



UPPSALA  
UNIVERSITET



# Hardware tracking for the ATLAS trigger at the High Luminosity LHC and BSM searches with tau leptons in the final state

Mikael Mårtensson

Supervisors: Richard Brenner, Arnaud Ferrari, and Elin Bergeås Kuutmann

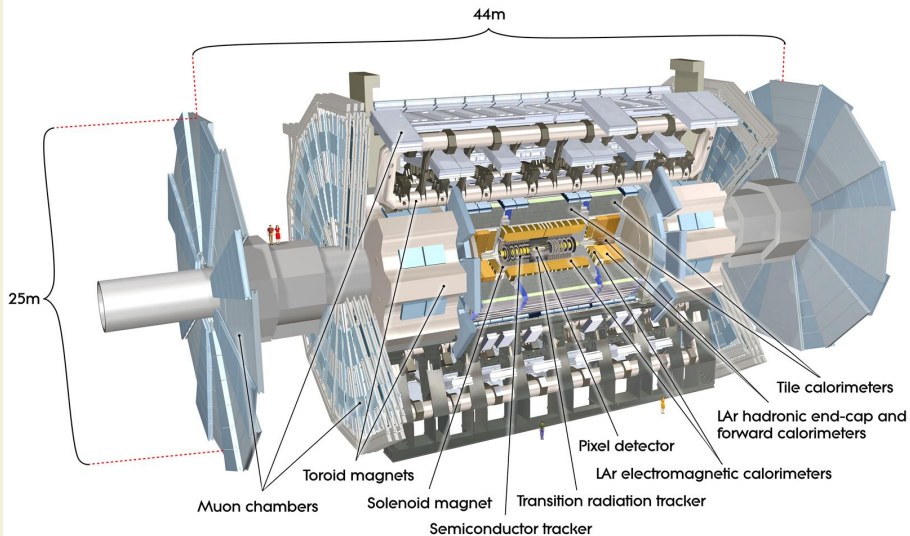
2017-09-28

- Introduction to the ATLAS experiment
- Part 1: BSM with tau leptons in the final state
  - $LQ3LQ3 \rightarrow b\tau b\tau \rightarrow b\tau_{had}b\tau_{had}$
- Part 2: Hardware tracking for the ATLAS trigger at the HL-LHC
  - The High-Luminosity LHC
  - The ATLAS trigger at the HL-LHC
  - Hardware tracking for the trigger (HTT)
  - Hough transform FPGA implementation
  - Simulation study: Comparison of pattern matching using Associative Memory and the Hough transform

# The ATLAS experiment

- Located at the Large Hadron Collider (LHC), CERN, Geneva.
- General-purpose particle physics experiment.
- Using mainly proton-proton collisions to study the fundamental forces and the structure of matter:
  - Studies the properties of the Standard Model.
  - Searches for physics beyond.

# The ATLAS detector

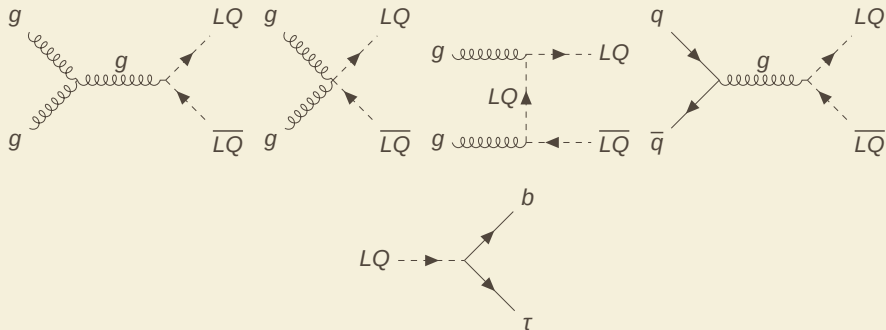


# Part 1

BSM searches with tau leptons in the final state

- 3rd gen leptoquark:  $LQLQ \rightarrow b\tau b\tau$

# Theory and motivation



- 3rd generation leptoquark, linking lepton and quark sectors.
- Several extensions of the standard model including technicolor, Pati-Salam, and  $SU(5)$  GUTs.
- $B \rightarrow \tau \nu$  and  $B \rightarrow D^{(*)} \tau \nu$  decay rates higher than expected from the Standard Model.
  - Provides potential explanation of  $3\sigma$  disagreement seen by BaBar, LHCb, and Belle.

# Analysis overview

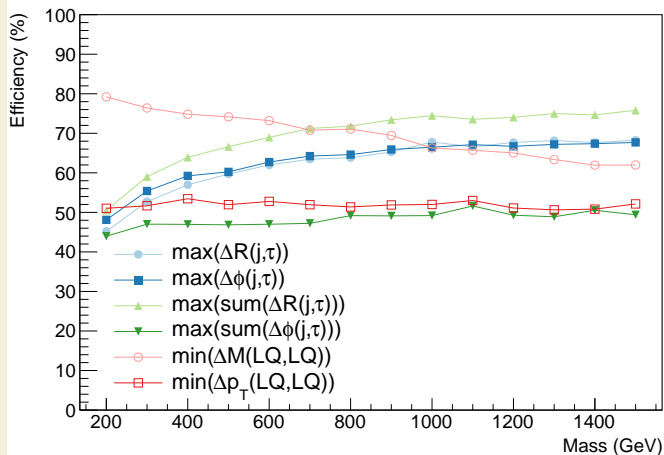
- Reworking the  $HH \rightarrow bb\tau\tau$  analysis.
  - Pedro and Petar from Uppsala are involved. (Pedro also in LQ3)
- Pair as  $LQ = b\tau$  instead of  $H = bb$  and  $H = \tau\tau$ .
- Preselection
  - Single-tau and di-tau triggers.
  - 2 medium taus of opposite sign and 2 or more central jets.
  - $p_T^\tau > 40, 30 \text{ GeV}$ ,  $p_T^j > 45, 20 \text{ GeV}$ , and  $p_T^{b_0} > 80 \text{ GeV}$
  - $m_{MMC} > 0 \text{ GeV}$
- I've been looking at strategies of pairing  $b\tau$  when the tau leptons decay hadronically.

# Pairing strategies

- Two ways of pairing jets and taus into LQs:
  - $(j_0\tau_0, j_1\tau_1)$  or  $(j_0\tau_1, j_1\tau_0)$
- I've looked at six pairing strategies:
  - 1 Maximize the sum of  $\Delta\phi$  between the jets and taus.
  - 2 Maximize the sum of  $\Delta R$  between the jets and taus.
    - $\Delta R = \sqrt{\Delta\phi^2 + \Delta\eta^2}$
  - 3 Maximize the  $\Delta\phi$  between one jet and one tau.
  - 4 Maximize the  $\Delta R$  between one jet and one tau.
  - 5 Minimize the mass-difference of the two LQs.
  - 6 Minimize the  $p_T$ -difference of the two LQs.



# Pairing efficiency



# Summary and outlook

- Summary
  - Search for 3rd generation leptoquarks decaying to  $b\tau$ .
  - I have looked at ways to reconstruct the leptoquark by pairing  $b$ -jets and  $\tau$  leptons.
  - Minimizing the mass difference is the best one for low mass.
- Outlook
  - Refine cuts, e.g.  $\tau$  lepton and jet  $p_T$ .
  - Move to multi-variate analysis (boosted decision trees).
  - Aiming for publication before Christmas.

# Part 2

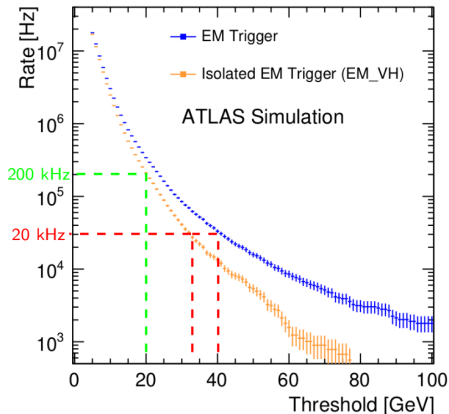
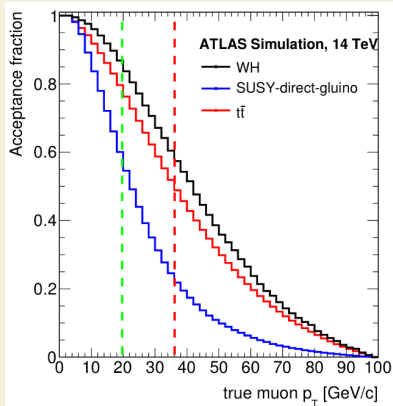
## Hardware tracking for the ATLAS trigger at the HL-LHC

- The High-Luminosity LHC
- The ATLAS trigger at the HL-LHC
- Hardware tracking for the trigger (HTT)
- Hough transform FPGA implementation
- Simulation study: Comparison of AM and Hough

# The High-Luminosity LHC

- An upgraded version of LHC scheduled to start operation in 2026.
- Physics goals include:
  - Studying the higgs boson, e.g. higgs self-coupling.
  - Studying the quark-gluon plasma.
  - Search for new forces and particles, e.g. Supersymmetry.
- Increase the rate of proton-proton collisions.
- Luminosity increased to  $7.5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ , a factor of 5-7 compared to the LHC baseline design.

# Trigger requirement

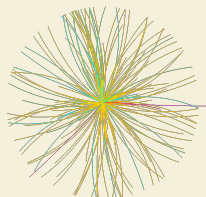


=> If we are forced to increase the trigger  $p_T$  threshold to lower the rate, we will not benefit from the higher luminosity!

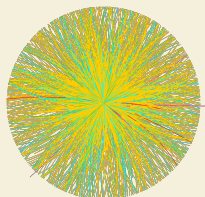
Further reading: [LHCC-I-023](#), [LoI for the Phase-II Upgrade](#)

# ATLAS at the HL-LHC

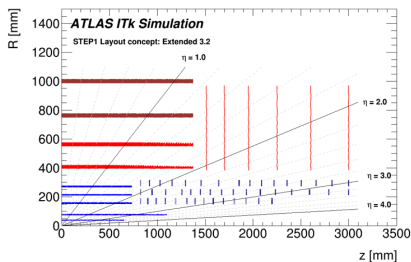
- ATLAS needs to maintain a low trigger  $p_T$  threshold to not lose acceptance for interesting processes.
- Introduce fast regional Hardware Tracking for the Trigger (rHTT) with near-offline resolution.
- Using the strip and pixel detectors of the Inner Tracker (ITk).



pile-up 23

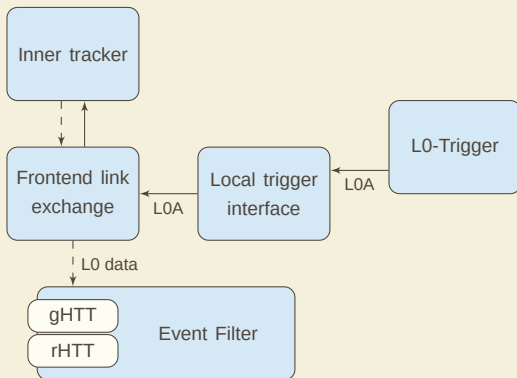


pile-up 200



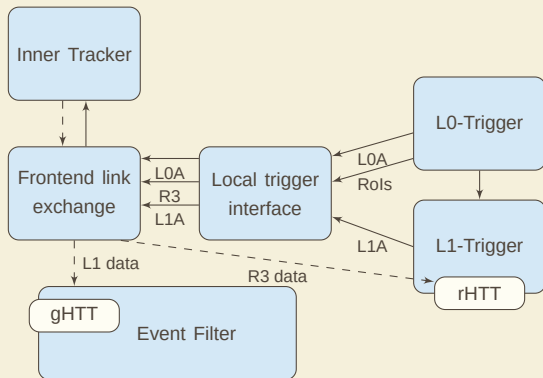
# Baseline trigger architecture

- Single-level L0-only mode.
- L0-trigger: muon chamber and EM calorimeter.
- Full detector readout at 1 MHz (max).
- HTT runs as part of the Event Filter.



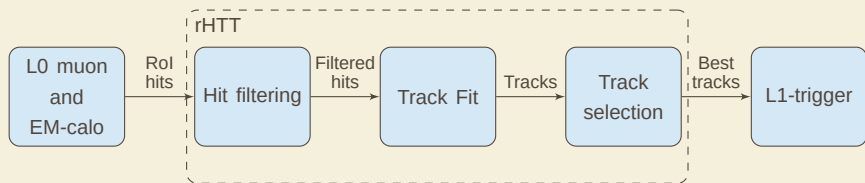
# Evolved trigger architecture

- L0A initiates data storage in front-end ASICs.
- 2-4 MHz L0A.
- R3: regional readout request (10 % of ITk volume).
- L1A initiates readout of strips + outer pixels.
- L1 rate = 600–800 kHz (L1A) + 400–200 kHz (10 % of L0A).





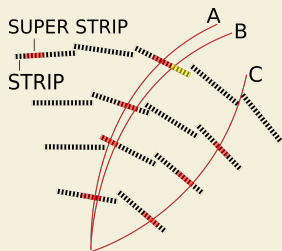
# rHTT overview



- Using 8 detector layers of which 1 or 2 are outer pixel layers.
- Rols of  $\Delta\eta = 0.2$  by  $\Delta\phi = 0.2$ .
- Hit filtering in Associative Memory (AM) chips.
  - Alternatively in Field-Programmable Gate Arrays (FPGAs) using the Hough transform.
  - AM requires custom made ASICs while the Hough transform can be implemented in commercial FPGAs.
- Track fitting in FPGA.

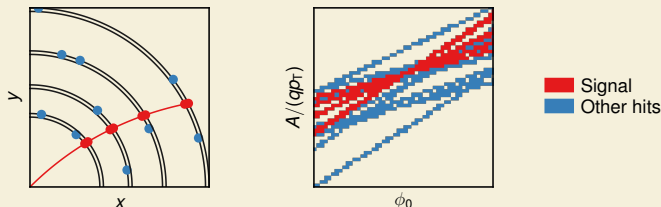
# AM pattern matching

- AM chips match input data to pre-defined patterns.
- Pixels and strips are combined to coarser-resolution *super-strips*.
- AMs containing patterns of super-strip hits from simulated tracks.
- 1M patterns per RoI.
- *Don't care bits* and *wildcard layers* can combine similar patterns and account for missing hits.
- Patterns trained using 30M simulated tracks from muons:
  - $1, 2, \text{ or } 4 < p_T < 400 \text{ GeV}$ .
  - $|d_0| < 2 \text{ mm}$ .
  - Flat in  $1/p_T, \eta, \phi, z_0,$  and  $d_0$ .
- Hits are associated to matched patterns in an external FPGA.

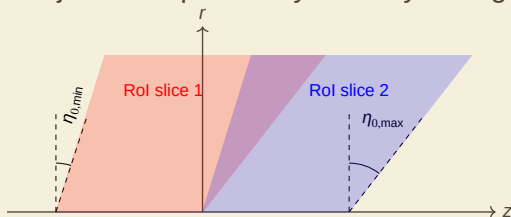


# Hough transform

- Alternative to pattern matching using AM. Parallel study.
- Parametrize curves and “accumulate” possible track parameters for all hits:  $\frac{qA}{p_T} = \frac{\phi_0 - \varphi}{r}$ .



- Background rejection improved by 70% by slicing up in  $z_0$ .



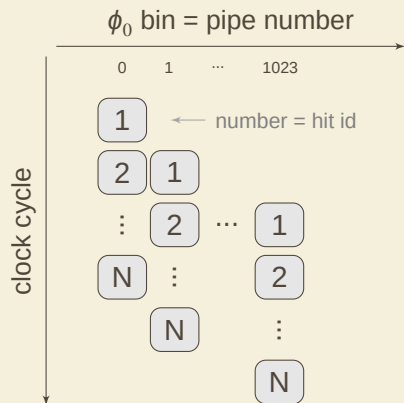
# Hough transform in FPGA

- Implemented the Hough transform in an FPGA using OpenCL.
- Using a Terasic DE1-SoC board with a Cyclone V SoC.
- *Host* code running on on-chip arm32 processor.
  - Inputs hit coordinates to the FPGA.
  - Gets track parameters from the FGPA.
- FPGA *kernel* performs the Hough transform.
- The accumulator is a 2D histogram.
- This study:
  - 32 bins in  $(qA)/p_T$ .
  - 1 to 1024 bins in  $\phi_0$ .
- Bounds:  $p_T > 4 \text{ GeV}$ ,  $0.2 < \phi_0 < 0.5$ .
- Each bin consists of 8-bits, 1 bit for each detector layer.

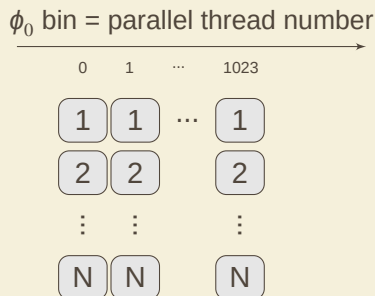
# Piped vs. parallel kernel

- Cannot fit a fully parallel kernel when reading in all hit coordinates to local memory. Can I pipe it instead to study performance?

## Piped kernel

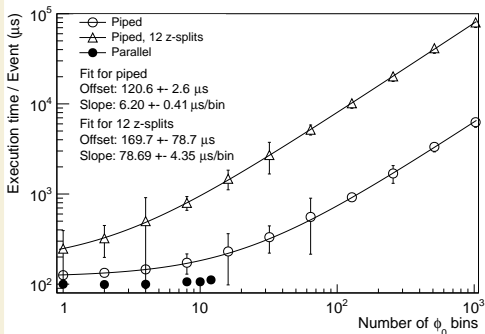


## Parallel kernel



# Execution time

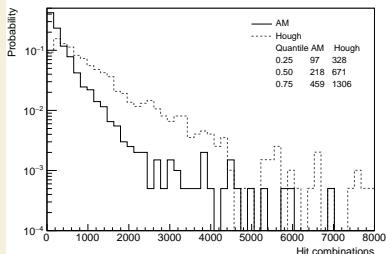
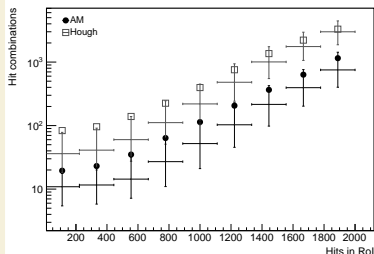
- Single muons embedded in 200 minimum bias events.
- Piped version (with 12 splits in  $z_0$ ):
  - Execution time increases linearly with number of bins in  $\phi_0$ .
  - 120  $\mu\text{s}$  (170  $\mu\text{s}$ ) overhead. Read/write from/to global memory?
  - 6.2  $\mu\text{s}/\text{bin}_{\phi_0}$  (78.7  $\mu\text{s}/\text{bin} \approx 6.5 \mu\text{s}/\text{bin}_{\phi_0} \times 12 \text{ bin}_{z_0}$ ). This is the actual computation.
  - Some large error bars due to 1-2 events taking a long time. FPGA hiccups?
- Parallel version:
  - Cannot fit more than 12 parallel bins in  $\phi_0$  in this small FPGA.
  - Almost flat execution time of 100  $\mu\text{s}$ .
  - Local memory replicated 4 times => read/write clashes gives 6  $\mu\text{s}$  steps.



# Simulation comparing AM and Hough

- Single muons generated in Geant4:
  - $p_T > 4 \text{ GeV}$ ,  $|z_0| < 150 \text{ mm}$ , and  $|d_0| < 2 \text{ mm}$ .
  - Uniform distributions in  $1/p_T$ ,  $\eta_0$ ,  $\phi_0$ , and  $d_0$ ; gaussian in  $z_0$ .
- Minimum bias generated with Pythia8 using `SoftQCD:inelastic` at 14 TeV.
- Detector simulation in Geant4 with the `FTEP_BERT` physics list.
- “Digitization” implemented in C++:
  - Overlay single muon events with 0, 40, 70, 110, 140, 170, 200, 230, and 260 minimum bias events.
  - The total energy deposited in each pixel/strip is calculated and a threshold corresponding to 1 fC is applied.
  - Clusters with 4 or more connected strips/pixels in  $\phi$  are rejected.
  - If an event has muon hits outside the RoI, it is rejected.
- We are using the outer pixel layer and 7 strip layers.

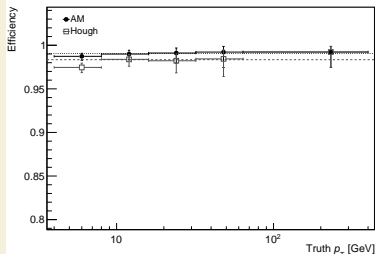
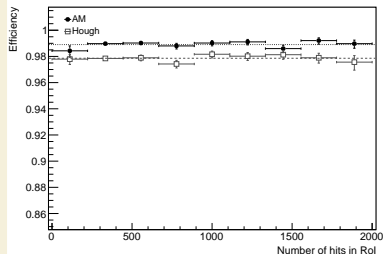
# Number of hit combinations



- Combinations:  $\sum_g \left( \prod_{l=1}^8 n_{g,l} \right)$ ;  $n_{g,l}$  is the number of hits in group/pattern  $g$ , layer  $l$ .
- Right plot shows hit combinations at pile-up 200.
- Left plot shows hit combinations as a function of #hits in the RoI:
  - Circles/squares show the mean. The crosses show the [0.25, 0.50, 0.75] quantiles.
  - The number of hit combinations grow similarly for both methods.
- Hough has more hit combinations than AM.



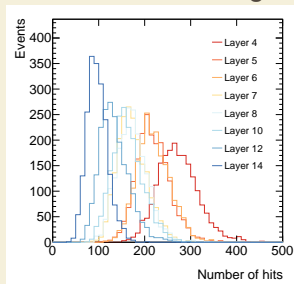
# Muon track finding efficiency



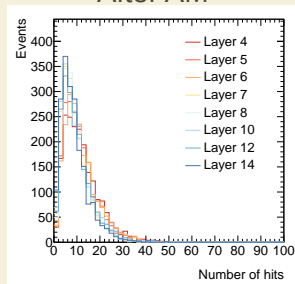
- A muon is considered found if 6 or more hits from the primary muon is found.
- Left plot shows efficiency vs. number of hits, right shows vs.  $p_T$  at PU 200.
- The Hough transform is tuned to provide similar efficiency as AM.
- Flat in efficiency vs. number of hits in the RoI.
- Hough slightly worse at low  $p_T$ .

# Hits per layer

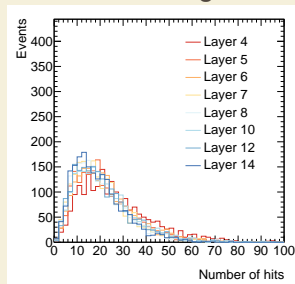
Before hit filtering



After AM

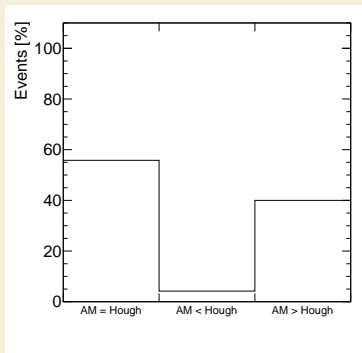


After Hough



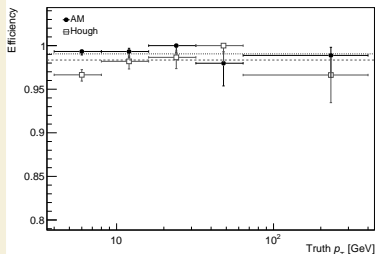
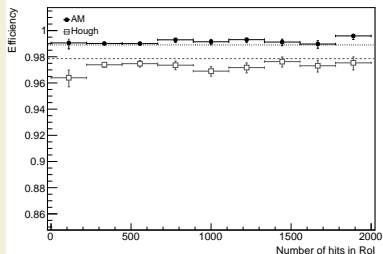
- Shown for single muons overlaid by 200 minimum bias events.
- None of the methods show bias towards any particular layer.

# Primary muon hits found



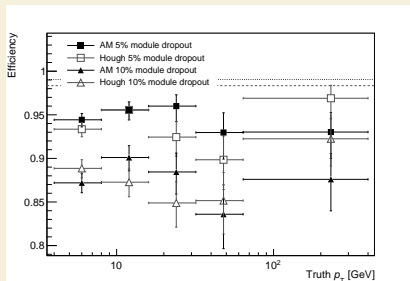
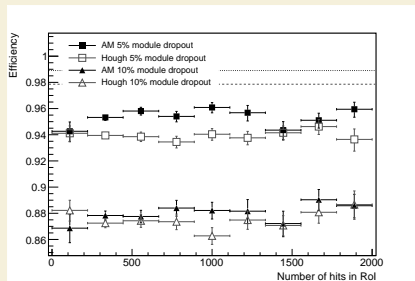
- Shown for single muons overlayed by 200 minimum bias events.
- The AM pattern matching finds more of the primary muon hits than the Hough transform in 40% of the events.
- The opposite is true in only 5% of the events.

# Result when adding addition material



- 50 % more service material.
- The dotted (dashed) line shows the average of the nominal AM (Hough) result.
- The AM methods shows a small overall increase while the Hough transform shows an overall decrease.
- Hough shows a small decrease at low  $p_T$ , while AM has an increase.
- However: note the low statistics!

# Results with module inefficiency



- Randomly turning of 5 % and 10 % of the modules.
- The dotted (dashed) line shows the average of the nominal AM (Hough) result.
- Dropping 5 % (10 %) reduces the efficiency to approximately 95 % (87 %).
- However: note the low statistics!

# Summary and outlook

- Summary

- The HL-LHC will increase the luminosity by a factor of 5-7 compared to the current run.
- ATLAS will introduce regional hardware tracking for the trigger to help maintain a low trigger  $p_T$  threshold.
- First stage of the HTT is hit filtering using AM or Hough.
- Hough transform implemented in FPGA using OpenCL
  - Execution time on the order of 6  $\mu$ s.
- Simulation study comparing AM pattern matching and Hough transform
  - AM pattern matching overall better.
  - The Hough transform can be implemented in commercially available FPGAs.

- Outlook

- Article comparing AM and Hough submitted to JINST
- Hardware demonstrator

# Thank you!

- Publications on track trigger work:
  - Proceeding for Vertex 2016 (poster): [PoS\(Vertex 2016\)069](#)
  - Proceeding for CTD/WIT 2017 (talk): [EPJ Web of Conferences 150, 00008 \(2017\)](#)
  - Article submitted to JINST: [arXiv:1709.01034](#)

Questions?