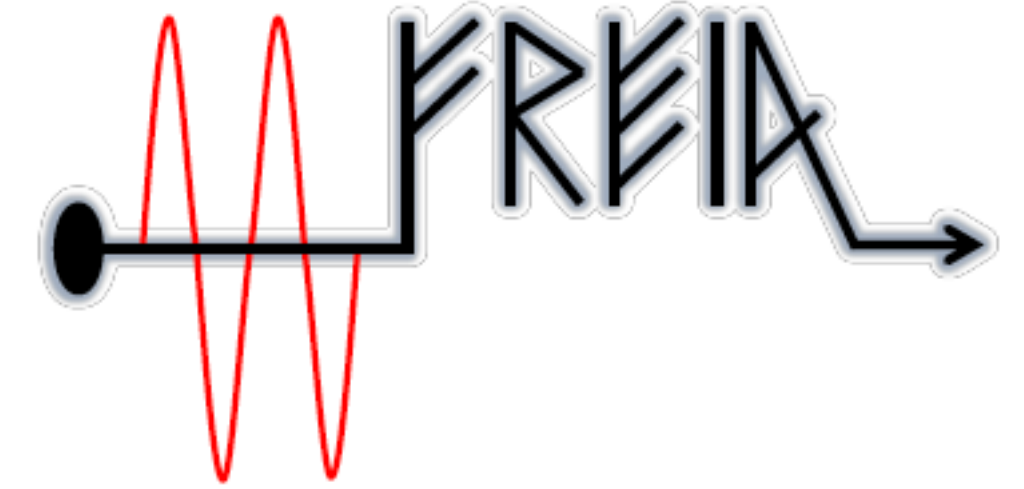




FACULTY OF MATHEMATICS,  
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Comenius University  
Bratislava



UPPSALA  
UNIVERSITET



# Dynes Superconductors Theory Cornerstones

The effect of disorder in real-life superconductive samples experiments

**František Herman**

# Dynes Superconductor

## Original motivation

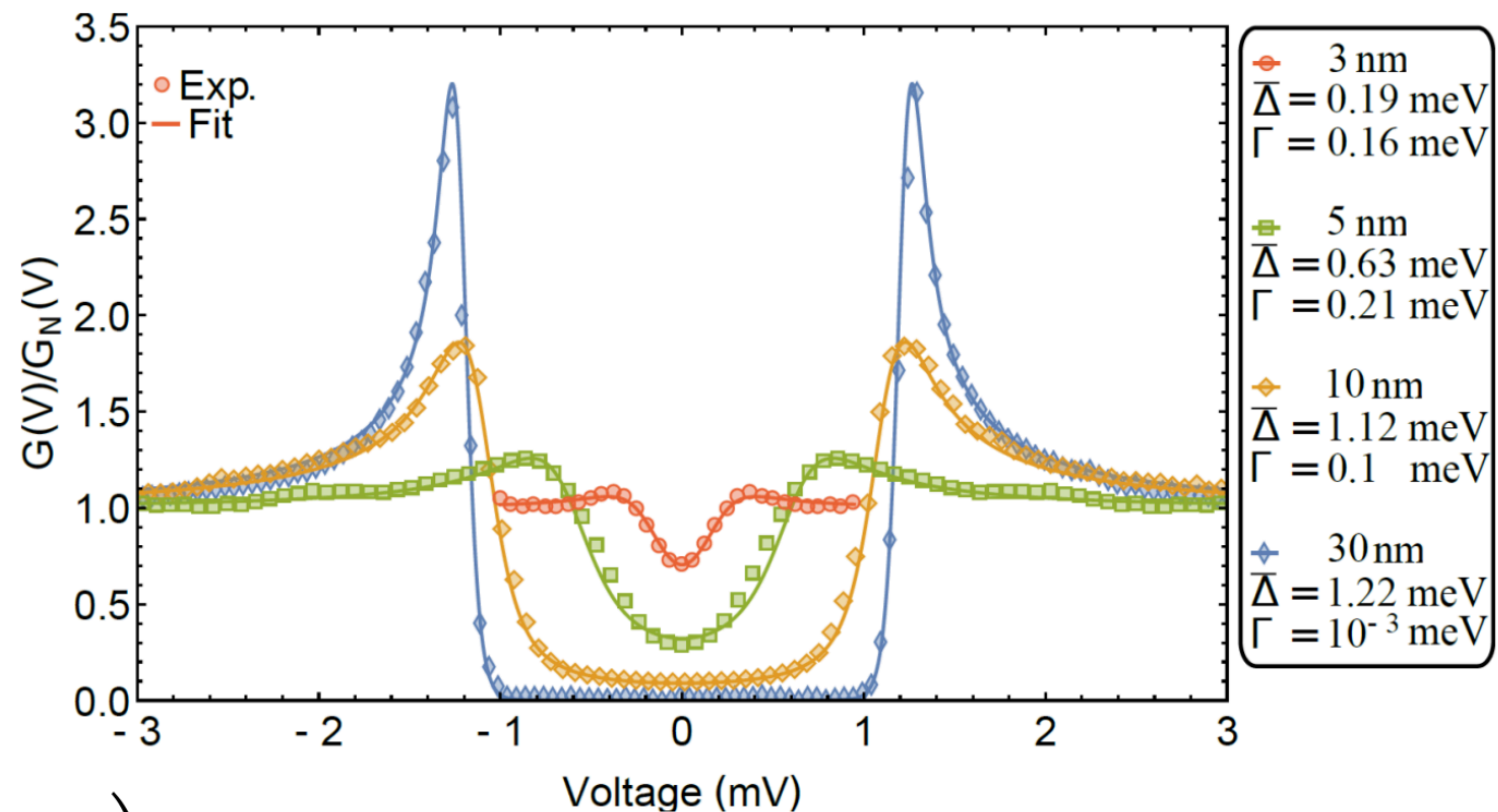
→ Fits to Dynes formula

→  $\bar{\Delta} \gtrsim \Gamma$

→  $\Gamma$  does not vanish at low temperature  
(elastic processes)

→ frequently observed (generic mechanism)

Tunneling conductance of MoC films,  $T \approx 0.5\text{K}$



$$N(\omega) = N_0 \text{Re} \left( \frac{\omega + i\Gamma}{\sqrt{(\omega + i\Gamma)^2 - \Delta^2}} \right)$$

Szabó *et al.*, PRB **93**, 014505 (2016)

# Green Function method

In the superconductive state

$$G_R(\mathbf{k}, t - t') = -i\langle\{c_{\mathbf{k}}(t)c_{\mathbf{k}}^+(t')\}\rangle\Theta(t - t')$$

$$\langle X \rangle = Tr \left( X \frac{e^{-H/T}}{Z} \right)$$

$$G(k, \omega_n) = \frac{1}{i\omega_n - \varepsilon_{\mathbf{k}}}$$

$$G(\mathbf{k}, \tau) = \begin{pmatrix} -\langle T c_{\mathbf{k}\uparrow}(\tau) c_{\mathbf{k}\uparrow}^\dagger \rangle & -\langle T c_{\mathbf{k}\uparrow}(\tau) c_{-\mathbf{k}\downarrow} \rangle \\ -\langle T c_{-\mathbf{k}\downarrow}^\dagger(\tau) c_{\mathbf{k}\uparrow}^\dagger \rangle & -\langle T c_{-\mathbf{k}\downarrow}^\dagger(\tau) c_{-\mathbf{k}\downarrow} \rangle \end{pmatrix}$$



# Green Function method

In the superconductive state

$$G_R(\mathbf{k}, t - t') = -i\langle\{c_{\mathbf{k}}(t)c_{\mathbf{k}}^{\dagger}(t')\}\rangle\Theta(t - t')$$

$$\langle X \rangle = Tr \left( X \frac{e^{-H/T}}{Z} \right)$$

$$G(k, \omega_n) = \frac{1}{i\omega_n - \varepsilon_{\mathbf{k}}}$$

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- Main object: *Nambu-Gorkov averaged Green's function*  $\hat{G}_M$ , defined by:  $\hat{G}_M^{-1} = \hat{G}_0^{-1} - \hat{\Sigma}$ .

- i)  $\hat{G}_0^{-1}(\mathbf{k}, \omega_n) = i\omega_n\tau_0 - \varepsilon_{\mathbf{k}}\tau_3$ : the bare Green's function.

$\omega_n$ : Matsubara frequencies,  $\tau_i$ : Pauli matrices.

- ii)  $\hat{\Sigma}_n = i\omega_n(1 - Z_n)\tau_0 + Z_n\Delta_n\tau_1$ : *Self-energy* generated by disorder and pairing interactions. Functions  $\Delta_n$  and  $Z_n$  contain complete information about the properties of the considered superconductor.

# Coherent Potential Approximation

Soven, Velický et. al., Weinkauff and Zittart 75

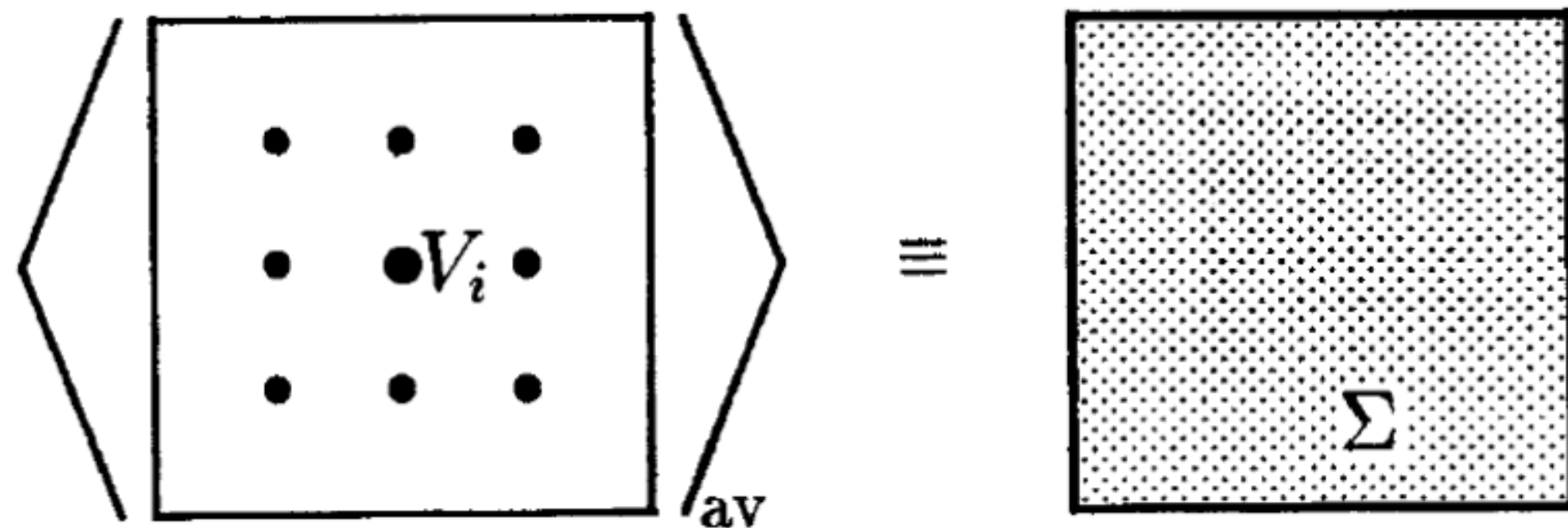
*T- matrix approx. (perturbative approach  $\rightarrow$  Feynman diagrams):*

$$\Sigma = \text{cloud} + \langle \text{triangle with } \times \rangle$$

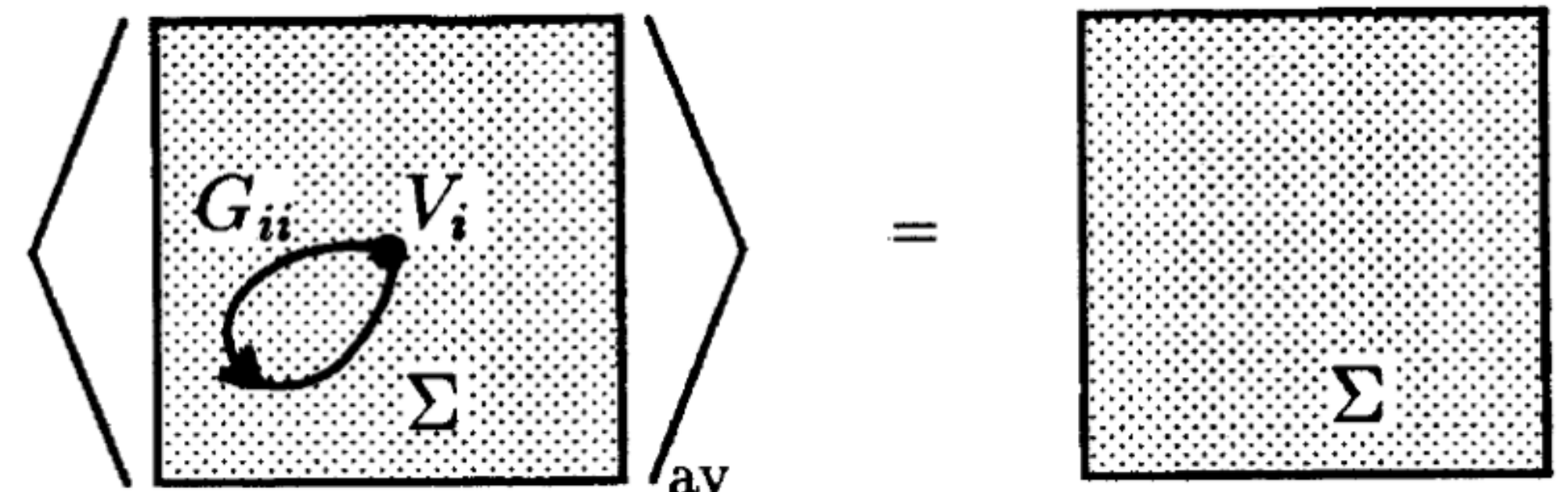
$$\text{triangle with } \times = \text{triangle with } \times + \text{triangle with } \times + \dots + \text{triangle with } \times^{2n} + \dots$$

*CPA (**non**perturbative approach  $\rightarrow$  self-consistent theory):*

(a)



(b)



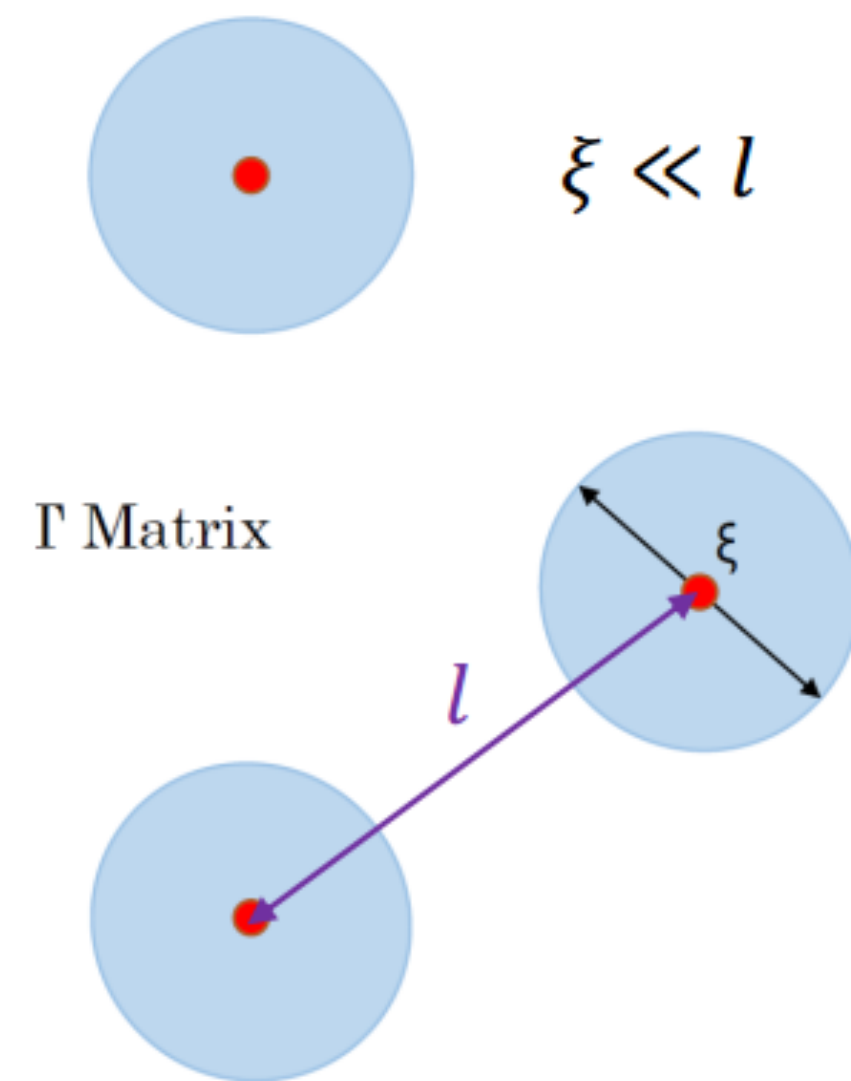
$$\hat{\mathcal{G}}_n = \frac{1}{\mathcal{N}} \sum_{\mathbf{k}} \hat{G}(n\mathbf{k}), \quad \hat{\mathcal{G}}_n = \left\langle \left( \hat{\mathcal{G}}_n^{-1} - \hat{V} + \hat{\Sigma}_n \right)^{-1} \right\rangle$$



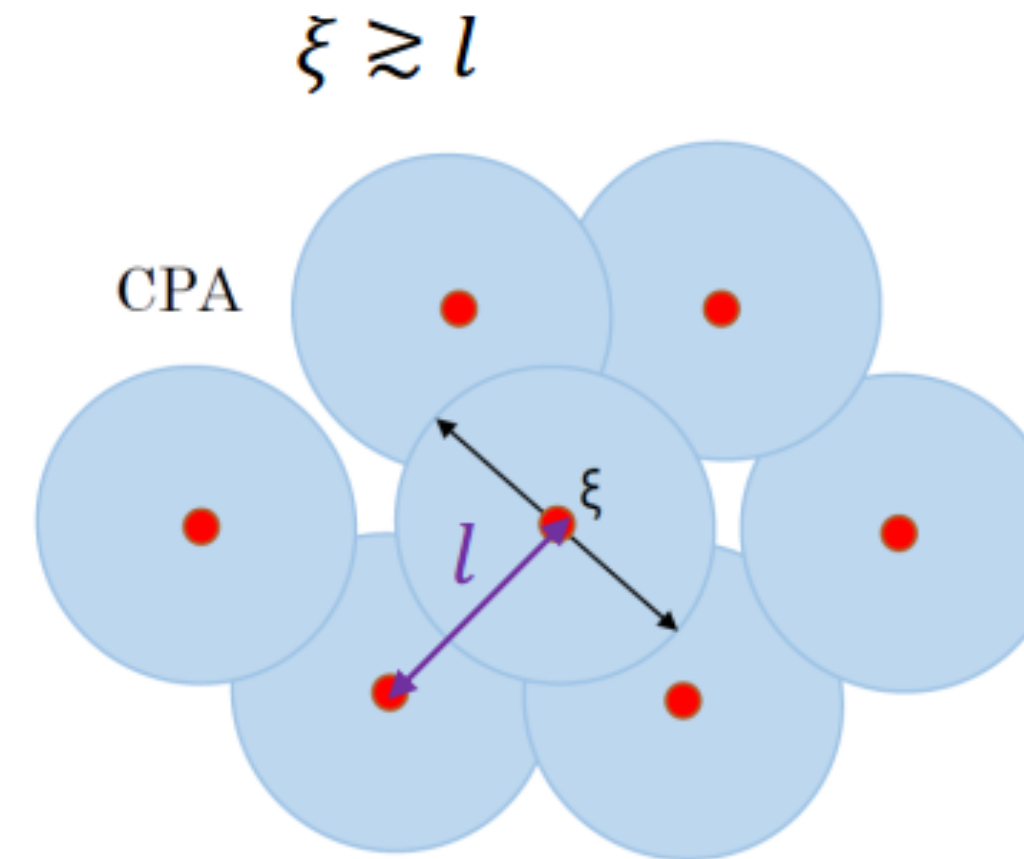
# TMA vs. CPA

$\xi$ : superconducting coherence length

$l$ : characteristic distance between impurities



- TMA (dilute gas of impurities)
- bound state within the gap
- spatially fluctuating  $N(\omega)$



- CPA (dense gas of impurities)
- overlapping bound states within the gap
- multisite scattering considered
- homogeneous  $N(\omega)$  (experimentally required)

# Green function + CPA

- Main object: *Nambu-Gorkov averaged Green's function*

$\hat{G}_M$ , defined by:  $\hat{G}_M^{-1} = \hat{G}_0^{-1} - \hat{\Sigma}$ .

- i)  $\hat{G}_0^{-1}(\mathbf{k}, \omega_n) = i\omega_n\tau_0 - \varepsilon_{\mathbf{k}}\tau_3$ : the bare Green's function.

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- ii)  $\hat{\Sigma}_n = i\omega_n(1 - Z_n)\tau_0 + Z_n\Delta_n\tau_1$ : *Self-energy* generated by disorder and pairing interactions. Functions  $\Delta_n$  and  $Z_n$  contain complete information about the properties of the considered superconductor.

- CPA equations:

$$\hat{\mathcal{G}}_n = \left\langle \left( \hat{\mathcal{G}}_n^{-1} - \hat{V} + \hat{\Sigma}_n \right)^{-1} \right\rangle$$

*Impurity potential:*  $\hat{V} = \bar{\Delta}\tau_1 + U\tau_3 + V\tau_0$ .

The index *ii* denotes the diagonal component (in coordinate space) of a matrix and

$$\langle f(U, V) \rangle = \int dU \int dV P_s(U) P_m(V) f(U, V).$$

# Dynes Superconductor Model

- Hamiltonian:

$$H = H_0 + \sum_i \bar{\Delta} (c_{i\downarrow} c_{i\uparrow} + h.c.) + \sum_{i,\sigma} (U_i c_{i\sigma}^\dagger c_{i\sigma} + V_i \sigma c_{i\sigma}^\dagger c_{i\sigma})$$

$H_0$ : free electrons.

$\bar{\Delta}$ : spatially homogeneous pairing interaction.

$U$ : pair-conserving fluctuating field.

$V$ : pair-breaking fluctuating field with fixed polarization in spin space.

- $P_s(U)$  and  $P_m(V)$ : Uncorrelated and even distributions of potential ( $U$ ) and magnetic ( $V$ ) impurities.



# Dynes Superconductor

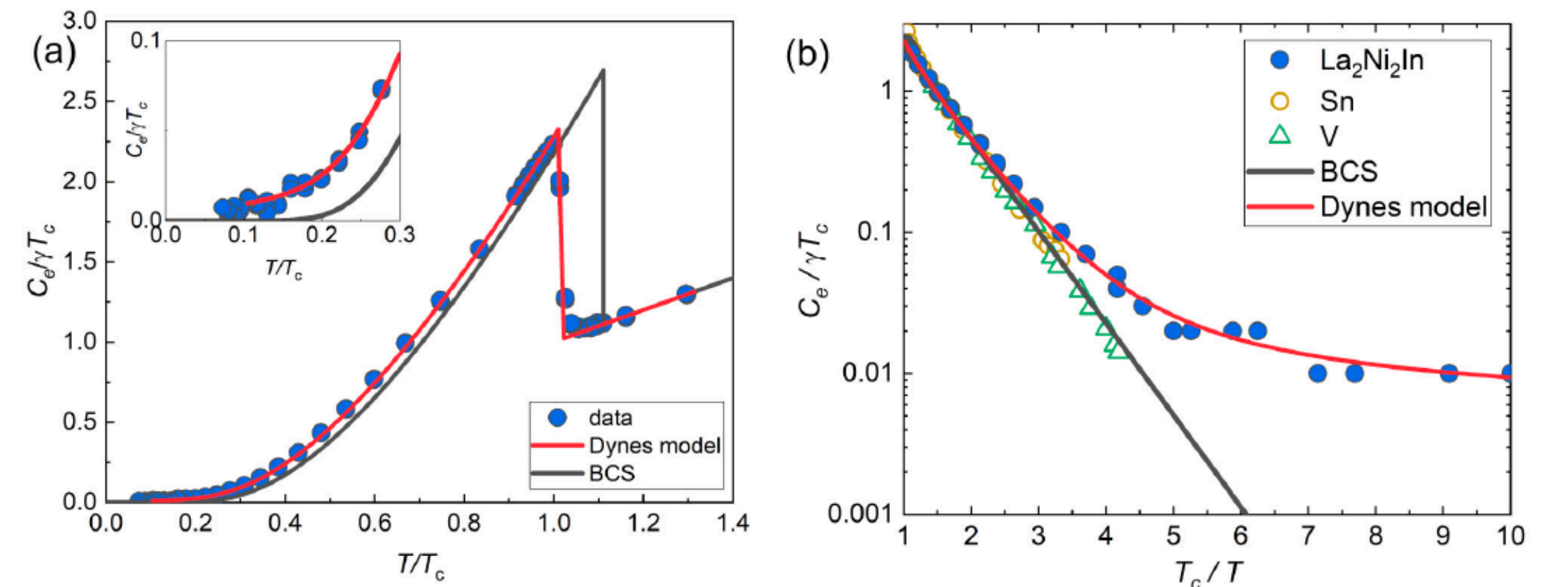
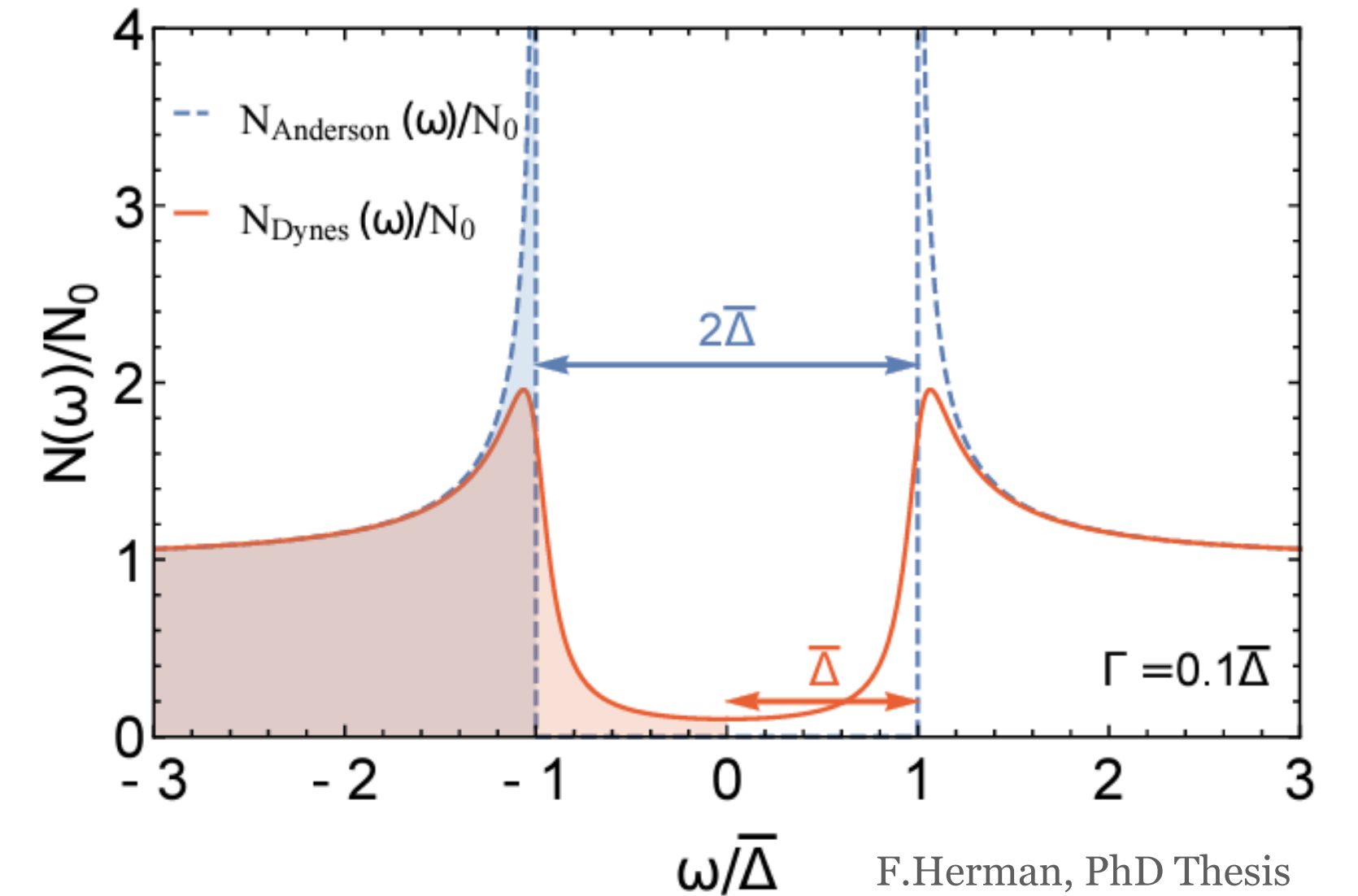
## From the bullet train

- Generalization of the BCS superconductor including pair-breaking and pair-conserving scattering processes (smearing of all undesired infinities)
- Mathematical formulation using Green function method:

$$\hat{G}(\mathbf{k}, \omega) = \frac{1}{2} \delta \ln [\epsilon_{\mathbf{k}}^2 - \epsilon(\omega)^2],$$

$$\delta = \tau_0 \partial_{\omega} - \tau_1 \partial_{\Delta} - \tau_3 \partial_{\epsilon_{\mathbf{k}}},$$

$$\epsilon(\omega) = \sqrt{(\omega + i\Gamma)^2 - \Delta^2} + i\Gamma_s.$$



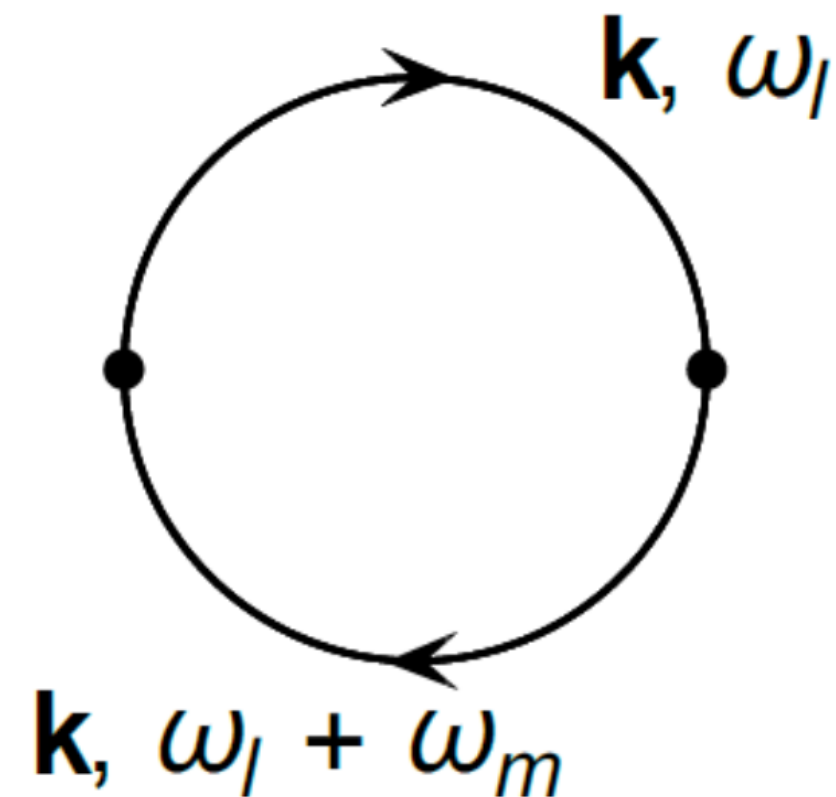
# Dynes Superconductor

## Electromagnetic properties and optical conductivity

Electromagnetic properties of impure superconductors with pair-breaking processes

František Herman and Richard Hlubina

Phys. Rev. B **96**, 014509 – Published 12 July 2017



$$\sigma(\omega) = \frac{i}{\omega + i0^+} K(\omega),$$

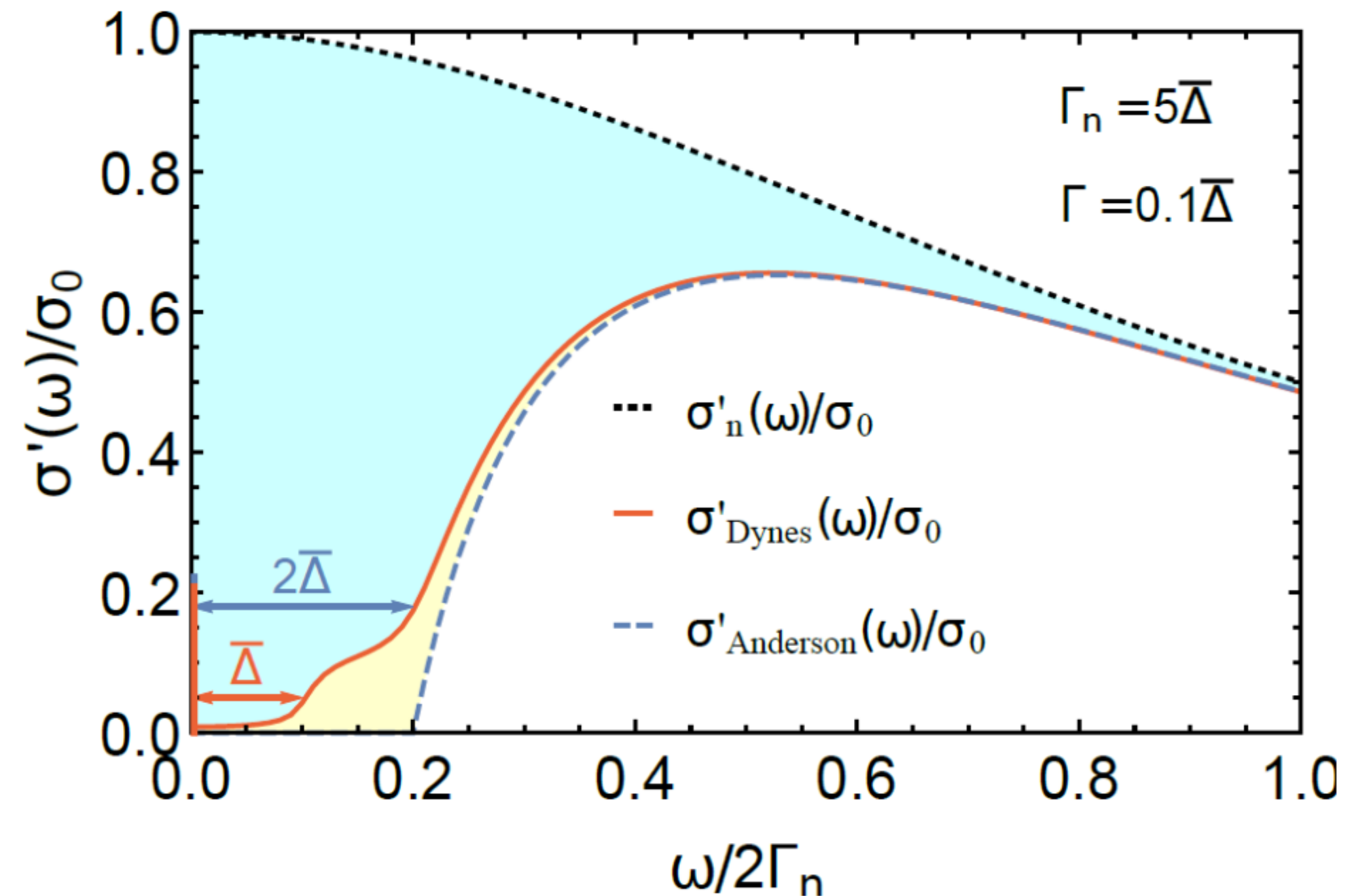
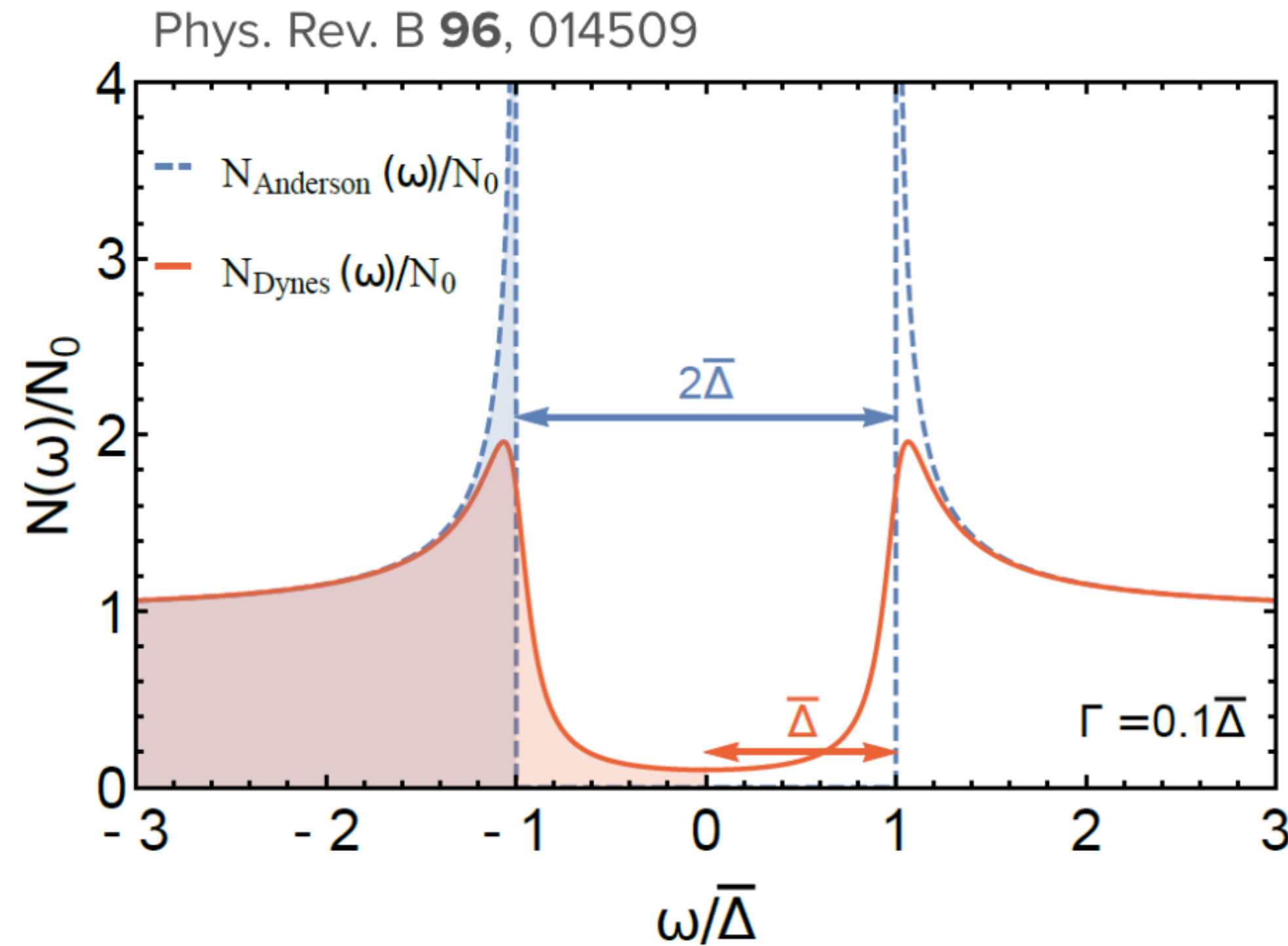
$$K(\omega_m) = D_0 + \frac{e^2 v_F^2}{3} \int \frac{d^3 \mathbf{k}}{(2\pi)^3} T \sum_{\omega_l} \text{Tr} \left[ \hat{G}(\mathbf{k}, \omega_l + \omega_m) \hat{G}(\mathbf{k}, \omega_l) \right]$$

*diamagnetic part*

*paramagnetic part*

# Dynes Superconductor

## Electromagnetic properties and optical conductivity



→ Optical Conductivity:  $\sigma(\omega) = \pi D \delta(\omega) + \sigma_{\text{reg}}(\omega)$

→ Two absorption edges at  $\omega = \bar{\Delta}$  and  $\omega = 2\bar{\Delta}$

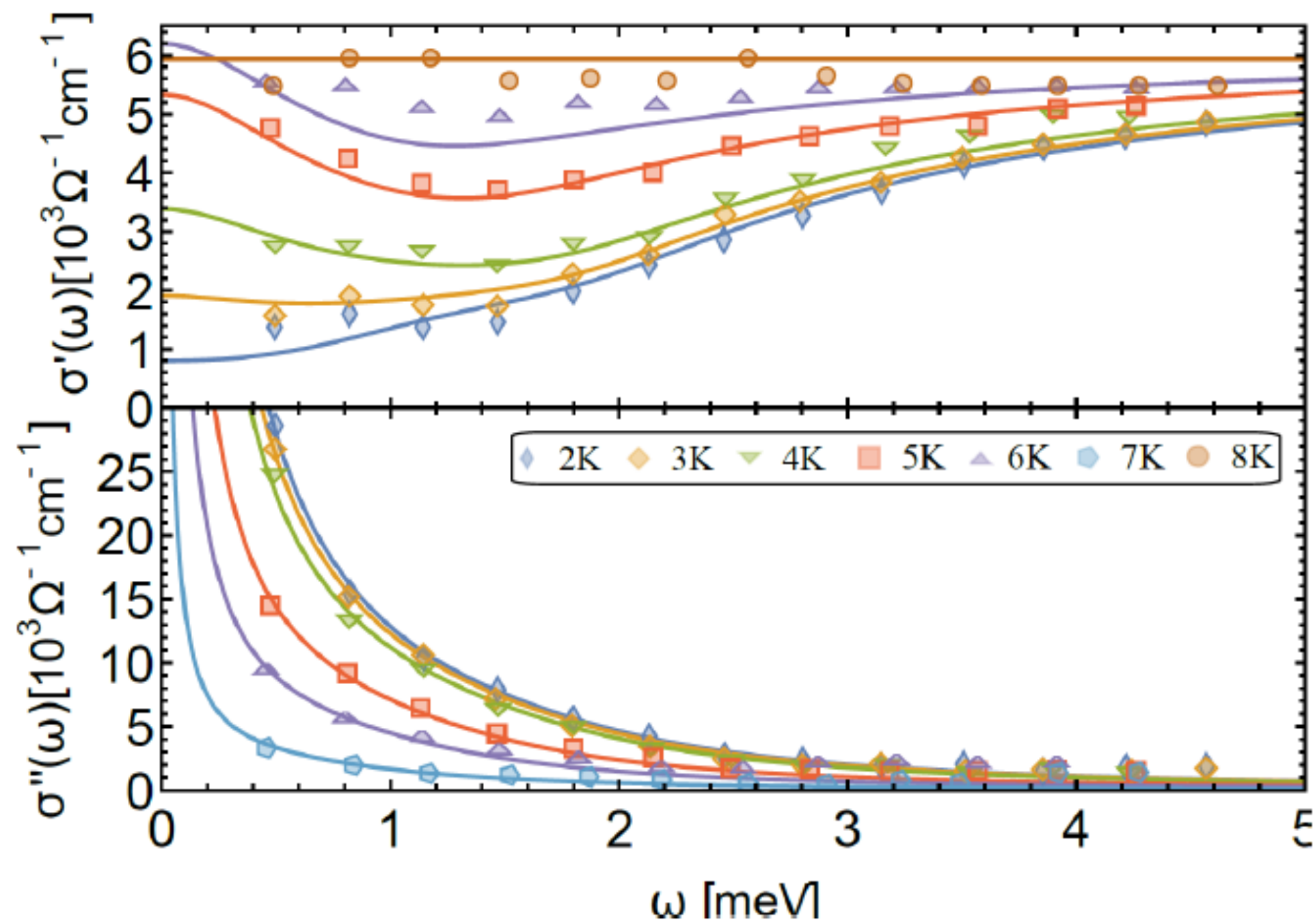
→ sum rule:  $\int_0^\infty d\omega \sigma'(\omega) = \pi/2$

→  $\sigma'_{\text{reg}}(\omega)$  finite down to  $\omega \rightarrow 0$  and  $T \rightarrow 0$



# Dynes Superconductor

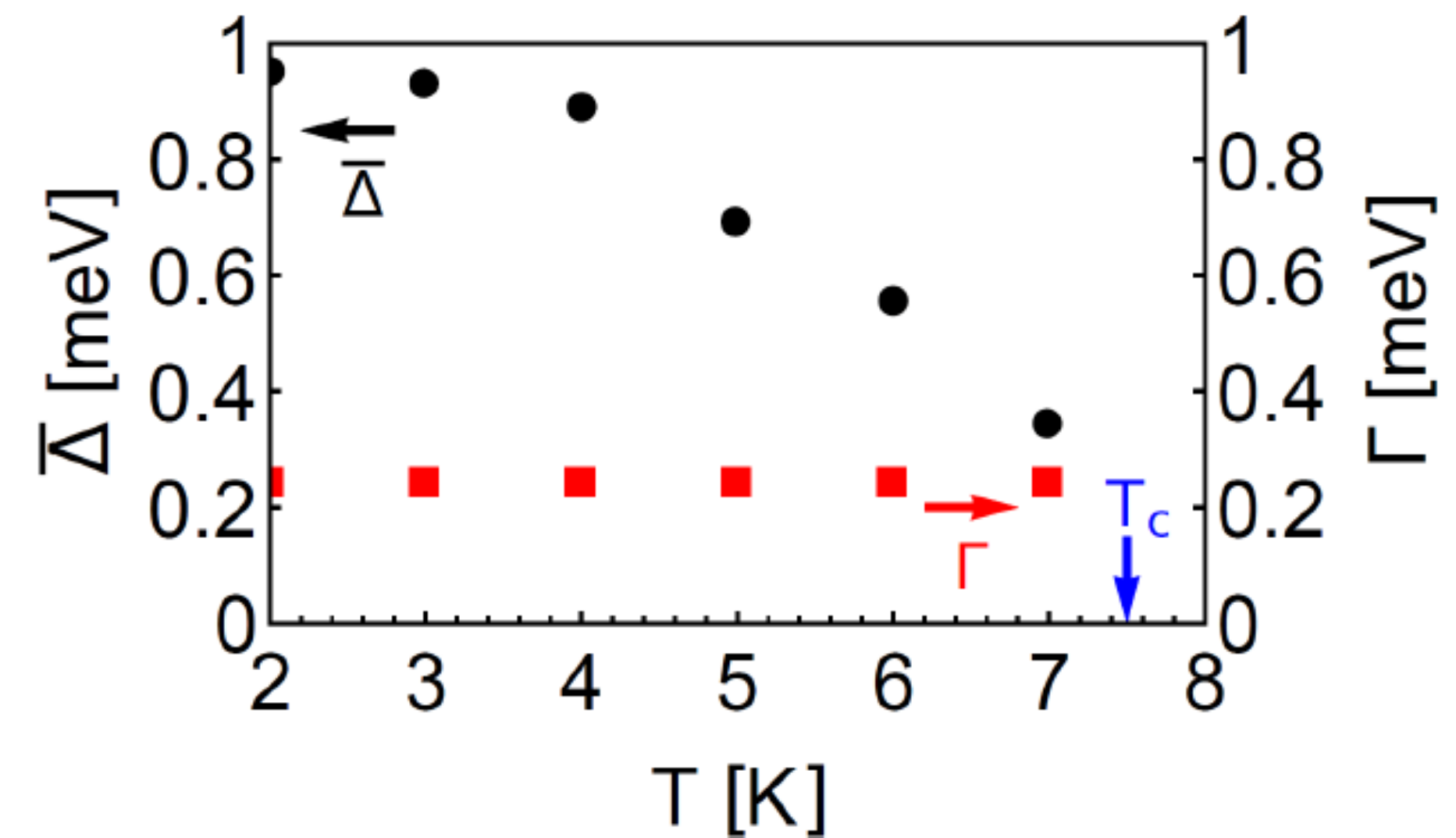
## Electromagnetic properties and optical conductivity



J. Simmendinger *et al.*, PRB **94**, 064506 (2016)

→  $T = 2\text{K}$ : 3 parameters  $\{\sigma_0, \bar{\Delta}(T), \Gamma\}$

→  $T > 2\text{K}$ : 1 parameter  $\{\bar{\Delta}(T)\}$



Phys. Rev. B **96**, 014509

# Dynes Superconductor

## Implications towards the superconductive cavities: **Coherence peak**

Microwave response of superconductors that obey local electrodynamics

František Herman and Richard Hlubina

Phys. Rev. B **104**, 094519 – Published 21 September 2021

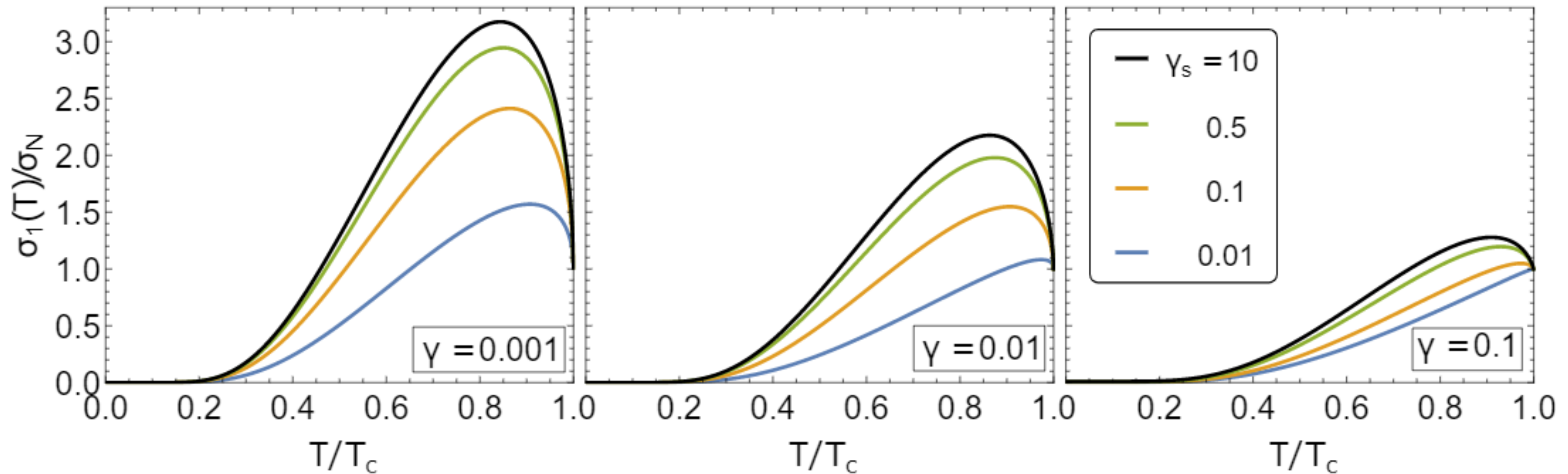


FIG. 1. Temperature dependence of the  $\omega \rightarrow 0$  limit of  $\sigma_1(T)/\sigma_N$  as a function of  $T/T_c$  for several values of  $\gamma$  and  $\gamma_s$ . Note that the same peak height can be reached for different combinations of  $\gamma$  and  $\gamma_s$ .



# Dynes Superconductor

## Implications towards the superconductive cavities: **Coherence peak**

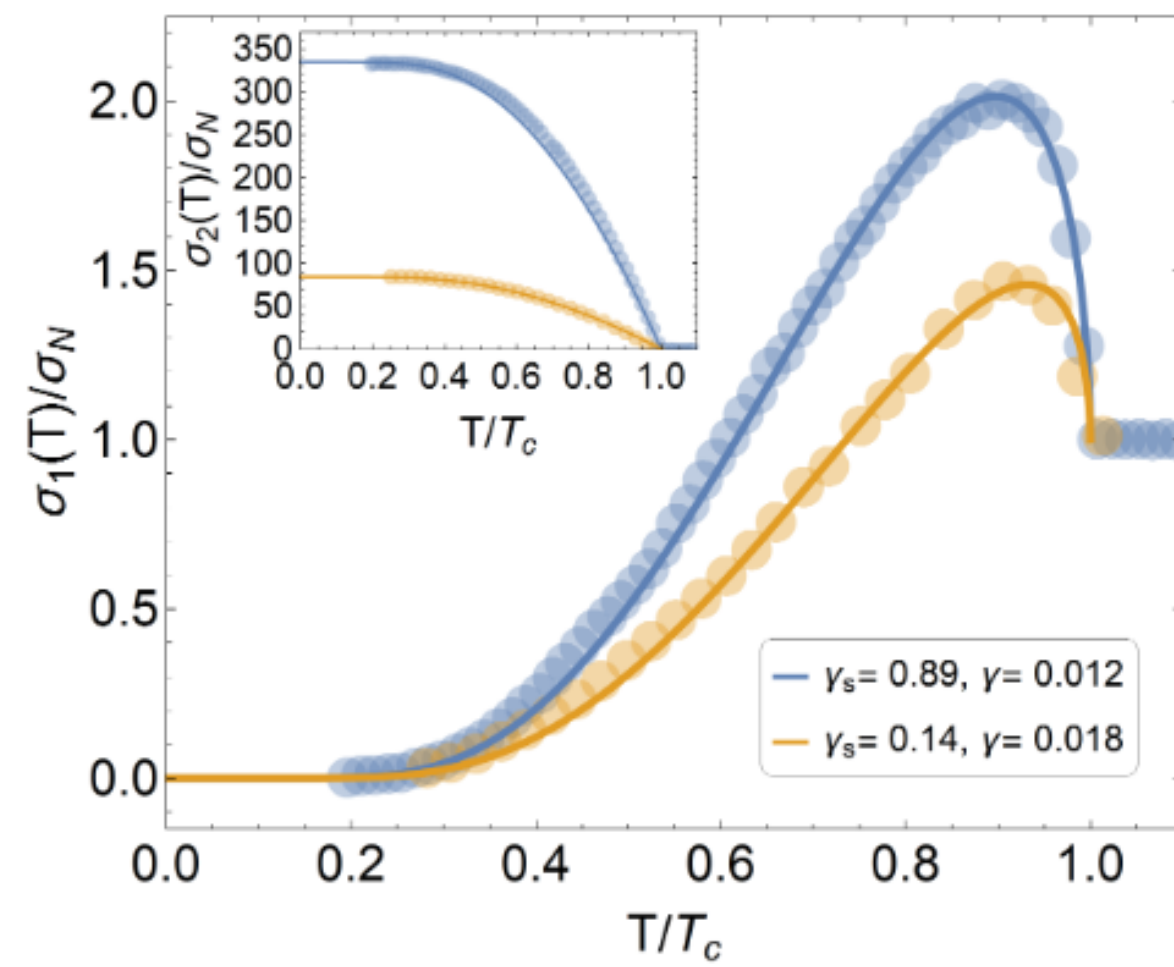


FIG. 9. Real and imaginary parts of the conductivities of the two samples from Fig. 4 in [13] (symbols), together with their fits by the theory of Dynes superconductors with the strong-coupling corrections described for both samples by  $x = 1.145$  (lines).

D. Bafia et al., ArXiv:2106.10601 (2021)

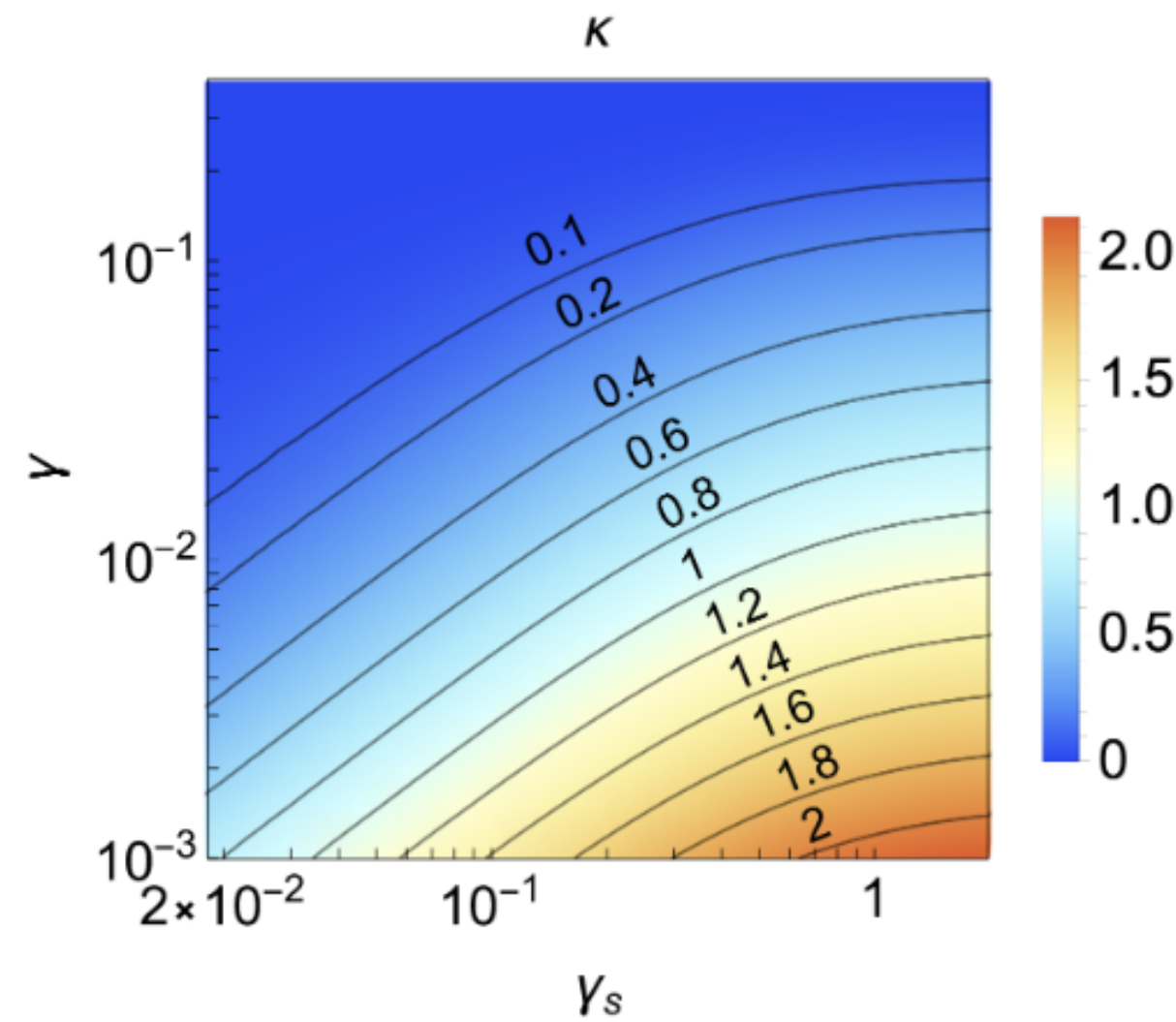


FIG. 2. Height of the coherence peak  $\kappa = \sigma_{1,\max}/\sigma_N - 1$  (magnitude indicated by the black labels) as a function of the dimensionless scattering rates  $\gamma_s$  and  $\gamma$ .

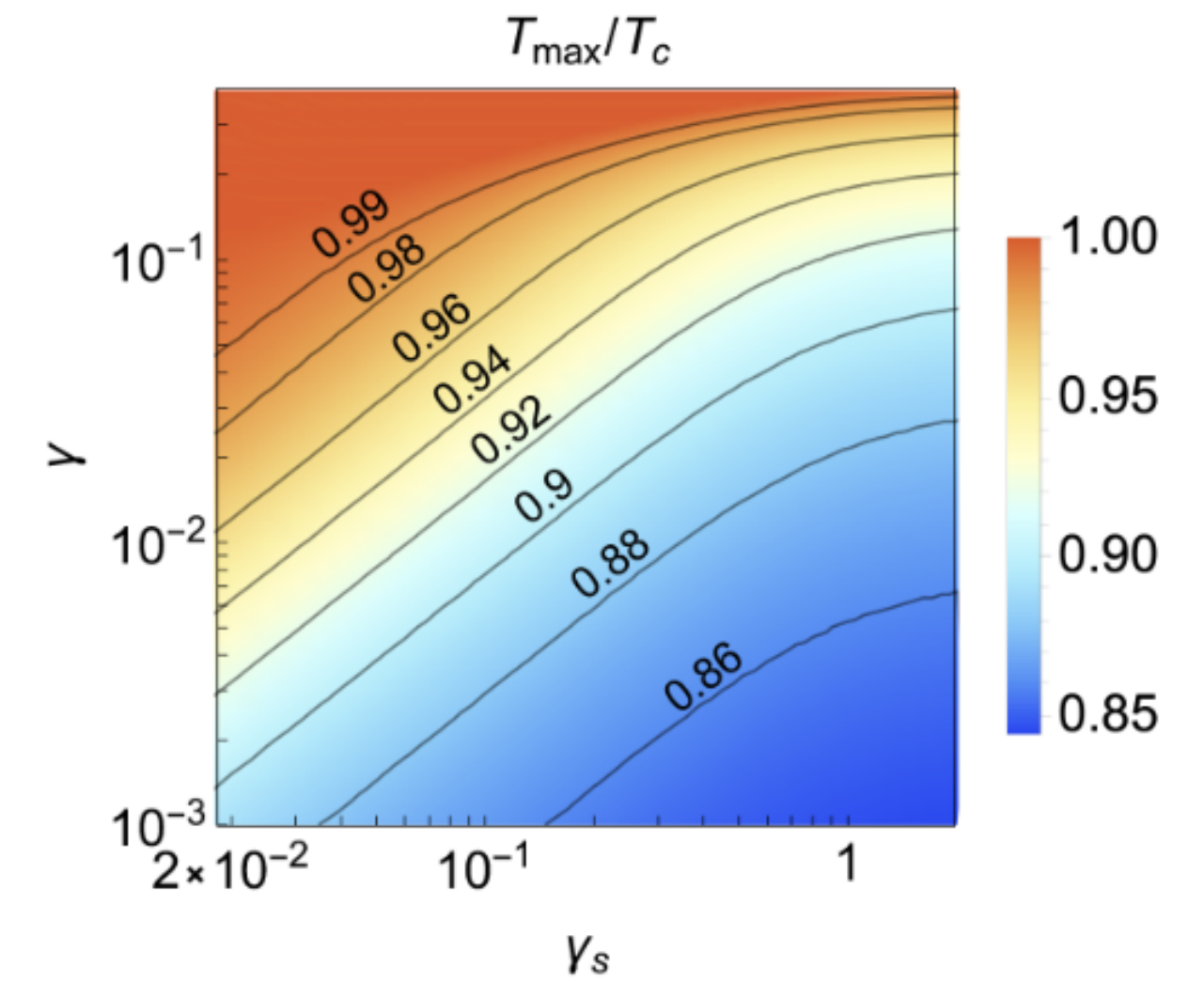



FIG. 4. Position  $T_{\max}/T_c$  (indicated by the black labels) of the coherence peak of  $\sigma_1/\sigma_N$  as a function of  $\gamma_s$  and  $\gamma$ .

Phys. Rev. B **104**, 094519



# Research going in similar direction

If not the same

 Cornell University

the Sir

arXiv.org > cond-mat > arXiv:2110.00573

Search...  
Help | Adv

Condensed Matter > Superconductivity

[Submitted on 1 Oct 2021]

**Effects of nonmagnetic impurities and subgap states on the kinetic inductance, complex conductivity, quality factor and depairing current density**

Takayuki Kubo

We investigate how a combination of a nonmagnetic-impurity scattering rate  $\gamma$  and finite subgap states parametrized by Dynes  $\Gamma$  affects various physical quantities relevant to superconducting devices: kinetic inductance  $L_k$ , complex conductivity  $\sigma$ , surface resistance  $R_s$ , quality factor  $Q$ , and depairing current density  $J_d$ . All the calculations are based on the Eilenberger formalism of the BCS theory. We assume the device materials are extreme type-II  $s$ -wave superconductors. It is well known that the optimum impurity concentration ( $\gamma/\Delta_0 \sim 1$ ) minimizes  $R_s$ . Here,  $\Delta_0$  is the pair potential for the idealized ( $\Gamma \rightarrow 0$ ) superconductor for the temperature  $T \rightarrow 0$ . We find the optimum  $\Gamma$  can also reduce  $R_s$  by one order of magnitude for a clean superconductor ( $\gamma/\Delta_0 < 1$ ) and a few tens % for a dirty superconductor ( $\gamma/\Delta_0 > 1$ ). Also, we find a nearly-ideal ( $\Gamma/\Delta_0 \ll 1$ ) clean-limit superconductor exhibits a frequency-independent  $R_s$  for a broad range of frequency  $\omega$ , which can significantly improve  $Q$  of a very compact cavity with a few tens of GHz frequency. As  $\Gamma$  or  $\gamma$  increases, the plateau disappears, and  $R_s$  obeys the  $\omega^2$  dependence. The subgap-state-induced residual surface resistance  $R_{\text{res}}$  is also studied, which can be detected by an SRF-grade high- $Q$  3D resonator. We calculate  $L_k(\gamma, \Gamma, T)$  and  $J_d(\gamma, \Gamma, T)$ , which are monotonic increasing and decreasing functions of  $(\gamma, \Gamma, T)$ , respectively. Measurements of  $(\gamma, \Gamma)$  of device materials can give helpful information on engineering  $(\gamma, \Gamma)$  via materials processing, by which it would be possible to improve  $Q$ , engineer  $L_k$ , and ameliorate  $J_d$ .

Comments: 15 pages, 15 figures

Subjects: **Superconductivity (cond-mat.supr-con)**; Instrumentation and Methods for Astrophysics (astro-ph.IM); Accelerator Physics (physics.acc-ph)

Cite as: [arXiv:2110.00573](https://arxiv.org/abs/2110.00573) [cond-mat.supr-con]  
(or [arXiv:2110.00573v1](https://arxiv.org/abs/2110.00573v1) [cond-mat.supr-con] for this version)



# Effects of nonmagnetic impurities and subgap states on the kinetic inductance, complex conductivity, quality factor and depairing current density (Kubo, 2021)

- Kinetic inductance

$$\sigma = \frac{ne^2\tau}{m(1+i\omega\tau)} = \frac{ne^2\tau}{m(1+\omega^2\tau^2)} - i\frac{ne^2\omega\tau^2}{m(1+\omega^2\tau^2)}$$

- Superconductor

$$\frac{1}{2}(2m_ev_s^2)(n_slA) = \frac{1}{2}L_kI^2$$

$$I = 2ev_sn_sA$$

- Important for kinetic inductance detectors (KIDs) and superconductor single-photon detectors (SSPDs)

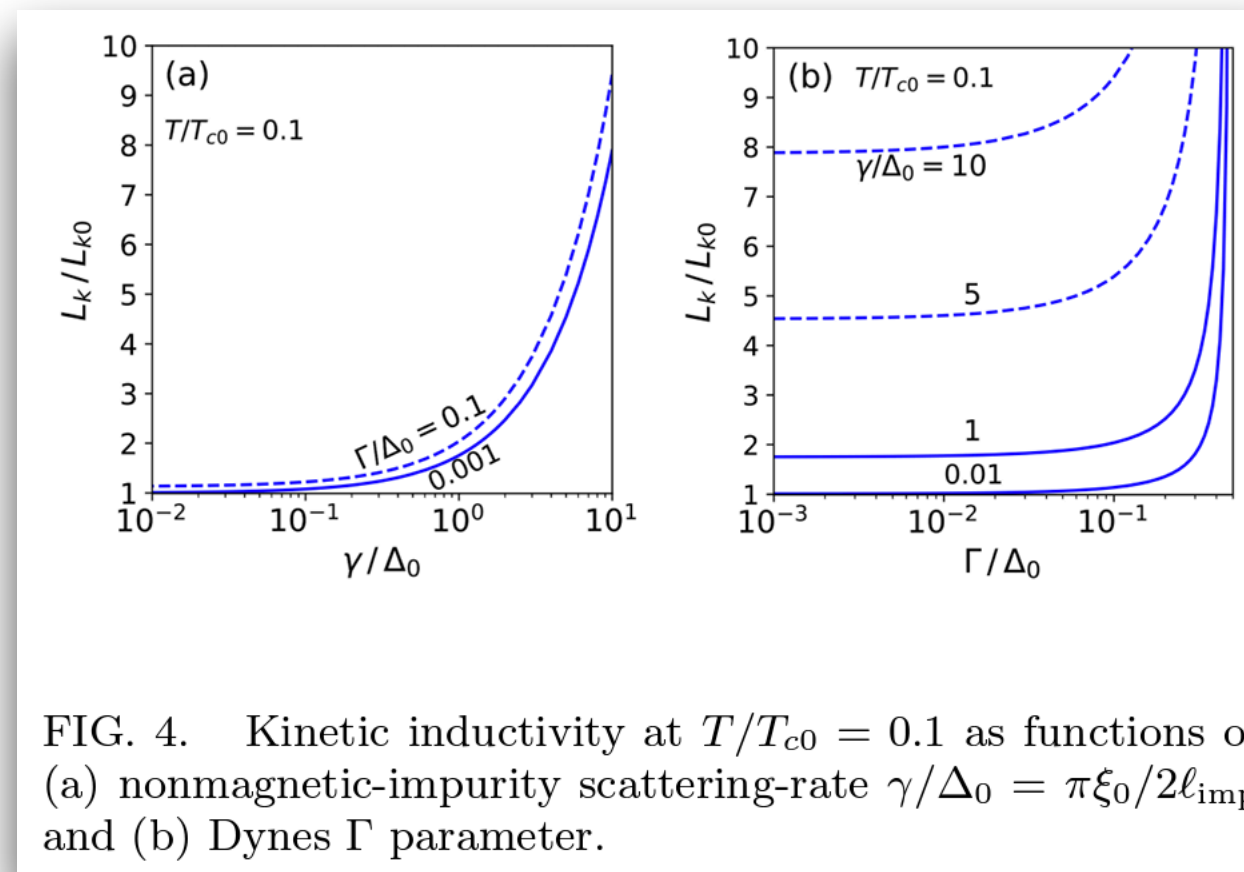


FIG. 4. Kinetic inductivity at  $T/T_{c0} = 0.1$  as functions of (a) nonmagnetic-impurity scattering-rate  $\gamma/\Delta_0 = \pi\xi_0/2\ell_{\text{imp}}$  and (b) Dynes  $\Gamma$  parameter.

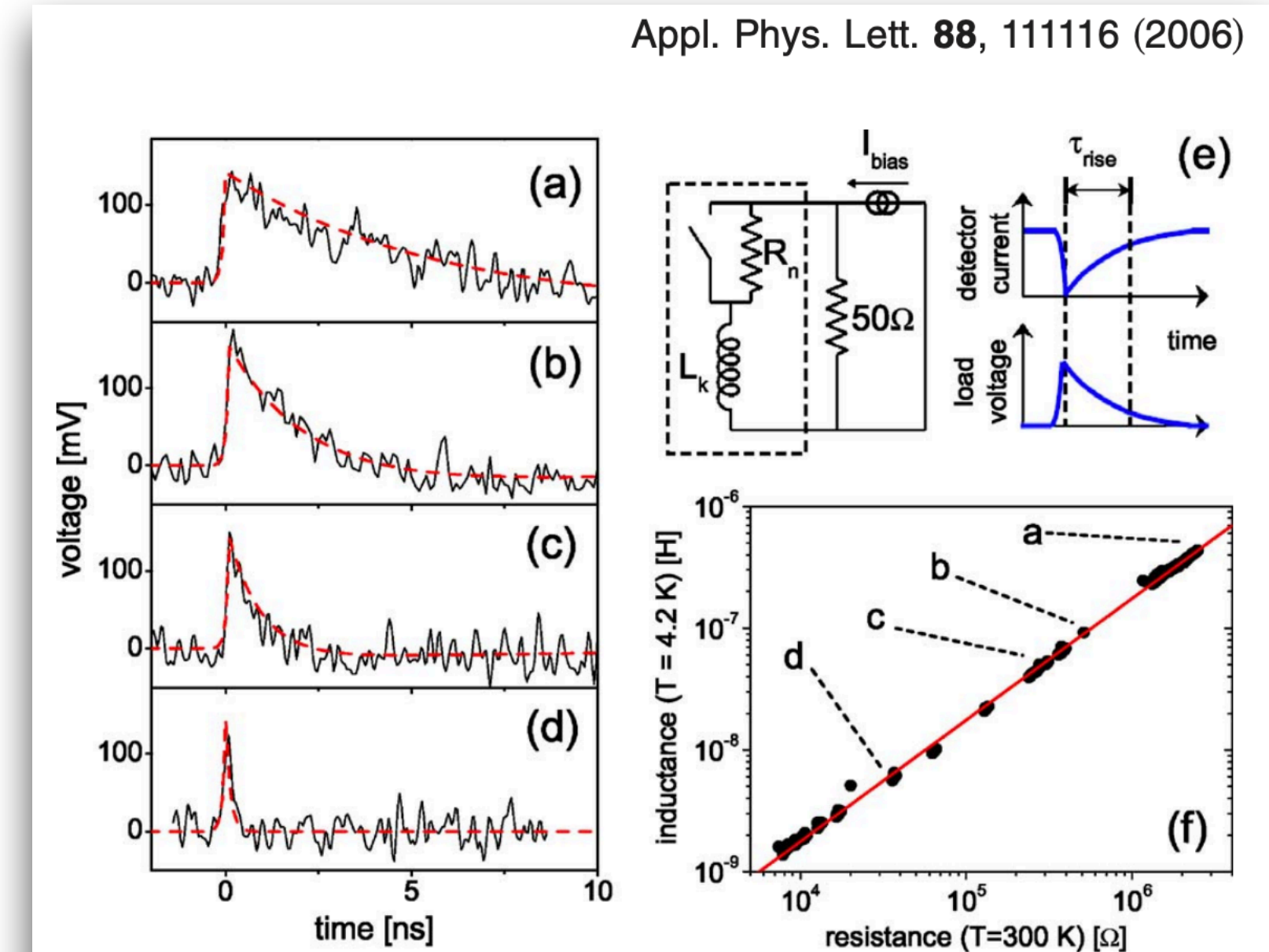


FIG. 2. (Color online) Inductance-limited recovery of NbN nanowires. Output pulses are shown for 100 nm wide wires at  $T=4.2$  K, with  $I_{\text{bias}}=11.5 \mu\text{A}$ , and dimensions: (a) 10  $\mu\text{m} \times 10 \mu\text{m}$  meander (total length 500  $\mu\text{m}$ ); (b) 4  $\mu\text{m} \times 6 \mu\text{m}$  (120  $\mu\text{m}$ ); (c) 3  $\mu\text{m} \times 3.3 \mu\text{m}$  (50  $\mu\text{m}$ ); and (d) 5  $\mu\text{m}$  long single wire. Red dotted lines show the predicted pulse recovery, with no free parameters, for each device based on its measured inductance:  $L_k=415$  nH, 110 nH, 44.5 nH, and 6.10 nH. These predictions include the effect of the measured  $f_L=15$  MHz and  $f_H=4$  GHz corner frequencies of our amplifiers, and the assumptions:  $I_{\text{ret}} \ll I_{\text{bias}}$ ,  $R_n \gg 2\pi f_H L_k$ , and  $R_n \gg 50 \Omega$  (the pulse risetime is then determined by  $f_H$ ); and (e) electrical model; photon absorption corresponds to the switch opening, after which the detector current goes nearly to zero, and is diverted into the 50  $\Omega$  load. The wire then becomes superconducting again, and the current resets in a time  $\tau_{\text{rise}}$ . (f) Inductance at  $T=4.2$  K vs room-temperature resistance for 290 individual nanowires from 0.5–500  $\mu\text{m}$  long and 20–400 nm wide, with both straight and meander geometries, from two separate samples made in separate fabrication runs. Points corresponding to the devices of (a)–(d)

# Effects of nonmagnetic impurities and subgap states on the kinetic inductance, complex conductivity, quality factor and depairing current density (Kubo, 2021)

- Surface resistance

$$R_s = \frac{1}{2} \mu_0^2 \omega^2 \lambda^3 \sigma_1$$

- Quality

$$Q = \frac{G}{R_s}, \quad G = \frac{\mu_0 \omega \int |\mathbf{H}|^2 dV}{\int |\mathbf{H}|^2 dS}$$

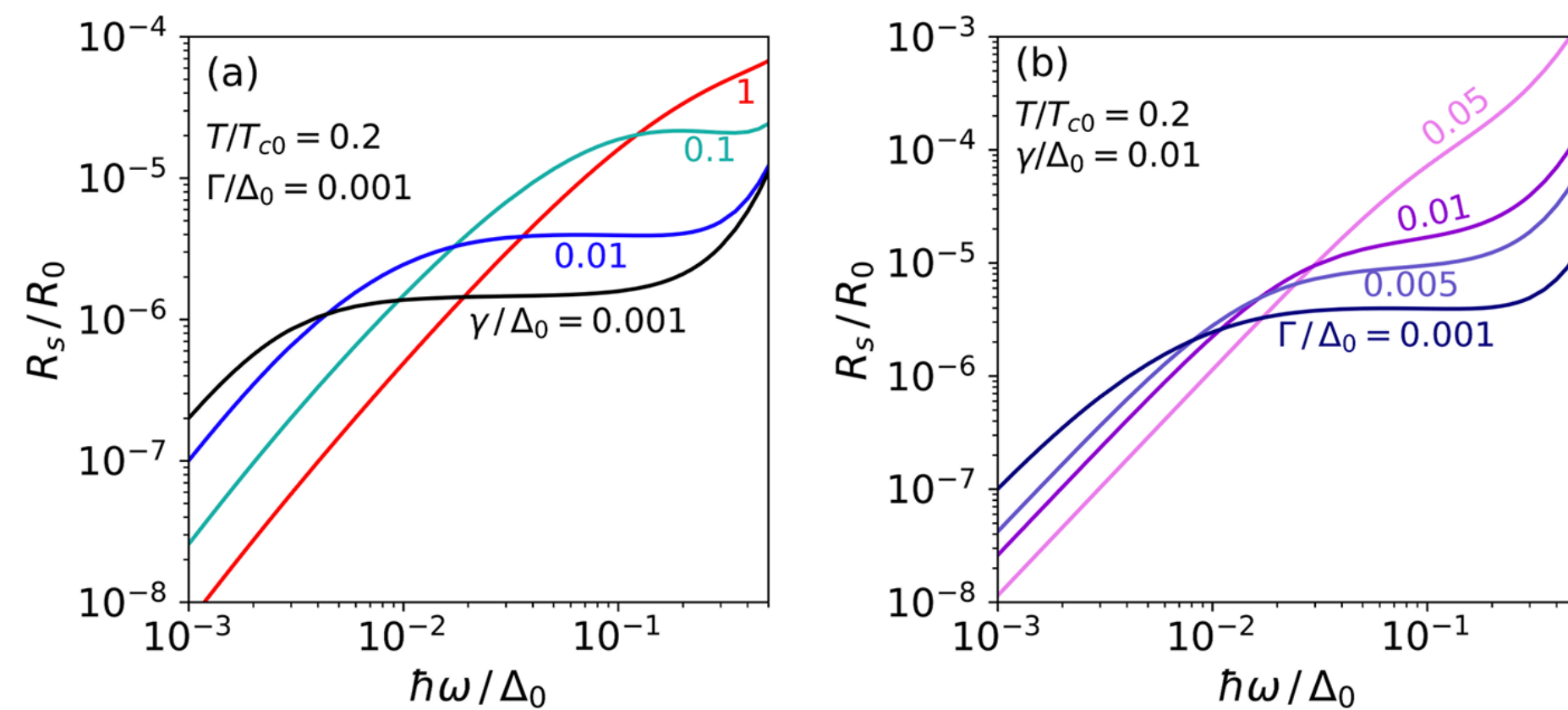


FIG. 10. Frequency dependences of the surface resistance  $R_s$  (a) calculated for different nonmagnetic-impurity scattering rate  $\gamma$  and (b) calculated for different Dynes  $\Gamma$ .

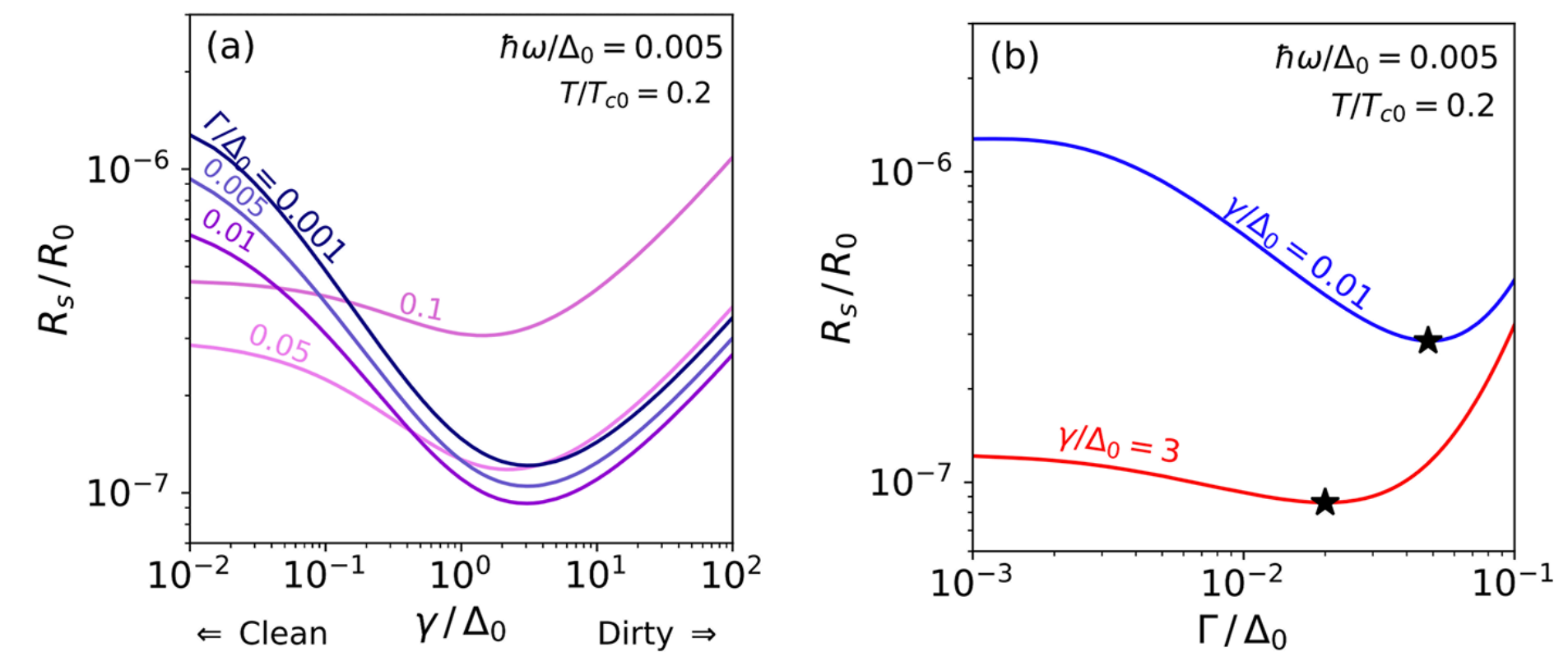


FIG. 11. (a)  $R_s$  as functions of nonmagnetic-impurity scattering-rate  $\gamma/\Delta_0 = \pi\xi_0/2\ell_{\text{imp}}$  calculated for different  $\Gamma$ . (b)  $R_s$  as functions of  $\Gamma$  calculated for  $\gamma/\Delta_0 = 3$  (red) and  $\gamma/\Delta_0 = 0.01$  (blue). The black stars are the minimums.