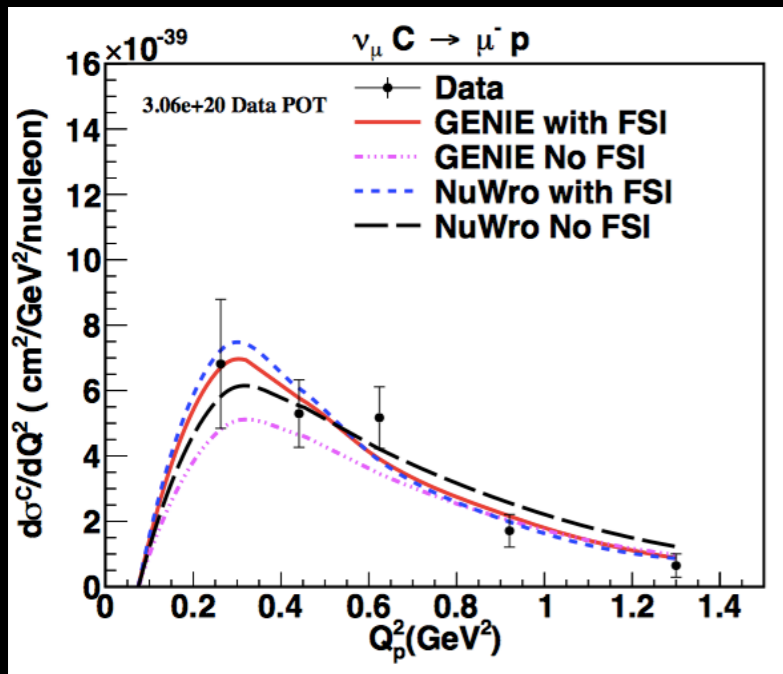
Separate fits are performed for events with  $Q^2$  greater and less than  $0.5 \text{ GeV}^2$



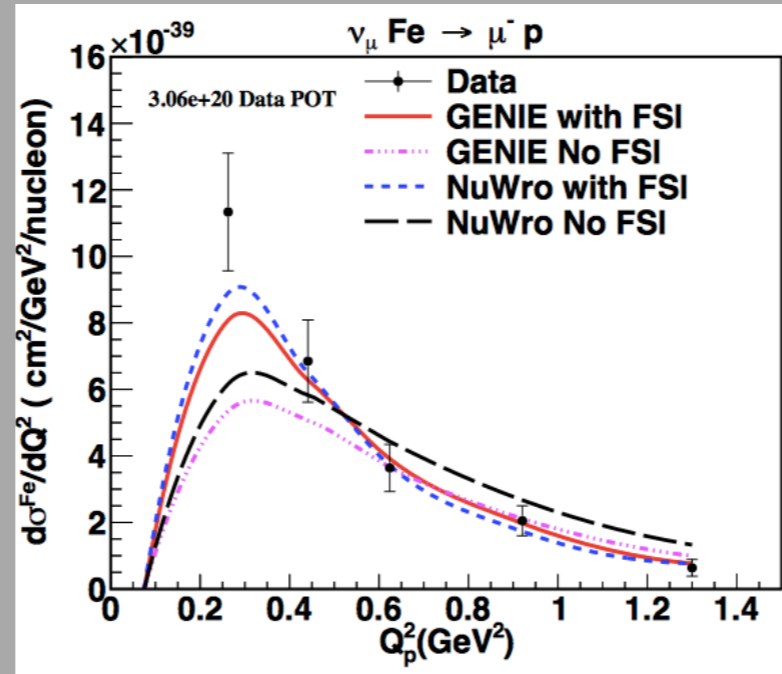
# Cross sections



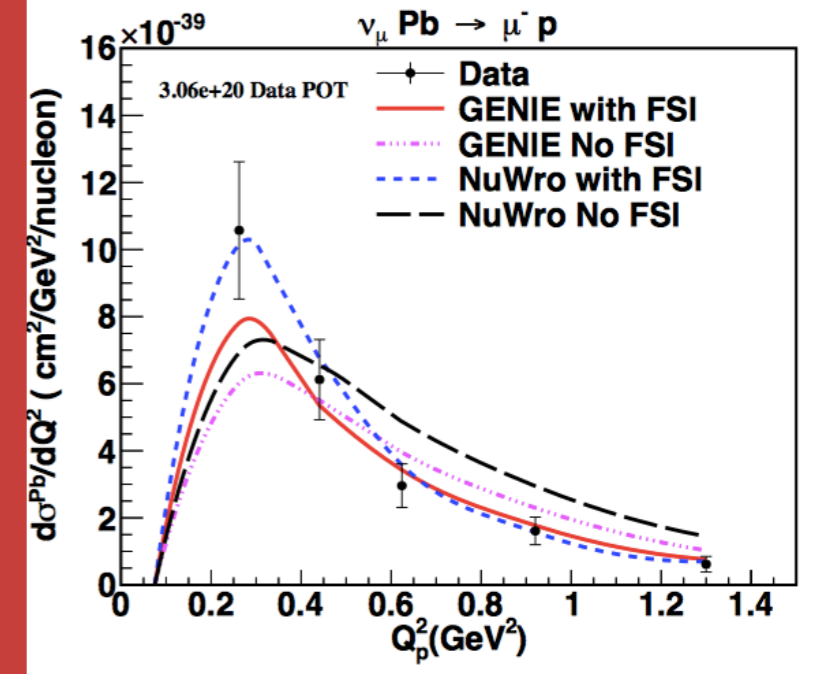
## Carbon



## Iron



## Lead



Both GENIE and NuWro include similar 2p2h and RPA effects

Model	Carbon	Iron	Lead
GENIE RFG	11.0	63.8	41.1
GENIE RFG + 2p2h	5.9	18.9	16.3
GENIE RFG + 2p2h + RPA	5.9	19.9	17.5
NuWro RFG + 2p2h + RPA	6.0	14.6	11.0

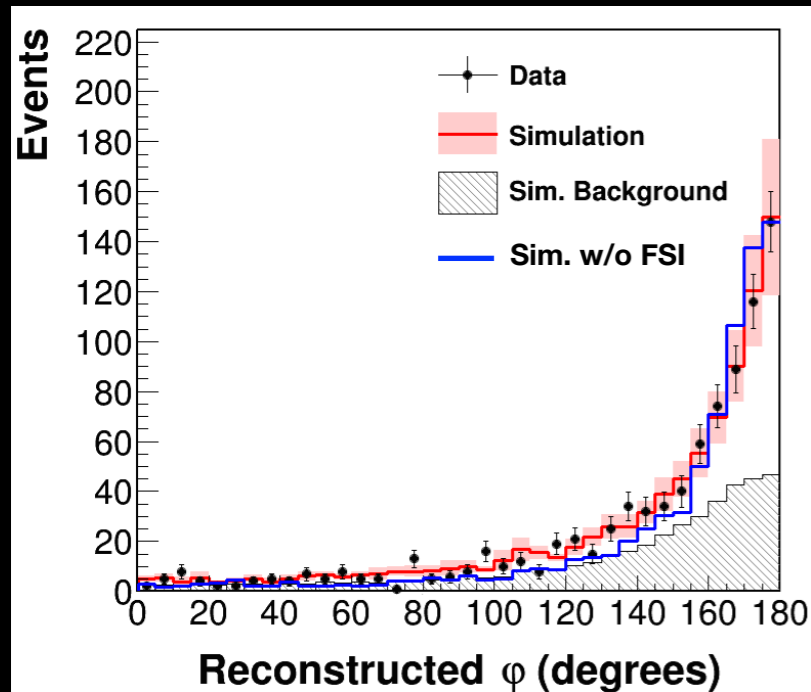
$\chi^2$  for 5 degrees of freedom

NuWro has an *A*-dependent pion absorption FSI model that is not included in GENIE

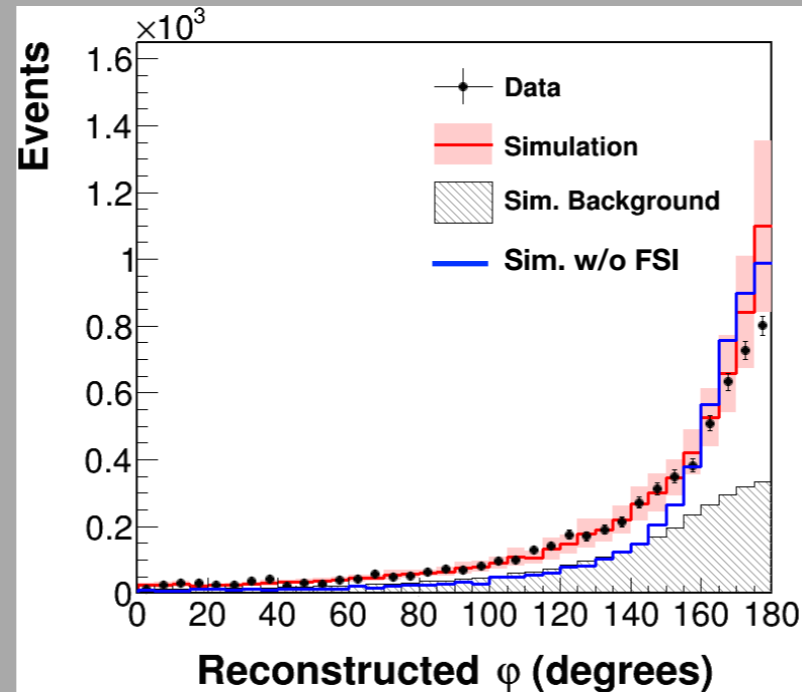
# Coplanarity angle probes FSI



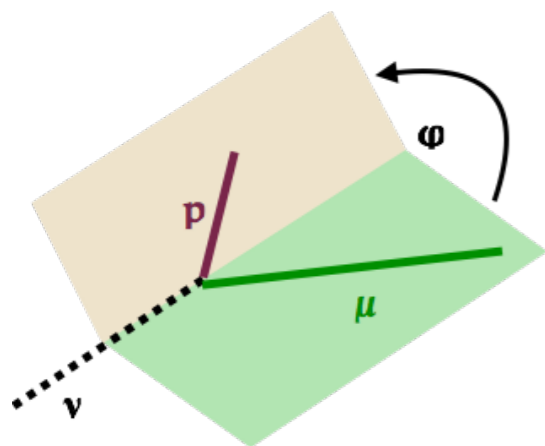
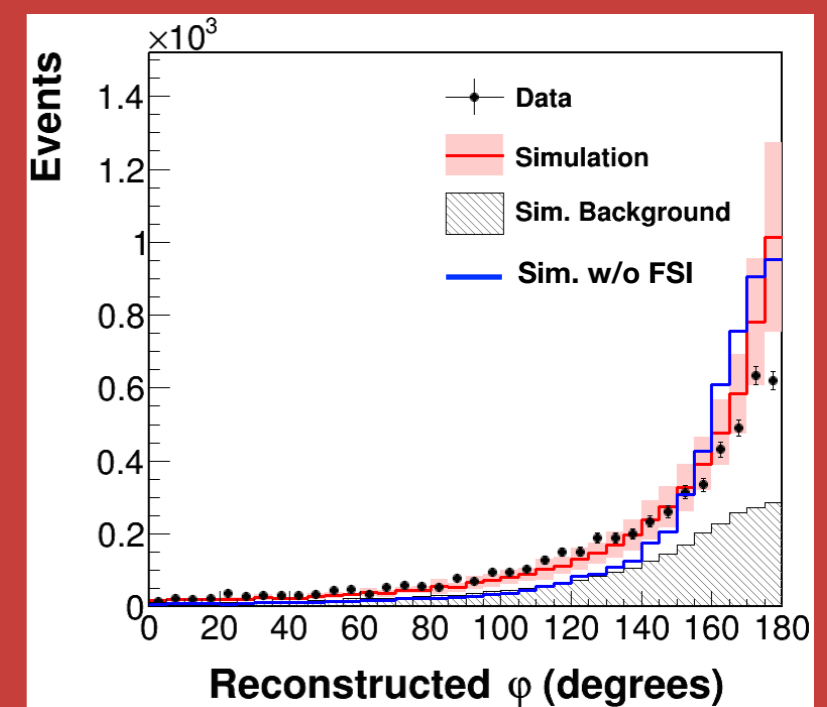
## Carbon



## Iron



## Lead



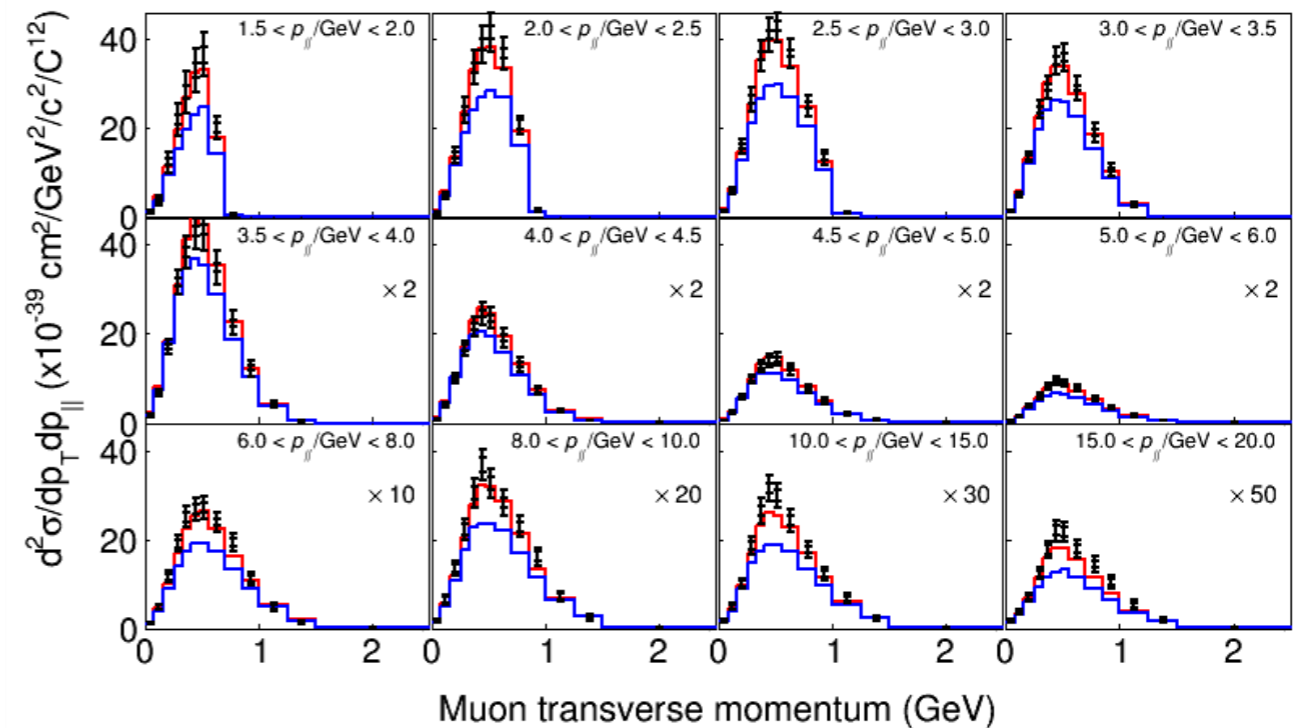
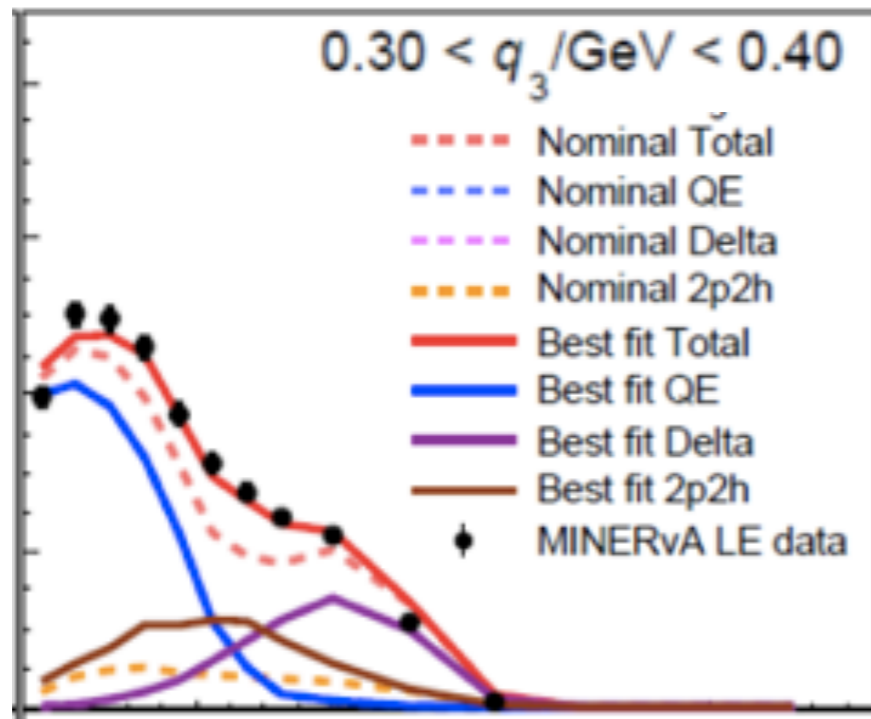
- True angle between  $\nu$ - $\mu$  and  $\nu$ - $p$  planes would be  $180^\circ$  if scattering from stationary neutron
- Both initial nucleon momentum distribution and **final-state interactions** smear this
- GENIE's FSI model is not sufficient to describe the smearing, with the discrepancy increasing for heavier elements



# So what comes next?



With our **tuned models**, we are getting better than ever at being able to reproduce our data...



... but we don't have a theoretical motivation for our tuning - **why** does it work?



Now we need theorists' help to find a physics-motivated model that can match our data!

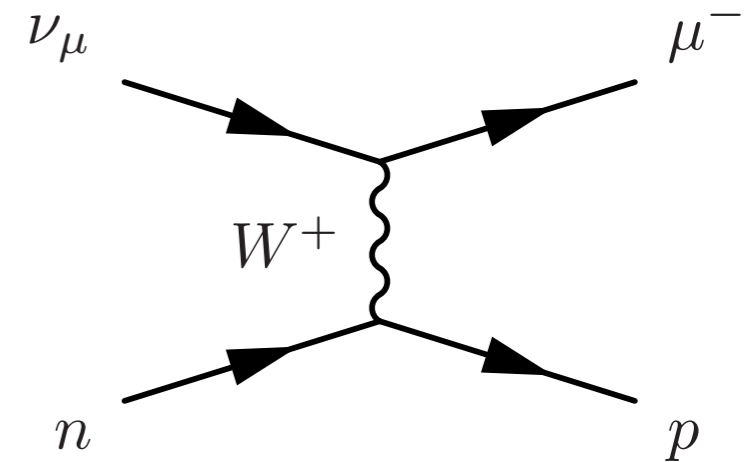
Backup Slides





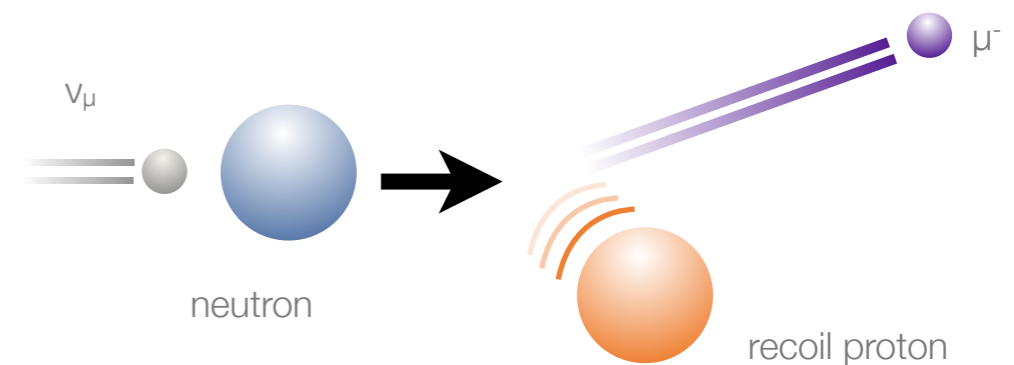
# Quasi-elastic scattering from nucleons

- A relatively “simple” interaction process
- There is a **single charged muon** in the final state, plus the recoil nucleon (no pions etc)
- Oscillation experiments can reconstruct the neutrino energy and 4-momentum transfer  $Q^2$  from just the muon kinematics



$$E_{\nu}^{QE} = \frac{m_p^2 - (m_n - E_b)^2 - m_{\mu}^2 + 2(m_n - E_b)E_{\mu}}{2(m_n - E_b - E_{\mu} + p_{\mu} \cos \theta_{\mu})}$$

$$Q_{QE}^2 = 2E_{\nu}^{QE}(E_{\mu} - p_{\mu} \cos \theta_{\mu}) - m_{\mu}^2$$

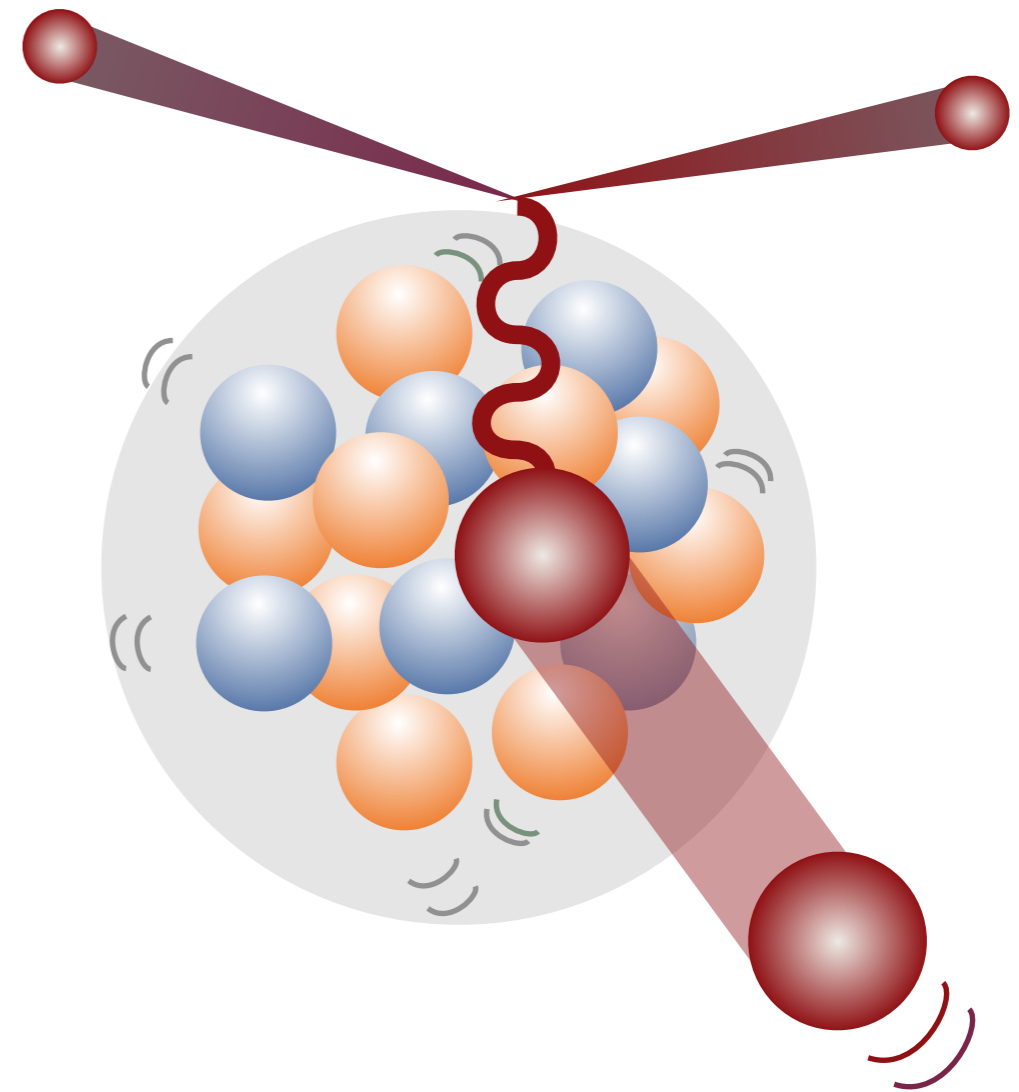


- But this assumes scattering from a **free, stationary nucleon**
- Once we know  $Q^2$ , there is a reliable cross-section model for free-nucleon scattering: Llewellyn-Smith ( *C.H. Llewellyn Smith, Phys. Rept. 3C, 261 (1972)* )

# Nucleons in the nucleus: the Fermi Gas Model



- In a heavy nucleus, nucleons are **not stationary**
- They **interact** with the other nucleons
- A commonly-used simulation of this is the **Relativistic Fermi Gas** model
  - Treat nucleons as independent particles, but in a mean field generated by the rest of the nucleus
  - Initial-state momenta are Fermi distributed
  - Pauli blocking
- Cross-sections can be modelled by a multiplier to the Llewellyn Smith cross-section



Default model in GENIE



*R. Smith and E. Moniz, Nucl.Phys. B43, 605 (1972); Bodek, S. Avvakumov, R. Bradford, and H. S. Budd, J.Phys.Conf.Ser. 110, 082004 (2008)*